

Usage Guideline

Corporate Action Movement Confirmation seev.036.001.16

DTCC - US - CA - Payment Processing - SR 2025

This document describes a usage guideline restricting the base message **MX seev.036.001.16**. You can also consult [this information online](#).

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08 August 2025

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Message Functionality

Overview

Used to notify of a payment made on a corporate action event. Separate messages will be sent for payments based on position capture date balance, stock loan balance, repurchase agreements balance (REPOs) and failed trades (Fails) balance. Also as an exception, in some scenarios a reversal is not possible and payment / withdrawal is made as an adjustment using this message.

Collection Description

Outline

The DTCC - US - CA - Payment Processing - SR 2025 / Corporate Action Movement Confirmation seev.036.001.16 message is composed of 17 building blocks

a. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

b. MovementConfirmationIdentification

Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

c. NotificationIdentification

Identification of a previously sent notification document.

d. MovementPreliminaryAdviceIdentification

Identification of a previously sent movement preliminary advice document.

e. InstructionIdentification

Identification of a related instruction document.

f. OtherDocumentIdentification

Identification of other documents as well as the document number.

g. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

h. TransactionIdentification

Transaction type and identification information.

i. CorporateActionGeneralInformation

General information about the corporate action event.

j. AccountDetails

General information about the safekeeping account, owner and account balance.

k. CorporateActionDetails

Information about the corporate action event.

l. CorporateActionConfirmationDetails

Information about the corporate action option.

m. AdditionalInformation

Provides additional information.

n. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

o. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

p. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

q. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

1 Restriction summary

This Usage Guideline restricts the seev.036.001.16 message.

Restriction Type	See page
Removed elements	16, 17, 17, 18, 18, 21, 22, 22, 27, 28, 28, 30, 43, 43, 43, 44, 44, 44, 44, 44, 44, 44, 44, 44, 44, 44, 46, 46, 46, 46, 46, 46, 47, 47, 47, 47, 47, 48, 53, 54, 54, 55, 55, 55, 56, 56, 60, 60, 70, 73, 73, 73, 74, 74, 74, 75, 75, 75, 76, 76, 77, 77, 77, 78, 78, 78, 79, 79, 79, 80, 80, 81, 81, 82, 82, 82, 83, 83, 84, 84, 84, 85, 86, 86, 86, 87, 87, 87, 88, 89, 89, 89, 90, 91, 94, 98, 100, 100, 101, 101, 107, 107, 107, 111, 112, 114, 116, 118, 118, 118, 121, 121, 122, 122, 123, 129, 130, 130, 132, 135, 135, 136, 136, 137, 137, 138, 139, 141, 141, 141, 142, 142, 143, 146, 146, 146, 150, 151, 169, 169, 169, 169, 169, 169, 169, 169, 169, 169, 169, 169, 169, 169, 174, 174, 174, 175, 175, 175, 175, 175, 175, 175, 175, 175, 175, 178, 198, 199, 203, 203, 206, 211, 211, 212, 212, 212, 212, 212, 212, 212, 212, 212, 212, 212, 212, 215, 215, 217, 220, 220, 242, 243, 243, 244, 244, 245, 245, 246, 246, 246, 246, 247, 256, 256, 256, 256, 256, 256, 256, 256, 257, 257, 258, 259, 260, 265, 266, 267, 268, 269, 270, 271, 271, 272, 272, 272, 273, 277, 278, 278, 281, 285, 288, 294, 310, 311, 311, 312, 312, 313, 314, 316, 317, 317, 319, 319, 320, 320, 331, 333, 335, 335, 338, 338, 338, 338, 339, 339, 340, 341, 341, 344, 345, 345, 345, 345, 345, 345, 346, 346, 346, 347, 347, 351, 352, 352, 353, 357, 358, 359, 360, 360, 361, 364, 366, 369, 370, 372, 375, 375, 377, 378, 379, 380, 381, 381, 381, 383, 385, 393, 393, 393, 398, 398, 399, 399, 399, 401, 412, 417, 417, 418, 418, 418, 419, 419, 419, 420, 420, 421, 421, 424, 425, 425, 425, 425, 425, 426, 426, 427, 427, 427, 427, 428, 428, 439, 439, 439, 440, 440, 440, 441, 456, 456
Make mandatory	n.a.
Reduce Multiplicity	97, 98, 99
Ignore	n.a.
Text rules	15, 16, 23, 33, 33, 34, 34, 41, 41, 42, 42, 69, 71, 71, 85, 94, 95, 96, 96, 97, 98, 99, 114, 115, 117, 126, 140, 161, 161, 161, 161, 161, 162, 162, 162, 162,

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Restriction Type	See page
	166, 166, 166, 166, 166, 166, 166, 166, 166, 167, 167, 167, 167, 167, 167, 167, 169, 169, 170, 170, 170, 170, 170, 170, 170, 170, 170, 170, 170, 170, 170, 171, 171, 171, 171, 171, 171, 171, 171, 171, 171, 171, 171, 171, 172, 172, 172, 172, 172, 172, 172, 172, 172, 172, 172, 172, 172, 173, 173, 173, 173, 173, 173, 173, 173, 173, 173, 173, 173, 173, 174, 174, 174, 174, 174, 174, 174, 176, 179, 180, 180, 180, 180, 180, 180, 180, 180, 180, 180, 180, 180, 181, 181, 181, 181, 181, 181, 181, 181, 181, 181, 181, 181, 182, 182, 182, 182, 182, 182, 182, 182, 182, 182, 182, 182, 182, 183, 183, 183, 183, 183, 183, 183, 183, 183, 183, 183, 183, 183, 184, 184, 184, 184, 184, 184, 184, 184, 184, 184, 184, 184, 184, 184, 185, 185, 185, 185, 185, 185, 185, 185, 185, 186, 186, 186, 186, 186, 186, 186, 186, 186, 186, 186, 186, 187, 187, 187, 187, 187, 187, 187, 187, 187, 187, 187, 187, 188, 188, 188, 188, 188, 188, 188, 188, 188, 188, 188, 188, 189, 189, 189, 189, 189, 189, 189, 189, 189, 189, 189, 189, 189, 190, 190, 190, 190, 190, 190, 190, 191, 191, 191, 192, 192, 192, 192, 192, 192, 192, 192, 193, 193, 193, 193, 193, 193, 193, 193, 193, 193, 193, 194, 194, 194, 194, 194, 194, 194, 194, 194, 194, 194, 195, 195, 195, 195, 195, 195, 195, 195, 195, 196, 196, 197, 198, 200, 200, 203, 203, 207, 210, 210, 210, 210, 210, 210, 210, 210, 210, 210, 211, 211, 211, 211, 213, 214, 217, 218, 219, 219, 220, 220, 228, 228, 230, 230, 230, 230, 230, 230, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 232, 232, 234, 234, 236, 243, 243, 246, 246, 249, 250, 250, 251, 251, 251, 251, 251, 251, 251, 251, 251, 251, 251, 252, 252, 252, 252, 252, 252, 252, 252, 253, 253, 253, 253, 253, 253, 253, 253, 253, 253, 253, 253, 253, 254, 254, 254, 254, 254, 254, 254, 254, 254, 254, 254, 255, 255, 255, 255, 255, 261, 261, 261, 261, 261, 261, 273, 274, 274, 274, 274, 274, 274, 276, 276, 276, 276, 276, 276, 277, 279, 279, 287, 287, 289, 290, 291, 293, 294, 295, 305, 306, 323, 323, 330, 330, 332, 336
Extensions	n.a.

Restriction Type	See page
Synonyms	15, 27, 40, 40, 64, 66, 69, 69, 70, 71, 72, 72, 72, 72, 72, 85, 88, 92, 93, 93, 94, 95, 96, 96, 96, 97, 98, 99, 99, 102, 103, 104, 104, 106, 108, 113, 114, 115, 116, 116, 116, 119, 120, 124, 125, 214, 220, 220, 220, 221, 221, 221, 240, 240, 241, 267, 268, 269, 277, 287, 294, 308, 308, 308, 309, 310, 310, 313, 318, 321, 323, 323, 324, 324, 324, 339, 340, 341, 352, 353, 353, 353, 354, 354, 355, 355, 355, 355, 356, 356, 357, 357, 358, 359, 360, 361, 361, 362, 362, 363, 363, 363, 364, 365, 365, 366, 367, 367, 367, 367, 368, 368, 369, 370, 370, 371, 371, 372, 372, 373, 374, 374, 374, 374, 374, 375, 376, 376, 376, 377, 378, 378, 379, 379, 380, 381, 382, 382, 383, 384, 384, 384, 393, 394, 394, 394, 394, 394, 394, 394, 395, 395, 396, 396, 396, 397, 397, 398, 399, 400, 400, 401, 401, 401, 402, 402, 402, 403, 412, 412, 413, 413, 413, 414, 414, 436, 437, 437, 438

2 Structure

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>CorporateActionMovementConfirmationV16</i>	<CorpActnMvmntConf>		[1..1]		15
	Pagination	<Pgntn>		[0..1]		15
	PageNumber	<PgNb>		[1..1]		221
	LastPageIndicator	<LastPgInd>		[1..1]		222
	MovementConfirmationIdentification	<MvmntConfld>		[0..1]		15
	NotificationIdentification	<NtfctnId>		[0..1]		16
	Identification	<Id>		[1..1]		151
	LinkageType	<LkgTp>		[0..1]		151
	MovementPreliminaryAdviceIdentification	<MvmntPrlmryAdvclId>		[0..1]		16
	Identification	<Id>		[1..1]		151
	LinkageType	<LkgTp>		[0..1]		151
	InstructionIdentification	<InstrId>		[0..1]		17
	Identification	<Id>		[1..1]		154
	OtherDocumentIdentification	<OthrDocId>		[0..*]		17
	Identification	<Id>		[1..1]		152
	DocumentNumber	<DocNb>		[0..1]		152
	LinkageType	<LkgTp>		[0..1]		153
	EventsLinkage	<EvtsLkg>		[0..*]		17
	EventIdentification	<EvtId>		[1..1]		109
	LinkageType	<LkgTp>		[0..1]		110
	TransactionIdentification	<TxId>		[0..1]		18
	MarketInfrastructureTransactionIdentification	<MktInfrstrctrTxId>		[1..1]		335
	CorporateActionGeneralInformation	<CorpActnGnlInf>		[1..1]		18
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		113
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		113
	ClassActionNumber	<ClssActnNb>		[0..1]		114

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventType	<EvtTp>		[1..1]		114
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		115
	FractionalQuantity	<FrctnlQty>		[0..1]		116
	AccountDetails	<AcctDtls>		[1..1]		19
	SafekeepingAccount	<SfkpgAcct>		[0..1]		26
	BlockChainAddressOrWallet	<BlckChainAdrOrWlIt>		[0..1]	 	27
	AccountOwner	<AcctOwnr>		[0..1]		27
	SafekeepingPlace	<SfkpgPlc>		[0..1]		28
	Balance	<Bal>		[1..1]		28
	CorporateActionDetails	<CorpActnDtls>		[0..1]		19
	DateDetails	<DtDtls>		[0..1]		63
	EventStage	<EvtStag>		[0..1]		64
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		64
	IntermediateSecuritiesDistribution-Type	<IntrmdtSciesDstrbtnTp>		[0..1]		65
	LotteryType	<LtryTp>		[0..1]		65
	CorporateActionConfirmationDetails	<CorpActnConfDtls>		[1..1]		20
	OptionNumber	<OptnNb>		[1..1]		119
	OptionType	<OptnTp>		[1..1]		120
	OptionFeatures	<OptnFeatrs>		[0..*]		120
	FractionDisposition	<FrctnDspstn>		[0..1]		120
	CurrencyOption	<CcyOptn>		[0..1]		121
	DateDetails	<DtDtls>		[0..1]		121
	PeriodDetails	<PrdDtls>		[0..1]		122
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		122
	PriceDetails	<PricDtls>		[0..1]		123
	PlaceOfTrade	<PlcOfTrad>		[0..1]		123
	SecuritiesMovementDetails	<SciesMvmntDtls>		[0..*]		123
	CashMovementDetails	<CshMvmntDtls>		[0..*]		124
	AdditionalInformation	<AddtlInf>		[0..1]		20
	AdditionalText	<AddtlTxt>		[0..*]		117
	NarrativeVersion	<NrrtvVrsn>		[0..*]	 	117

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]	 	118
	TaxationConditions	<TaxtnConds>		[0..*]	 	118
	IssuerAgent	<IssrAgt>		[0..*]		21
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<Prtryld>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223
	PayingAgent	<PngAgt>		[0..*]		21
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<Prtryld>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223
	SubPayingAgent	<SubPngAgt>		[0..*]		22
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<Prtryld>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223
	SupplementaryData	<SplmtryData>		[0..*]		22
	PlaceAndName	<PlcAndNm>		[0..1]		331
	Envelope	<Envlp>		[1..1]		331

3 ISO 20022 Rules

R1 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

Error handling: Undefined

R2 OtherDocumentIdentificationRule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount is present, then OtherDocumentationIdentification must be present to refer to the linked settlement confirmation.

Error handling: Undefined

R3 ScripOrDividendReinvestment1Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmount-CarriedForward or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward is present, then CorporateActionGeneralInformation/EventType/Code value must be either DVSC (ScripDividend) or DRIP (DividendReinvestment).

Error handling: Undefined

R4 ScripOrDividendReinvestment2Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividend-PayableAmount or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalTaxAmount is present, then CorporateActionGeneralInformation/EventType/Code value must be DVSC (ScripDividend).

Error handling: Undefined

R5 ScripOrDividendReinvestment3Rule

If CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainDate or CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainSettlementDate is present, then CorporateActionGeneralInformation/EventType/Code value must be DRIP (DividendReinvestment).

Error handling: Undefined

R6 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

Error handling: Undefined

R7 IncentivePremiumEventType1Rule ✓

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 566 NVR C9)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00540
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since an Incentive Premium Payment is processed.

R8 IntermediateSecuritiesDistribution1Rule ✓

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00549
- *Error Text:* Invalid message contents for IntermediateSecuritiesDistributionType in CorporateActionDetails. Element must be present.

R9 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

Error handling: Undefined

R10 PaginationRule ✓

If pagination is present, then MovementConfirmationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00653
- *Error Text:* MovementConfirmationIdentification is absent.

4 Guidelines

1. IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

5 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - Payment Processing - SR 2025 / Corporate Action Movement Confirmation seev.036.001.16 message definition.

Usage Guideline on Root Node

- on seev.036.001.16/

- **Market Practice Usage Rule:**

Used to notify of a payment made on a corporate action event. Separate messages will be sent for payments based on position capture date balance, stock loan balance, repurchase agreements balance (REPOs) and failed trades (Fails) balance. Also as an exception, in some scenarios a reversal is not possible and payment / withdrawal is made as an adjustment using this message.

- on seev.036.001.16/

Synonym (DTCC): Allocated Payment

5.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

The **Pgntn** block contains the following elements (see datatype "[Pagination1](#)" on [page 221](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		221
	LastPageIndicator	<LastPgInd>		[1..1]		222

5.2 MovementConfirmationIdentification

XML Tag: MvmntConflD

Presence: [0..1]

Definition: Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

Datatype: "[RestrictedFINXMax16Text](#)" on [page 452](#)

Usage Guideline details

- on [seev.036.001.16/MovementConfirmationIdentification](#)
Type changed to: [RestrictedFINXMax16Text](#) on page 452

5.3 NotificationIdentification

XML Tag: NtfctnId

Presence: [0..1]

Definition: Identification of a previously sent notification document.

The **NtfctnId** block contains the following elements (see datatype "[DocumentIdentification31__1](#)" on page 150 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		151
	LinkageType	<LkgTp>		[0..1]		151

Usage Guideline details

- This element([seev.036.001.16/NotificationIdentification](#)) is removed.

5.4 MovementPreliminaryAdviceIdentification

XML Tag: MvmntPrlmryAdvclId

Presence: [0..1]

Definition: Identification of a previously sent movement preliminary advice document.

The **MvmntPrlmryAdvclId** block contains the following elements (see datatype "[DocumentIdentification31__1](#)" on page 150 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		151
	LinkageType	<LkgTp>		[0..1]		151

Usage Guideline details

- on [seev.036.001.16/MovementPreliminaryAdviceIdentification](#)
– Market Practice Implementation Rule:

Business Message Identifier of a previously sent CAPA.

5.5 InstructionIdentification

XML Tag: InstrId

Presence: [0..1]

Definition: Identification of a related instruction document.

The **InstrId** block contains the following elements (see datatype "[DocumentIdentification9__1](#)" on [page 154](#) for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	154

Usage Guideline details

- This element(seev.036.001.16/InstructionIdentification) is removed.

5.6 OtherDocumentIdentification

XML Tag: OthrDocId

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

The **OthrDocId** block contains the following elements (see datatype "[DocumentIdentification32__1](#)" on [page 151](#) for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		152
	DocumentNumber	<DocNb>		[0..1]		152
	LinkageType	<LkgTp>		[0..1]		153

Usage Guideline details

- This element(seev.036.001.16/OtherDocumentIdentification) is removed.

5.7 EventsLinkage

XML Tag: EvtsLkg

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

The **EvtsLkg** block contains the following elements (see datatype "[CorporateActionEventReference3__1](#)" on page 109 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		109
	LinkageType	<LkgTp>		[0..1]		110

Usage Guideline details

- This element(seev.036.001.16/EventsLinkage) is removed.

5.8 TransactionIdentification

XML Tag: TxId

Presence: [0..1]

Definition: Transaction type and identification information.

The **TxId** block contains the following elements (see datatype "[TransactionIdentification15__1](#)" on page 335 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketInfrastructureTransactionIdentification	<MktInfstrctrTxId>		[1..1]	⚠	335

Usage Guideline details

- This element(seev.036.001.16/TransactionIdentification) is removed.

5.9 CorporateActionGeneralInformation

XML Tag: CorpActnGnllnf

Presence: [1..1]

Definition: General information about the corporate action event.

The **CorpActnGnllnf** block contains the following elements (see datatype "[CorporateActionGeneralInformation179__1](#)" on page 112 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		113
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		113
	ClassActionNumber	<ClsActnNb>		[0..1]	 	114
	EventType	<EvtTp>		[1..1]		114
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		115
	FractionalQuantity	<FrctnlQty>		[0..1]		116

5.10 AccountDetails

XML Tag: AcctDtls

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

The **AcctDtls** block contains the following elements (see datatype "[AccountAndBalance58__1](#)" on page 25 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		26
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]	 	27
	AccountOwner	<AcctOwnr>		[0..1]		27
	SafekeepingPlace	<SfkpgPlc>		[0..1]		28
	Balance	<Bal>		[1..1]		28

5.11 CorporateActionDetails

XML Tag: CorpActnDtls

Presence: [0..1]

Definition: Information about the corporate action event.

The **CorpActnDtls** block contains the following elements (see datatype "[CorporateAction85__1](#)" on page 63 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		63
	EventStage	<EvtStag>		[0..1]	⚠	64
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		64
	IntermediateSecuritiesDistributionType	<IntrmdtScitiesDstrbtnTp>		[0..1]		65
	LotteryType	<LtryTp>		[0..1]	⚠	65

5.12 CorporateActionConfirmationDetails

XML Tag: CorpActnConfDtls

Presence: [1..1]

Definition: Information about the corporate action option.

The **CorpActnConfDtls** block contains the following elements (see datatype "CorporateActionOption233__1" on page 118 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]	⚠	119
	OptionType	<OptnTp>		[1..1]	⚠	120
	OptionFeatures	<OptnFeatrs>		[0..*]		120
	FractionDisposition	<FrctnDspstn>		[0..1]	🚫	120
	CurrencyOption	<CcyOptn>		[0..1]	🚫	121
	DateDetails	<DtDtls>		[0..1]	🚫	121
	PeriodDetails	<PrdDtls>		[0..1]	🚫	122
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		122
	PriceDetails	<PricDtls>		[0..1]		123
	PlaceOfTrade	<PlcOfTrad>		[0..1]	🚫	123
	SecuritiesMovementDetails	<ScitiesMvmntDtls>		[0..*]	⚠	123
	CashMovementDetails	<CshMvmntDtls>		[0..*]	⚠	124

5.13 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information.

The **AddtlInf** block contains the following elements (see datatype "[CorporateActionNarrative31__1](#)" on page 117 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]	⚠	117
	NarrativeVersion	<NrrtvVrsn>		[0..*]	🚫 ⚠	117
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]	🚫 ⚠	118
	TaxationConditions	<TaxtnConds>		[0..*]	🚫 ⚠	118

5.14 IssuerAgent

XML Tag: IssrAgt

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

The **IssrAgt** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 222 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223

Usage Guideline details

- This element(seev.036.001.16/IssuerAgent) is removed.

5.15 PayingAgent

XML Tag: PngAgt

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

The **PngAgt** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 222 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223

Usage Guideline details

- This element(seev.036.001.16/PayingAgent) is removed.

5.16 SubPayingAgent

XML Tag: SubPngAgt

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

The **SubPngAgt** block contains the following elements (see datatype "PartyIdentification120Choice_1" on page 222 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223

Usage Guideline details

- This element(seev.036.001.16/SubPayingAgent) is removed.

5.17 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "SupplementaryData1" on page 330 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		331
	Envelope	<Envlp>		[1..1]		331

Usage Guideline details

- on seev.036.001.16/SupplementaryData

- Market Practice Implementation Rule:

Used to incorporate DTCC extensions: corporate action movement confirmation extension schema.

6 Message Components

Note The following chapter identifies the message components.

6.1 Account10Choice__1

Definition: Choice between a cash account, a charges account or a tax account.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashAccount	<CshAcct>	{Or	[1..1]		24
	ChargesAccount	<ChrgsAcct>	Or	[1..1]		24
	TaxAccount	<TaxAcct>	Or}	[1..1]		25

Used in element(s)

"Account" on page 56

6.1.1 CashAccount

XML Tag: CshAcct

Presence: [1..1]

Definition: Account in which cash is maintained.

The **CshAcct** block contains the following elements (see datatype "[CashAccountIdentification9Choice__1](#)" on page 50 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		50
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]		51

6.1.2 ChargesAccount

XML Tag: ChrgsAcct

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

The **ChrgsAcct** block contains the following elements (see datatype "[CashAccountIdentification5Choice__1](#)" on page 49 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		49
	Proprietary	<Prtry>	Or}	[1..1]		49

6.1.3 TaxAccount

XML Tag: TaxAcct

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

The **TaxAcct** block contains the following elements (see datatype "CashAccountIdentification5Choice__1" on page 49 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		49
	Proprietary	<Prtry>	Or}	[1..1]		49

6.2 AccountAndBalance58__1

Definition: Provides account and balance information.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		26
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	 	27
	AccountOwner	<AcctOwnr>		[0..1]		27
	SafekeepingPlace	<SfkpgPlc>		[0..1]		28
	Balance	<Bal>		[1..1]		28

Rules

R21 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

Error handling: Undefined

R22 SafekeepingAccountOrBlockChainAddress1Rule ✓

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00579
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R23 SafekeepingAccountOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00580
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R24 SafekeepingAccountOrBlockChainAddress3Rule ✓

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00581
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Used in element(s)

"AccountDetails" on page 19

6.2.1 SafekeepingAccount

XML Tag: SfkpgAcct

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 454

Usage Guideline details

- on **seev.036.001.16/AccountDetails/SafekeepingAccount**
Type changed to: RestrictedFINXMax35Text on page 454
- on **seev.036.001.16/AccountDetails/SafekeepingAccount**
 - **Applicability:**
true

- **REDEML Trace:**
Participant Number
- **REDEMG Trace:**
Participant Number
- **REDEMA Trace:**
Participant Number
- **REDEMX Trace:**
Participant Number
- **REDEMC Trace:**
Participant Number
- **on seev.036.001.16/AccountDetails/SafekeepingAccount**
Synonym (DTCC): Participant Number
- **on seev.036.001.16/AccountDetails/SafekeepingAccount**
Comment:
DTCC assigned internal participant identification number.

6.2.2 BlockChainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 452

Usage Guideline details

- **This element(seev.036.001.16/AccountDetails/BlockChainAddressOrWallet) is removed.**
- **on seev.036.001.16/AccountDetails/BlockChainAddressOrWallet**
Type changed to: RestrictedFINXMax140Text on page 452

6.2.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification127Choice__1" on page 223 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		223
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		224

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/AccountOwner) is removed.

6.2.4 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFormat42Choice__1" on page 302 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		302
	Country	<Ctry>	Or	[1..1]		303
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		303
	TypeAndIdentification	<TpAndId>	Or	[1..1]		303
	Proprietary	<Prtry>	Or}	[1..1]		303

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/SafekeepingPlace) is removed.

6.2.5 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "CorporateActionBalanceDetails41__1" on page 91 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ConfirmedBalance	<ConfdBal>		[1..1]	⚠	92
	TotalEligibleBalance	<TtlElgblBal>		[0..1]	⚠	93
	BlockedBalance	<BlckdBal>		[0..1]	🚫	93
	BorrowedBalance	<BrrwdBal>		[0..1]	⚠	94
	CollateralInBalance	<CollInBal>		[0..1]	⚠	94
	CollateralOutBalance	<CollOutBal>		[0..1]	⚠	95
	OnLoanBalance	<OnLnBal>		[0..1]	⚠	96
	PendingDeliveryBalance	<PdgDlvryBal>		[0..*]	⚠ R[0..1]	97
	PendingReceiptBalance	<PdgRctBal>		[0..*]	⚠ R[0..1]	97
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]	🚫	98
	SettlementPositionBalance	<SttlmPosBal>		[0..*]	⚠ R[0..1]	98
	StreetPositionBalance	<StrtPosBal>		[0..1]	🚫	100
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]	🚫	100
	InTransshipmentBalance	<InTrnsShpmtBal>		[0..1]	🚫	101
	RegisteredBalance	<RegdBal>		[0..1]	🚫	101
	AffectedBalance	<AfctdBal>		[0..1]	⚠	101
	UnaffectedBalance	<UafctdBal>		[0..1]	⚠	102

6.3 AdditionalBusinessProcessFormat23Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		29
	Proprietary	<Prtry>	Or}	[1..1]	🚫	30

Used in element(s)

"AdditionalBusinessProcessIndicator" on page 64

6.3.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: ["AdditionalBusinessProcess12Code" on page 337](#)

6.3.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 178](#) for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary) is removed.

6.4 AlternatePartyIdentification7__1

Definition: Alternate identification for a party using an identification type, a country code and a text field.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	AlternateIdentification	<AltrnId>		[1..1]	⚠	31

Used in element(s)

["AlternateIdentification" on page 228](#), ["AlternateIdentification" on page 232](#), ["AlternateIdentification" on page 234](#), ["AlternateIdentification" on page 236](#)

6.4.1 IdentificationType

XML Tag: IdTp

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

The **IdTp** block contains the following elements (see datatype "[IdentificationType42Choice__1](#)" on [page 200](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		201
	Proprietary	<Prtry>	Or}	[1..1]		201

6.4.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Datatype: "[CountryCode](#)" on [page 405](#)

6.4.3 AlternatIdentification

XML Tag: AltrnId

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "[RestrictedFINXMax30Text](#)" on [page 453](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/AlternatIdentification/AlternatIdentification](#)
Type changed to: [RestrictedFINXMax30Text](#) on [page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/AlternatIdentification/AlternatIdentification](#)
Type changed to: [RestrictedFINXMax30Text](#) on [page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/AlternatIdentification/AlternatIdentification](#)
Type changed to: [RestrictedFINXMax30Text](#) on [page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/AlternatIdentification/AlternatIdentification](#)
Type changed to: [RestrictedFINXMax30Text](#) on [page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/AlternatIdentification/AlternatIdentification](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/AlternateIdentification/AlternateIdentification](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/AlternateIdentification/AlternateIdentification](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/AlternateIdentification/AlternateIdentification](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/AlternateIdentification/AlternateIdentification](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/AlternateIdentification/AlternateIdentification](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/AlternateIdentification/AlternateIdentification](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

6.5 AmountAndQuantityRatio4__1

Definition: Ratio expressed as amount per quantity.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	32
	Quantity	<Qty>		[1..1]	⚠	33

Used in element(s)

["AmountToQuantity" on page 298](#), ["AmountToQuantity" on page 329](#), ["QuantityToAmount" on page 299](#)

6.5.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#)

Usage Guideline details

- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Amount**
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Amount**
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount**
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount**
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount**
 - Market Practice Rule:

New amount. Reported in face value.
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount**
 - Market Practice rule :

Old Amount

6.5.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: ["RestrictedFINDecimalNumber"](#) on page 450

Usage Guideline details

- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Quantity**
Type changed to: [RestrictedFINDecimalNumber](#) on page 450
- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity**
Type changed to: [RestrictedFINDecimalNumber](#) on page 450
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity**

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity](#)

– Market Practice Rule:

Old quantity

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity](#)

– Market Practice Rule:

New quantity reported in face value.

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity](#)

– Market Practice Implementation Rule:

Establishes use of quantity for debt and non debt underlying securities.

If

Underlying Security or "Event Security" is a debt security

Then

Use the par value. 1000 unless it is a baby bond.

Else

Use value of 1.

6.6 AmountAndRateStatus1__1

Definition: Specifies an amount and a rate status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	34
	RateStatus	<RateSts>		[1..1]		35

Used in element(s)

["AmountAndRateStatus" on page 197](#), ["AmountAndRateStatus" on page 213](#), ["AmountAndRateStatus" on page 198](#), ["AmountAndRateStatus" on page 214](#)

6.6.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.6.2 RateStatus

XML Tag: RateSts

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: ["RateStatus1Code" on page 447](#)

6.7 AmountPrice2__1

Definition: Price expressed as an actual amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		35
	PriceValue	<PricVal>		[1..1]		36

Used in element(s)

["CashValueForTax" on page 129](#)

6.7.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType2Code" on page 348](#)

6.7.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.8 AmountPrice3__1

Definition: Price expressed as an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		36
	PriceValue	<PricVal>		[1..1]		36

Used in element(s)

["AmountPrice" on page 247](#), ["AmountPrice" on page 243](#), ["AmountPrice" on page 244](#)

6.8.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code" on page 344](#)

6.8.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#)

Usage Guideline details

- on `seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice/IndicativePrice/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice/MarketPrice/AmountPrice/PriceValue`
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448

6.9 AmountPricePerAmount2__1

Definition: Specifies a ratio: Amount price per amount.

Example:

ISIN US629377AS17. Repurchase USD1087.17 cash for every USD1000 stock (NRG Energy Inc 8% Senior Notes 15/12/13).

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		38
	PriceValue	<PricVal>		[1..1]		38
	Amount	<Amt>		[1..1]		38

Used in element(s)

"AmountPricePerAmount" on page 245

6.9.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 344

6.9.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448

6.9.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: The amount on which the price is based.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448

6.10 AmountPricePerFinancialInstrumentQuantity10__1

Definition: Specifies a ratio: amount price per financial instrument quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		39
	PriceValue	<PricVal>		[1..1]		40
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		40

Used in element(s)

"AmountPricePerFinancialInstrumentQuantity" on page 245

6.10.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 344

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType
Comment:
value 'ACTU' must be used
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType
Comment:
value 'ACTU' must be used

6.10.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue
Synonym: DTC Cash Rate
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue
Synonym (DTCC): DTC Cash Rate

6.10.3 FinancialInstrumentQuantity

XML Tag: FinInstrmQty

Presence: [1..1]

Definition: Quantity of financial instrument.

The **FinInstrmQty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 156 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		156
	FaceAmount	<FaceAmt>	Or	[1..1]		162
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	168
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		174

6.11 AmountToAmountRatio2__1

Definition: Ratio expressed as a quotient of amounts.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		41
	Amount2	<Amt2>		[1..1]		42

Used in element(s)

"AmountToAmount" on page 300, "AmountToAmount" on page 298

6.11.1 Amount1

XML Tag: Amt1

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount1](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1](#)
 - Market Practice Rule:
 Additional Amount
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1](#)
 - Market Practice Rule:
 Used for new amount

6.11.2 Amount2

XML Tag: Amt2

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount2
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2
 - Market Practice Rule:
Existing Amount
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2
 - Market Practice Rule:
Used for old amount

6.12 BalanceFormat11Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

Used in element(s)

"AffectedBalance" on page 101, "BlockedBalance" on page 93, "BorrowedBalance" on page 94, "CollateralInBalance" on page 94, "CollateralOutBalance" on page 95, "ConfirmedBalance" on page 92, "InTransshipmentBalance" on page 101, "OnLoanBalance" on page 96, "OutFor-RegistrationBalance" on page 98, "RegisteredBalance" on page 101, "StreetPositionBalance" on page 100, "TradeDatePositionBalance" on page 100, "UnaffectedBalance" on page 102

6.12.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat11_1](#)" on page 327 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		328
	QuantityChoice	<QtyChc>		[1..1]		329

6.12.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10_1](#)" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Usage Guideline details

- This element([seev.036.001.16/AccountDetails/Balance/AffectedBalance/EligibleBalance](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/BorrowedBalance/EligibleBalance](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/CollateralInBalance/EligibleBalance](#)) is removed.

- This element(seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/EligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/EligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/OnLoanBalance/EligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/EligibleBalance) is removed.

6.12.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/AffectedBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/NotEligibleBalance) is removed.

6.13 BalanceFormat12Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		45
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	45
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	46
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	46
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	47

Used in element(s)

"PendingDeliveryBalance" on page 97, "PendingReceiptBalance" on page 97, "SettlementPositionBalance" on page 98

6.13.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat11__1](#)" on page 327 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]	⚠	328
	QuantityChoice	<QtyChc>		[1..1]		329

6.13.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance) is removed.

6.13.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance) is removed.

6.13.4 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/FullPeriodUnits) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/FullPeriodUnits) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/FullPeriodUnits) is removed.

6.13.5 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits) is removed.

- This element([seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits](#)) is removed.

6.14 BlockchainAddressWallet3

Definition: Digital account where digital assets or digital tokens can be stored and where an entry is made.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Type	<Tp>		[0..1]		48
	Name	<Nm>		[0..1]		48

Used in element(s)

"BlockchainCashWallet" on page 50

6.14.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max140Text" on page 432

6.14.2 Type

XML Tag: Tp

Presence: [0..1]

Definition: Specifies the type of securities account.

The **Tp** block contains the following elements (see datatype "GenericIdentification30" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		178
	Issuer	<Issr>		[1..1]		178
	SchemeName	<SchmeNm>		[0..1]		178

6.14.3 Name

XML Tag: Nm

Presence: [0..1]

Definition: Description of the account.

Datatype: ["Max70Text" on page 434](#)

6.15 CashAccountIdentification5Choice__1

Definition: Unique identifier of an account, as assigned by the account servicer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		49
	Proprietary	<Prtry>	Or}	[1..1]		49

Used in element(s)

["ChargesAccount" on page 24](#), ["TaxAccount" on page 25](#)

6.15.1 IBAN

XML Tag: IBAN

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Datatype: ["IBAN2007Identifier" on page 421](#)

6.15.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: ["RestrictedFINX2Max34Text" on page 451](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Account/ChargesAccount/Proprietary](#)
 Type changed to: [RestrictedFINX2Max34Text on page 451](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Account/Tax-Account/Proprietary](#)

Type changed to: [RestrictedFINX2Max34Text on page 451](#)

6.16 CashAccountIdentification9Choice__1

Definition: Unique identifier of an account, as assigned by the account servicer.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		50
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]	⚠️	51

Used in element(s)

"CashAccount" on page 24, "CashAccount" on page 233, "CashAccount" on page 235

6.16.1 IBAN

XML Tag: IBAN

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Datatype: ["IBAN2007Identifier" on page 421](#)

6.16.2 BlockChainCashWallet

XML Tag: BlckChainCshWllt

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

The **BlckChainCshWllt** block contains the following elements (see datatype ["BlockChainAddressWallet3" on page 48](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Type	<Tp>		[0..1]		48
	Name	<Nm>		[0..1]		48

6.16.3 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 451

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Account/CashAccount/Proprietary
Type changed to: RestrictedFINX2Max34Text on page 451
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/CashAccount/Proprietary
Type changed to: RestrictedFINX2Max34Text on page 451
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/CashAccount/Proprietary
Type changed to: RestrictedFINX2Max34Text on page 451
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/CashAccount/Proprietary
Type changed to: RestrictedFINX2Max34Text on page 451

6.17 CashOption105__1

Definition: Provides information about the cash option.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]	⚠	53
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]	⊘	53
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	⊘	53
	IncomeType	<IncmTp>		[0..1]	⊘	54
	OtherIncomeType	<OthrIncmTp>		[0..*]	⊘	54
	ExemptionType	<XmptnTp>		[0..*]	⊘	55
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	⊘	55
	Account	<Acct>		[0..1]	⊘	56
	CashParties	<CshPties>		[0..1]	⊘	56

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountDetails	<AmtDtls>		[1..1]		56
	DateDetails	<DtDtls>		[1..1]		59
	ForeignExchangeDetails	<FXDtls>		[0..*]		59
	TaxVoucherDetails	<TaxVchrDtls>		[0..1]		60
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		60
	PriceDetails	<PricDtls>		[0..1]		61

Rules

R52 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R53 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R54 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

Error handling: Undefined

R55 IncomeType2Rule ✓

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00548

- *Error Text:* Invalid message contents for IncomeType. Element must be present.

Used in element(s)

"CashMovementDetails" on page 124

6.17.1 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 405

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CreditDebitIndicator
 - **Applicability:**
true

6.17.2 ContractualPaymentIndicator

XML Tag: CtrctlPmtInd

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 443

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/ContractualPaymentIndicator) is removed.

6.17.3 IssuerOfferorTaxabilityIndicator

XML Tag: IssrOfferrTaxbltyInd

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "IssuerOfferorTaxabilityIndicator2Choice" on page 205 for details)

-  Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		205
	Proprietary	<Prtry>	Or}	[1..1]		205

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/IssuerOfferorTaxabilityIndicator) is removed.

6.17.4 IncomeType

XML Tag: IncmTp

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

The **IncmTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/IncomeType) is removed.

6.17.5 OtherIncomeType

XML Tag: OthrIncmTp

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

The **OthrIncmTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/OtherIncomeType) is removed.

6.17.6 ExemptionType

XML Tag: XmptnTp

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/ExemptionType) is removed.

6.17.7 CountryOfIncomeSource

XML Tag: CtryOfIncmSrc

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Datatype: "CountryCode" on page 405

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CountryOfIncomeSource) is removed.

6.17.8 Account

XML Tag: Acct

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

The **Acct** block contains the following elements (see datatype "[Account10Choice__1](#)" on page 24 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashAccount	<CshAcct>	{Or	[1..1]		24
	ChargesAccount	<ChrgsAcct>	Or	[1..1]		24
	TaxAccount	<TaxAcct>	Or}	[1..1]		25

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Account) is removed.

6.17.9 CashParties

XML Tag: CshPties

Presence: [0..1]

Definition: Provides information about cash parties.

The **CshPties** block contains the following elements (see datatype "[CashParties43__1](#)" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Creditor	<Cdtr>		[0..1]		62
	CreditorAgent	<CdtrAgt>		[0..1]		62
	MarketClaimCounterparty	<MktClnCtrPty>		[0..1]		63

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties) is removed.

6.17.10 AmountDetails

XML Tag: AmtDtls

Presence: [1..1]

Definition: Provides information about the amounts related to a cash movement.

The **AmtDtls** block contains the following elements (see datatype "CorporateActionAmounts73__1" on page 67 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingAmount	<PstngAmt>		[1..1]		69
	GrossAmount	<GrssAmt>		[0..1]	 	70
	NetAmount	<NetAmt>		[0..1]		70
	SolicitationFees	<SlctnFees>		[0..1]		70
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]		71
	CapitalGain	<CptlGn>		[0..1]		71
	InterestAmount	<IntrstAmt>		[0..1]		72
	MarketClaimAmount	<MktClmAmt>		[0..1]	 	72
	IndemnityAmount	<IndmntyAmt>		[0..1]	 	73
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]	 	73
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]	 	73
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]	 	74
	UnfrankedAmount	<UfrnkAmt>		[0..1]	 	74
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]	 	75
	TaxFreeAmount	<TaxFreeAmt>		[0..1]	 	75
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]	 	75
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]	 	76
	StampDutyAmount	<StmpDtyAmt>		[0..1]	 	76
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]	 	76
	TaxCreditAmount	<TaxCdtAmt>		[0..1]	 	77
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]	 	77
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]	 	77
	SecondLevelTaxAmount	<ScndLvTaxAmt>		[0..1]	 	78
	FiscalStampAmount	<FscStmpAmt>		[0..1]	 	78
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]	 	79

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]	 	79
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]	 	79
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]	 	80
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]	 	80
	ChargesAmount	<ChrgsAmt>		[0..1]		80
	CashAmountBroughtForward	<CshAmtBrghtFwd>		[0..1]	 	81
	CashAmountCarriedForward	<CshAmtCrrdFwd>		[0..1]	 	81
	NotionalDividendPayableAmount	<NtnlDvddPyblAmt>		[0..1]	 	81
	NotionalTaxAmount	<NtnlTaxAmt>		[0..1]	 	82
	TaxArrearsAmount	<TaxArrearsAmt>		[0..1]	 	82
	OriginalAmount	<OrgnlAmt>		[0..1]	 	83
	PrincipalOrCorpus	<PrncplOrCrps>		[0..1]	 	83
	RedemptionPremiumAmount	<RedPrmAmt>		[0..1]	 	83
	IncomePortion	<IncmPrtn>		[0..1]	 	84
	StockExchangeTax	<StockXchgTax>		[0..1]	 	84
	EUTaxRetentionAmount	<EUTaxRtnAmnt>		[0..1]	 	84
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]		85
	EqualisationAmount	<EqulstnAmt>		[0..1]	 	85
	FATCATaxAmount	<FATCATaxAmt>		[0..1]	 	86
	NRATaxAmount	<NRATaxAmt>		[0..1]	 	86
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]	 	86
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]	 	87
	TransactionTax	<TxTax>		[0..1]	 	87
	DeemedAmount	<DmdAmt>		[0..1]		88
	ForeignIncomeAmount	<FrgnIncmAmt>		[0..1]	 	88
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]	 	88
	DeemedFundAmount	<DmdFndAmt>		[0..1]	 	89
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]	 	89
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]	 	90
	AdjustedSubscriptionAmount	<AdjstdSbcptAmt>		[0..1]		90
	RefundedSubscriptionAmount	<RfnddSbcptAmt>		[0..1]		90

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BuyUpAmount	<BuyUpAmt>		[0..1]	 	91

6.17.11 DateDetails

XML Tag: DtDtls

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

The **DtDtls** block contains the following elements (see datatype "[CorporateActionDate99](#)" on page 105 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingDate	<PstngDt>		[1..1]		106
	ValueDate	<ValDt>		[0..1]		106
	ForeignExchangeRateFixingDate	<FXRateFxdDt>		[0..1]		107
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		107
	PaymentDate	<PmtDt>		[0..1]		107

6.17.12 ForeignExchangeDetails

XML Tag: FXDtls

Presence: [0..*]

Definition: Exchange rate between the amount and the resulting amount.

The **FXDtls** block contains the following elements (see datatype "[ForeignExchangeTerms40__1](#)" on page 175 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		175
	QuotedCurrency	<QtdCcy>		[1..1]		176
	ExchangeRate	<XchgRate>		[1..1]		176
	ResultingAmount	<RsltgtAmt>		[1..1]		176

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/ForeignExchangeDetails) is removed.

6.17.13 TaxVoucherDetails

XML Tag: TaxVchrDtIs

Presence: [0..1]

Definition: Provides information about the tax voucher related to a cash movement.

The **TaxVchrDtIs** block contains the following elements (see datatype "TaxVoucher4__1" on page 331 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		331
	BargainDate	<BrgrnDt>		[0..1]		332
	BargainSettlementDate	<BrgrnSttlmDt>		[0..1]		332

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails) is removed.

6.17.14 RateAndAmountDetails

XML Tag: RateAndAmtDtIs

Presence: [0..1]

Definition: Provides information about the corporate action option.

The **RateAndAmtDtIs** block contains the following elements (see datatype "Rate41__1" on page 262 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		265
	ChargesFees	<ChrgsFees>		[0..1]		265
	FiscalStamp	<FscIStmp>		[0..1]		266
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		266
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]		267

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]	🚫	267
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]	⚠️	268
	NetDistributionRate	<NetDstrbtnRate>		[0..*]	⚠️	268
	ApplicableRate	<AplblRate>		[0..1]	🚫	269
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]	🚫	269
	TaxCreditRate	<TaxCdtRate>		[0..1]		270
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	270
	SecondLevelTax	<ScndLvltax>		[0..*]	🚫	271
	TaxOnIncome	<TaxOnIncm>		[0..1]	🚫	271
	TaxOnProfits	<TaxOnPrfts>		[0..1]	🚫	272
	TaxReclaimRate	<TaxRclmRate>		[0..1]	🚫	272
	EqualisationRate	<EqulstnRate>		[0..1]	🚫 ⚠️	272
	DeemedRate	<DmdRate>		[0..*]	⚠️	273

6.17.15 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "PriceDetails37__1" on page 239 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	⚠️	240
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]	⚠️	241
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	🚫	241

6.18 CashParties43__1

Definition: Specifies cash parties in the framework of a corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Creditor	<Cdtr>		[0..1]		62
	CreditorAgent	<CdtrAgt>		[0..1]		62
	MarketClaimCounterparty	<MktClmCtrPty>		[0..1]		63

Used in element(s)

"CashParties" on page 56

6.18.1 Creditor

XML Tag: Cdtr

Presence: [0..1]

Definition: Party to which an amount of money is due.

The **Cdtr** block contains the following elements (see datatype "PartyIdentificationAndAccount225_1" on page 232 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		233
	CashAccount	<CshAcct>		[0..1]		233
	ProcessingIdentification	<PrcgId>		[0..1]		233
	AlternateIdentification	<AltrnId>		[0..1]		234

6.18.2 CreditorAgent

XML Tag: CdtrAgt

Presence: [0..1]

Definition: Financial institution servicing an account for the creditor.

The **CdtrAgt** block contains the following elements (see datatype "PartyIdentificationAndAccount226_1" on page 234 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		235
	CashAccount	<CshAcct>		[0..1]		235
	ProcessingIdentification	<PrcgId>		[0..1]		235
	AlternateIdentification	<AltrnId>		[0..1]		236

6.18.3 MarketClaimCounterparty

XML Tag: MktClnCtrPty

Presence: [0..1]

Definition: Party that has reimbursed the account owner with funds to which they were legally entitled.

The **MktClnCtrPty** block contains the following elements (see datatype "[PartyIdentificationAndAccount225__1](#)" on page 232 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		233
	CashAccount	<CshAcct>		[0..1]		233
	ProcessingIdentification	<PrcgId>		[0..1]	⚠	233
	AlternateIdentification	<AltrnId>		[0..1]		234

6.19 CorporateAction85__1

Definition: Provides information about the corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtIs>		[0..1]		63
	EventStage	<EvtStag>		[0..1]	⚠	64
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		64
	IntermediateSecuritiesDistributionType	<IntrmdtSciesDstrbtnTp>		[0..1]		65
	LotteryType	<LtryTp>		[0..1]	⚠	65

Used in element(s)

"[CorporateActionDetails](#)" on page 19

6.19.1 DateDetails

XML Tag: DtDtIs

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

The **DtDtIs** block contains the following elements (see datatype "[CorporateActionCode86__1](#)" on page 103 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RecordDate	<RcrdDt>		[0..1]		103
	ExDividendDate	<ExDvddDt>		[0..1]		104

6.19.2 EventStage

XML Tag: EvtStag

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

The **EvtStag** block contains the following elements (see datatype "[CorporateActionEventStageFormat14Choice__1](#)" on page 110 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		110
	Proprietary	<Prtry>	Or}	[1..1]		110

Usage Guideline details

- on [seev.036.001.16/CorporateActionDetails/EventStage](#)
 - **Applicability:**
true
 - **Redemption Rule:**
Mapped from DTCC Event Stage data element. Mapping is without conditions. The following codes are applicable: Cancellation and Re Run, Supplemental Cancellation and Supplemental Cancellation and Re Run. The Unconditional As to Acceptance field does not apply to Redemption based lottery events.
 - **REDEML Trace:**
Lottery Type
- on [seev.036.001.16/CorporateActionDetails/EventStage](#)
Synonym (DTCC): Event Stage

6.19.3 AdditionalBusinessProcessIndicator

XML Tag: AddtlBizPrclnd

Presence: [0..*]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

The **AddtlBizPrclnd** block contains the following elements (see datatype "[AdditionalBusinessProcessFormat23Choice__1](#)" on page 29 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		29
	Proprietary	<Prtry>	Or}	[1..1]	🚫	30

6.19.4 IntermediateSecuritiesDistributionType

XML Tag: IntrmdtSciesDstrbtnTp

Presence: [0..1]

Definition: Type of intermediates securities distribution.

The **IntrmdtSciesDstrbtnTp** block contains the following elements (see datatype "[IntermediateSecuritiesDistributionTypeFormat15Choice__1](#)" on page 204 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		204
	Proprietary	<Prtry>	Or}	[1..1]		204

6.19.5 LotteryType

XML Tag: LtryTp

Presence: [0..1]

Definition: Specifies the type of lottery announced.

The **LtryTp** block contains the following elements (see datatype "[LotteryTypeFormat4Choice__1](#)" on page 205 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		206
	Proprietary	<Prtry>	Or}	[1..1]	🚫	206

Usage Guideline details

- on [seev.036.001.16/CorporateActionDetails/LotteryType](#)
 - **Applicability:**
true

– **Redemption Rule:**

Mapped from DTCC Lottery Type data element. Mapping is without conditions. The following codes are applicable: Original and Supplemental.

– **REDEML Trace:**

Lottery Type

- on [seev.036.001.16/CorporateActionDetails/LotteryType](#)

Synonym (DTCC): Lottery Type

6.20 CorporateActionAmounts60__1

Definition: Specifies amounts in the framework of a corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]	⚠	66
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]	⚠	66

Used in element(s)

"AmountDetails" on page 316

6.20.1 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 449

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Amount-Details/WithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.20.2 SecondLevelTaxAmount

XML Tag: ScndLvITaxAmt

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 449

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Amount-Details/SecondLevelTaxAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 449

6.21 CorporateActionAmounts73__1

Definition: Specifies amounts in the framework of a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingAmount	<PstngAmt>		[1..1]		69
	GrossAmount	<GrssAmt>		[0..1]	 	70
	NetAmount	<NetAmt>		[0..1]		70
	SolicitationFees	<SlctnFees>		[0..1]		70
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]		71
	CapitalGain	<CptlGn>		[0..1]		71
	InterestAmount	<IntrstAmt>		[0..1]		72
	MarketClaimAmount	<MktCImAmt>		[0..1]	 	72
	IndemnityAmount	<IndmntyAmt>		[0..1]	 	73
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]	 	73
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]	 	73
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]	 	74
	UnfrankedAmount	<UfrnkAmt>		[0..1]	 	74
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]	 	75
	TaxFreeAmount	<TaxFreeAmt>		[0..1]	 	75
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]	 	75
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]	 	76
	StampDutyAmount	<StmpDtyAmt>		[0..1]	 	76
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]	 	76
	TaxCreditAmount	<TaxCdtAmt>		[0..1]	 	77
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]	 	77

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]	 	77
	SecondLevelTaxAmount	<ScndLvltTaxAmt>		[0..1]	 	78
	FiscalStampAmount	<FscIStmpAmt>		[0..1]	 	78
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]	 	79
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]	 	79
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]	 	79
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]	 	80
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]	 	80
	ChargesAmount	<ChrgsAmt>		[0..1]		80
	CashAmountBroughtForward	<CshAmtBrghtFwd>		[0..1]	 	81
	CashAmountCarriedForward	<CshAmtCrrdFwd>		[0..1]	 	81
	NotionalDividendPayableAmount	<NtnlDvddPyblAmt>		[0..1]	 	81
	NotionalTaxAmount	<NtnlTaxAmt>		[0..1]	 	82
	TaxArrearsAmount	<TaxArrearsAmt>		[0..1]	 	82
	OriginalAmount	<OrgnlAmt>		[0..1]	 	83
	PrincipalOrCorpus	<PrncplOrCrps>		[0..1]	 	83
	RedemptionPremiumAmount	<RedPrmAmt>		[0..1]	 	83
	IncomePortion	<IncmPrtn>		[0..1]	 	84
	StockExchangeTax	<StockXchgTax>		[0..1]	 	84
	EUTaxRetentionAmount	<EUTaxRtnntAmt>		[0..1]	 	84
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]		85
	EqualisationAmount	<EqulstrnAmt>		[0..1]	 	85
	FATCATaxAmount	<FATCATaxAmt>		[0..1]	 	86
	NRATaxAmount	<NRATaxAmt>		[0..1]	 	86
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]	 	86
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]	 	87
	TransactionTax	<TxTax>		[0..1]	 	87
	DeemedAmount	<DmdAmt>		[0..1]		88
	ForeignIncomeAmount	<FrgnIncmAmt>		[0..1]	 	88
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]	 	88
	DeemedFundAmount	<DmdFndAmt>		[0..1]	 	89

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]	 	89
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]	 	90
	AdjustedSubscriptionAmount	<AdjstdSbcptAmt>		[0..1]		90
	RefundedSubscriptionAmount	<RfnddSbcptAmt>		[0..1]		90
	BuyUpAmount	<BuyUpAmt>		[0..1]	 	91

Used in element(s)

"AmountDetails" on page 56

6.21.1 PostingAmount

XML Tag: PstngAmt

Presence: [1..1]

Definition: Amount of money that is to be/was posted to the account.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 449

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 449

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount

– Market Practice Usage Rule:

Used as a field for the total amount being allocated. To be used in conjunction with other amounts that specify the nature of the distribution (Payout Type. For an example: if NetCash amount is also populated - Payout Type is Dividend. Exception to this rule would be Payout Type: Cash or Principal where only this element will be used.

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount

– Applicability:
true

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount

Synonym (DTCC): Cash Amount (Payout: Principal)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount

Synonym (DTCC): Cash Amount (Payout: Cash)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount](#)

Comment:

Total cash amount that is being allocated as a result of this movement.

6.21.2 GrossAmount

XML Tag: GrssAmt

Presence: [0..1]

Definition: Amount of money before any tax deductions have been made.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/GrossAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/GrossAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.3 NetAmount

XML Tag: NetAmt

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NetAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NetAmount](#)
Synonym (DTCC): Cash Amount (Payout: Dividend)

6.21.4 SolicitationFees

XML Tag: SlctnFees

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)
 - **Market Practice Implementation Rule:**

Cash amount from Premium payouts will be used here with the exception of some events where Premium payout does not represent an incentive for participation or a consent fee.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)
Synonym (DTCC): Cash Amount (Payout: Premium)

6.21.5 CashInLieuOfShare

XML Tag: CshInLieuOfShr

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashInLieuOfShare](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashInLieuOfShare](#)
 - **DTCC Cash In Lieu Of Share Rule:**

Used when the message is sent for Cash In Lieu Payment.

6.21.6 CapitalGain

XML Tag: CptlGn

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain](#)
Synonym (DTCC): Cash Amount (Payout: Capital Gains)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain](#)
Synonym (DTCC): Cash Amount (Payout: Long Term Capital Gains)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain](#)
Synonym (DTCC): Cash Amount (Payout: Short Term Capital Gains)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain](#)
Synonym (DTCC): Cash Amount (Payout: Return of Capital)

6.21.7 InterestAmount

XML Tag: `IntrstAmt`

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/InterestAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/InterestAmount](#)
Synonym (DTCC): Cash Amount (Payout: Interest)

6.21.8 MarketClaimAmount

XML Tag: `MktClmAmt`

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/MarketClaimAmount) is removed.
 - on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/MarketClaimAmount
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.9 IndemnityAmount

XML Tag: IndmntyAmt

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount) is removed.
 - on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.10 ManufacturedDividendPaymentAmount

XML Tag: ManfctrdDvddPmtAmt

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ManufacturedDividendPaymentAmount) is removed.
 - on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ManufacturedDividendPaymentAmount
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.11 ReinvestmentAmount

XML Tag: RinvstmtAmt

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ReinvestmentAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ReinvestmentAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.12 FullyFrankedAmount

XML Tag: FullyFrnkAmt

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FullyFrankedAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FullyFrankedAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.13 UnfrankedAmount

XML Tag: UfrnkAmt

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/UnfrankedAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/UnfrankedAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.14 SundryOrOtherAmount

XML Tag: SndryOrOthrAmt

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SundryOrOtherAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SundryOrOtherAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.15 TaxFreeAmount

XML Tag: TaxFreeAmt

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxFreeAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxFreeAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.16 TaxDeferredAmount

XML Tag: TaxDfrdAmt

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxDeferredAmount](#)) is removed.

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxDeferredAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.17 ValueAddedTaxAmount

XML Tag: ValAddedTaxAmt

Presence: [0..1]

Definition: Tax on value added.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ValueAddedTaxAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ValueAddedTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.18 StampDutyAmount

XML Tag: StmpDtyAmt

Presence: [0..1]

Definition: Amount of stamp duty.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/StampDutyAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/StampDutyAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.19 TaxReclaimAmount

XML Tag: TaxRclmAmt

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxReclaimAmount) is removed.
 - on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxReclaimAmount
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.20 TaxCreditAmount

XML Tag: TaxCdtAmt

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 449

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxCreditAmount) is removed.
 - on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxCreditAmount
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.21 AdditionalTaxAmount

XML Tag: AddtlTaxAmt

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 449

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AdditionalTaxAmount) is removed.
 - on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AdditionalTaxAmount
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.22 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/WithholdingTaxAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/WithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.23 SecondLevelTaxAmount

XML Tag: ScndLvlTaxAmt

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SecondLevelTaxAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SecondLevelTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.24 FiscalStampAmount

XML Tag: FsclStmpAmt

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FiscalStampAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FiscalStampAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.25 ExecutingBrokerAmount

XML Tag: ExctgBrkrAmt

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ExecutingBrokerAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ExecutingBrokerAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.26 PayingAgentCommissionAmount

XML Tag: PngAgtComssnAmt

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PayingAgentCommissionAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PayingAgentCommissionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.27 LocalBrokerCommissionAmount

XML Tag: LclBrkrComssnAmt

Presence: [0..1]

Definition: Local broker's commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/LocalBrokerCommissionAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/LocalBrokerCommissionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.28 RegulatoryFeesAmount

XML Tag: RgltryFeesAmt

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, Securities and Exchange fees.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RegulatoryFeesAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RegulatoryFeesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.29 ShippingFeesAmount

XML Tag: ShppgFeesAmt

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ShippingFeesAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ShippingFeesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.30 ChargesAmount

XML Tag: ChrgsAmt

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- on `seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ChargesAmount`

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

- on `seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ChargesAmount`

Comment:

For Reorganization Events only

6.21.31 CashAmountBroughtForward

XML Tag: CshAmtBrghTfwd

Presence: [0..1]

Definition: Indicates cash retained from previous dividend.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- This element(`seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward`) is removed.
- on `seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward`

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.32 CashAmountCarriedForward

XML Tag: CshAmtCrrdFwd

Presence: [0..1]

Definition: Indicates the balance carried forward to next dividend.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 449

Usage Guideline details

- This element(`seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountCarriedForward`) is removed.
- on `seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountCarriedForward`

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.33 NotionalDividendPayableAmount

XML Tag: NtnlDvddPyblAmt

Presence: [0..1]

Definition: Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividendPayableAmount](#)) is removed.
 - on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividendPayableAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.34 NotionalTaxAmount

XML Tag: NtnlTaxAmt

Presence: [0..1]

Definition: Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalTaxAmount](#)) is removed.
 - on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalTaxAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.35 TaxArrearsAmount

XML Tag: TaxArrearsAmt

Presence: [0..1]

Definition: Amount of money paid by the tax authorities in addition to the payment of the tax refund itself.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxArrearsAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxArrearsAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.36 OriginalAmount

XML Tag: OrgnlAmt

Presence: [0..1]

Definition: Posting/settlement Amount in its original currency when conversion from/into another currency has occurred.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/OriginalAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/OriginalAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.37 PrincipalOrCorpus

XML Tag: PrncplOrCrps

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PrincipalOrCorpus](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PrincipalOrCorpus](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.38 RedemptionPremiumAmount

XML Tag: RedPrmAmt

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RedemptionPremiumAmount) is removed.
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RedemptionPremiumAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.39 IncomePortion

XML Tag: IncmPrtn

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 449

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IncomePortion) is removed.
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IncomePortion

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.40 StockExchangeTax

XML Tag: StockXchgTax

Presence: [0..1]

Definition: Amount of stock exchange tax.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 449

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/StockExchangeTax) is removed.
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/StockExchangeTax

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 449

6.21.41 EUTaxRetentionAmount

XML Tag: EUTaxRtnAmt

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/EUTaxRetentionAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/EUTaxRetentionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.42 AccruedInterestAmount

XML Tag: `AcrdIntrstAmt`

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

– Market Practice Usage Rule:

Used when event type is other than Interest.

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

– Applicability:
true

– Redemption Rule:

Mapped from Interest payout on a Redemption event.

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

Synonym (DTCC): Cash Amount (Payout: Interest)

6.21.43 EqualisationAmount

XML Tag: `EqulstnAmt`

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/EqualisationAmount](#)) is removed.
 - on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/EqualisationAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.44 FATCATaxAmount

XML Tag: FATCATaxAmt

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FATCATaxAmount](#)) is removed.
 - on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FATCATaxAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.45 NRATaxAmount

XML Tag: NRATaxAmt

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NRATaxAmount](#)) is removed.
 - on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NRATaxAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.46 BackUpWithholdingTaxAmount

XML Tag: BckUpWhldgTaxAmt

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/BackUpWithholdingTaxAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/BackUpWithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.47 TaxOnIncomeAmount

XML Tag: TaxOnIncmAmt

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxOnIncomeAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxOnIncomeAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.48 TransactionTax

XML Tag: TxTax

Presence: [0..1]

Definition: Amount of transaction tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TransactionTax](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TransactionTax](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.49 DeemedAmount

XML Tag: DmdAmt

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 449

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 449

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedAmount

Synonym (DTCC): Total Cash Due

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedAmount

Comment:

This should be considered gross taxable amount

6.21.50 ForeignIncomeAmount

XML Tag: FrgnIncmAmt

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 449

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ForeignIncomeAmount) is removed.

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ForeignIncomeAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 449

6.21.51 DeemedDividendAmount

XML Tag: DmdDvddAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedDividendAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedDividendAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.52 DeemedFundAmount

XML Tag: DmdFndAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedFundAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedFundAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.53 DeemedInterestAmount

XML Tag: DmdIntrstAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedInterestAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedInterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.54 DeemedRoyaltiesAmount

XML Tag: DmdRyltsAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedRoyaltiesAmount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedRoyaltiesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.55 AdjustedSubscriptionAmount

XML Tag: AdjstdSbcptAmt

Presence: [0..1]

Definition: Amount of money debited or credited to adjust for the difference between the estimated and the final subscription rate for Rights Exercise events.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AdjustedSubscriptionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.56 RefundedSubscriptionAmount

XML Tag: RfnddSbcptAmt

Presence: [0..1]

Definition: Amount of money refunded from the original subscription cost for a Rights Exercise event. This occurs when the event is prorated and the subscription cost relating to the unaffected (unaccepted) balance is returned to the holder.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RefundedSubscriptionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.21.57 BuyUpAmount

XML Tag: BuyUpAmt

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/BuyUpAmount) is removed.
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/BuyUpAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.22 CorporateActionBalanceDetails41__1

Definition: Eligible and not eligible balance of securities for a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ConfirmedBalance	<ConfdBal>		[1..1]		92
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		93
	BlockedBalance	<BlckdBal>		[0..1]		93
	BorrowedBalance	<BrrwdBal>		[0..1]		94
	CollateralInBalance	<CollInBal>		[0..1]		94
	CollateralOutBalance	<CollOutBal>		[0..1]		95
	OnLoanBalance	<OnLnBal>		[0..1]		96
	PendingDeliveryBalance	<PdgDlvryBal>		[0..*]	 R[0..1]	97
	PendingReceiptBalance	<PdgRctBal>		[0..*]	 R[0..1]	97
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]		98
	SettlementPositionBalance	<SttlmPosBal>		[0..*]	 R[0..1]	98
	StreetPositionBalance	<StrtPosBal>		[0..1]		100
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]		100
	InTransshipmentBalance	<InTrnsShipmntBal>		[0..1]		101

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegisteredBalance	<RegdBal>		[0..1]	🚫	101
	AffectedBalance	<AfctdBal>		[0..1]	⚠️	101
	UnaffectedBalance	<UafctdBal>		[0..1]	⚠️	102

Rules

R27 AffectedAndUnaffectedBalanceRule

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

Error handling: Undefined

Used in element(s)

"Balance" on page 28

6.22.1 ConfirmedBalance

XML Tag: ConfdBal

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

The **ConfdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⚠️ Ignored - ⚠️ Partial Ignored - ⚠️ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/ConfirmedBalance](#)
 - **REDEML Trace:**
Called Quantity
 - **Applicability:**
true
 - **Redemption Rule:**
Balance to which the payment applies (less or equal to the total eligible balance).
- on [seev.036.001.16/AccountDetails/Balance/ConfirmedBalance](#)

Synonym (DTCC (Distributions)): Position

- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance

Synonym (DTCC (Redemptions)): Confirmed Balance

- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance

Comment:

Represents confirmed eligible [for elections, allocations] balance for which a payment was made.

6.22.2 TotalEligibleBalance

XML Tag: TtlElgblBal

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

The **TtlElgblBal** block contains the following elements (see datatype "TotalEligibleBalanceFor-mat10__1" on page 333 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		334
	FullPeriodUnits	<FullPrdUnits>		[0..1]	🚫	334
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]	🚫	335

Usage Guideline details

- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance

– **Applicability:**
true

– **Redemption Rule:**
The summary of the subaccounts as of the day prior to Publication Date.

– **REDEML Trace:**
Total Position

- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance

Synonym (DTCC (Redemptions)): Total Eligible Balance

6.22.3 BlockedBalance

XML Tag: BlckdBal

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

The **BkcdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/BlockedBalance) is removed.

6.22.4 BorrowedBalance

XML Tag: BrrwdBal

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

The **BrrwdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

Usage Guideline details

- on seev.036.001.16/AccountDetails/Balance/BorrowedBalance
 - Market Practice Usage Rule:

Represents the negative net balance of the stock loans.
- on seev.036.001.16/AccountDetails/Balance/BorrowedBalance

Synonym (DTCC): Stock Loan (negative balance)

6.22.5 CollateralInBalance

XML Tag: CollnBal

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

The **CollInBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on [page 42](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance](#)

- **Market Practice Usage Rule:**

Represents the positive net balance of the repurchase agreements (REPO).

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance](#)

Synonym (DTCC): REPO (positive balance)

6.22.6 CollateralOutBalance

XML Tag: CollOutBal

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

The **CollOutBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on [page 42](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/CollateralOutBalance](#)

- **Market Practice Usage Rule:**

Represents the negative net balance of the repurchase agreements (REPO).

- **on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance**

- **Applicability:**

true

- **Redemption Rule:**

Portion of the Original Balance held in DTC pledged account as of day prior to publication date. Position held in this account is subject to redemption lottery call. However, pledged units must be released to allow allocation.

- **REDEML Trace:**

Pledged Position

- **on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance**

Synonym (DTCC (Distributions)): REPO (negative balance)

- **on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance**

Synonym (DTCC (Redemptions)): Pledged Balance

6.22.7 OnLoanBalance

XML Tag: OnLnBal

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

The **OnLnBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

Usage Guideline details

- **on seev.036.001.16/AccountDetails/Balance/OnLoanBalance**

- **Market Practice Implementation Rule:**

Represents the positive net balance of the stock loans.

- **on seev.036.001.16/AccountDetails/Balance/OnLoanBalance**

Synonym (DTCC): Stock Loan (positive balance)

6.22.8 PendingDeliveryBalance

XML Tag: PdgDlvryBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

The **PdgDlvryBal** block contains the following elements (see datatype "[BalanceFormat12Choice__1](#)" on page 45 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		45
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	45
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	46
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	46
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	47

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance](#)
This element has change repeatability, the maximum occurrence has been changed to : 1
- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance](#)
 - **Market Practice Usage Rule:**
Represents the negative net balance of the fail trades.
- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance](#)
Synonym (DTCC): FAILs (negative balance)

6.22.9 PendingReceiptBalance

XML Tag: PdgRctBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

The **PdgRctBal** block contains the following elements (see datatype "[BalanceFormat12Choice__1](#)" on page 45 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		45

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	45
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	46
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	46
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	47

Usage Guideline details

- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance
This element has change repeatability, the maximum occurrence has been changed to : 1
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance
 - **Market Practice Usage Rule:**
Represents the positive net balance of the fail trades.
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance
Synonym (DTCC): FAILs (positive balance)

6.22.10 OutForRegistrationBalance

XML Tag: OutForRegnBal

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

The **OutForRegnBal** block contains the following elements (see datatype "BalanceFormat11Choice_1" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance) is removed.

6.22.11 SettlementPositionBalance

XML Tag: SttlmPosBal

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

The **SttlmPosBal** block contains the following elements (see datatype "[BalanceFormat12Choice__1](#)" on page 45 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		45
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	45
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	46
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	46
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	47

Usage Guideline details

- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance**
This element has change repeatability, the maximum occurrence has been changed to : 1
- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance**
 - **Settlement Position Balance Distributions Rule:**
Represents current eligible [for elections, allocations] balance.
- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance**
 - **Applicability:**
true
 - **Redemption Rule:**
Current position held in a redemption security as of prior close of business.
 - **REDEML Trace:**
Current Position
- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance**
Synonym (DTCC (Distributions)): Positions
- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance**
Synonym (DTCC (Redemptions)): Settlement Position Balance
- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance**
Comment:
In Distributions it is balance eligible for allocations, elections.

6.22.12 StreetPositionBalance

XML Tag: StrtPosBal

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

The **StrtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on [page 42](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/StreetPositionBalance) is removed.

6.22.13 TradeDatePositionBalance

XML Tag: TradDtPosBal

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

The **TradDtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on [page 42](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance) is removed.

6.22.14 InTransshipmentBalance

XML Tag: InTrnsShipmntBal

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

The **InTrnsShipmntBal** block contains the following elements (see datatype "BalanceFormat11Choice__1" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance) is removed.

6.22.15 RegisteredBalance

XML Tag: RegdBal

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

The **RegdBal** block contains the following elements (see datatype "BalanceFormat11Choice__1" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/RegisteredBalance) is removed.

6.22.16 AffectedBalance

XML Tag: AfctdBal

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

The **AfctdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on [page 42](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/AffectedBalance](#)
 - **Applicability:**
true
 - **Redemption Rule:**
For lottery events (DRAW, PDEF), the called portion of the eligible balance.
 - **REDEML Trace:**
Called Quantity
- on [seev.036.001.16/AccountDetails/Balance/AffectedBalance](#)
Synonym (DTCC (Redemptions)): Affected Balance

6.22.17 UnaffectedBalance

XML Tag: UafctdBal

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

The **UafctdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on [page 42](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

Usage Guideline details

- on **seev.036.001.16/AccountDetails/Balance/UnaffectedBalance**
 - **Applicability:**
true
 - **Redemption Rule:**
Postion balance remaining after lottery is run.
 - **REDEML Trace:**
Postion Uncalled
- on **seev.036.001.16/AccountDetails/Balance/UnaffectedBalance**
Synonym (DTCC (Redemptions)): Unaffected Balance

6.23 CorporateActionDate86__1

Definition: Specifies corporate action dates.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RecordDate	<RcrdDt>		[0..1]		103
	ExDividendDate	<ExDvddDt>		[0..1]		104

Used in element(s)

"DateDetails" on page 63

6.23.1 RecordDate

XML Tag: RcrdDt

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

The **RcrdDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on page 145 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		146
	DateCode	<DtCd>	Or}	[1..1]		146

Usage Guideline details

- on **seev.036.001.16/CorporateActionDetails/DateDetails/RecordDate**
 - **Applicability:**
true
 - **REDEML Trace:**
As of Date
 - **Redemption Rule:**
Calculated as the business day prior to Publication Date.
- on **seev.036.001.16/CorporateActionDetails/DateDetails/RecordDate**
Synonym (DTCC): Record Date

6.23.2 ExDividendDate

XML Tag: ExDvddDt

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

The **ExDvddDt** block contains the following elements (see datatype "[DateFormat30Choice__1](#)" on [page 145](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		146
	DateCode	<DtCd>	Or}	[1..1]	🚫	146

Usage Guideline details

- on **seev.036.001.16/CorporateActionDetails/DateDetails/ExDividendDate**
Synonym (DTCC): Ex Date

6.24 CorporateActionDate88__1

Definition: Specifies corporate action date.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CoverExpirationDeadline	<CoverXprtnDdln>		[0..1]		105
	TradingDate	<TradgDt>		[0..1]		105

Used in element(s)

["DateDetails" on page 121](#)

6.24.1 CoverExpirationDeadline

XML Tag: CoverXprtnDdlIn

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

The **CoverXprtnDdlIn** block contains the following elements (see datatype ["DateFormat43Choice" on page 147](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		147
	DateCode	<DtCd>	Or}	[1..1]		147

6.24.2 TradingDate

XML Tag: TradgDt

Presence: [0..1]

Definition: Date/time at which the deal (rights) was agreed.

The **TradgDt** block contains the following elements (see datatype ["DateFormat43Choice__1" on page 148](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		148
	DateCode	<DtCd>	Or}	[1..1]		148

6.25 CorporateActionDate99

Definition: Specifies corporate action dates.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingDate	<PstngDt>		[1..1]		106
	ValueDate	<ValDt>		[0..1]		106
	ForeignExchangeRateFixingDate	<FXRateFxdDt>		[0..1]		107

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		107
	PaymentDate	<PmtDt>		[0..1]		107

Used in element(s)

"DateDetails" on page 59

6.25.1 PostingDate

XML Tag: PstngDt

Presence: [1..1]

Definition: Date/time of the posting (credit or debit) to the account.

The **PstngDt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 143 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PostingDate
 - **Applicability:**
true
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PostingDate

Synonym (DTCC): DTC Allocation Date and Time
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PostingDate

Comment:
Date and Time when allocation was made by DTC (The Depository Trust Company).

6.25.2 ValueDate

XML Tag: ValDt

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

Datatype: "[ISODate](#)" on page 422

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/ValueDate) is removed.

6.25.3 ForeignExchangeRateFixingDate

XML Tag: FXRateFxdt

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

The **FXRateFxdt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on [page 143](#) for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate) is removed.

6.25.4 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if the payment date is a non-business day or to indicate the first payment date of an offer.

Datatype: "[ISODate](#)" on [page 422](#)

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/EarliestPaymentDate) is removed.

6.25.5 PaymentDate

XML Tag: PmtDt

Presence: [0..1]

Definition: Date on which the distribution is due to take place (cash and/or securities).

Datatype: "[ISODate](#)" on [page 422](#)

Usage Guideline details

- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PaymentDate**
 - **Applicability:**
true
 - **REDEML Trace:**
Redemption Date
- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PaymentDate**
Synonym (DTCC): Declared Payable Date
- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PaymentDate**
Comment:
Payable date as announced by the issuer or offeror.

6.26 CorporateActionEventReference3Choice__1

Definition: Choice between a corporate action identification or a corporate action official identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]		108
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		109

Used in element(s)

["EventIdentification" on page 109](#)

6.26.1 LinkedOfficialCorporateActionEventIdentification

XML Tag: LkdOffclCorpActnEvtId

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 452](#)

Usage Guideline details

- on **seev.036.001.16/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification**

Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.26.2 LinkedCorporateActionIdentification

XML Tag: LkdCorpActnId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 452](#)

Usage Guideline details

- on [seev.036.001.16/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.27 CorporateActionEventReference3__1

Definition: Identification of a linked corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		109
	LinkageType	<LkgTp>		[0..1]		110

Used in element(s)

["EventsLinkage" on page 17](#)

6.27.1 EventIdentification

XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked corporate action event.

The **EvtId** block contains the following elements (see datatype ["CorporateActionEventReference3Choice__1" on page 108](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]		108

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		109

6.27.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice__1" on page 248 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		248
	Proprietary	<Prtry>	Or}	[1..1]		248

6.28 CorporateActionEventStageFormat14Choice__1

Definition: Choice between a standard code or proprietary code to specify the event stage type.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		110
	Proprietary	<Prtry>	Or}	[1..1]		110

Used in element(s)

"EventStage" on page 64

6.28.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "CorporateActionEventStage4Code" on page 350

6.28.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on [page 178](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionDetails/EventStage/Proprietary) is removed.

6.29 CorporateActionEventType109Choice__1

Definition: Choice between formats to express the corporate event type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		112
	Proprietary	<Prtry>	Or}	[1..1]	🚫	112

Rules

R14 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

Error handling: Undefined

Used in element(s)

["EventType" on page 114](#)

6.29.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType37Code" on page 351

6.29.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionGeneralInformation/EventType/Proprietary) is removed.

6.30 CorporateActionGeneralInformation179__1

Definition: General information about the corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		113
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		113
	ClassActionNumber	<ClsActnNb>		[0..1]	 	114
	EventType	<EvtTp>		[1..1]		114
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		115

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FractionalQuantity	<FrctnlQty>		[0..1]		116

Rules

R13 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

Error handling: Undefined

Used in element(s)

"CorporateActionGeneralInformation" on page 18

6.30.1 CorporateActionEventIdentification

XML Tag: CorpActnEvtId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 452

Usage Guideline details

- on [seev.036.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text](#) on page 452
- on [seev.036.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Synonym (DTCC): CA ID
- on [seev.036.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Comment:
DTC unique identifier of the event.

6.30.2 OfficialCorporateActionEventIdentification

XML Tag: OffclCorpActnEvtId

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 452

Usage Guideline details

- on [seev.036.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 452](#)
- on [seev.036.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
 - **Market Practice Usage Rule:**
Provided for DTC Eligible securities only.
- on [seev.036.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Synonym (DTCC): Official Reference CA ID
- on [seev.036.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Comment:
Official corporate action unique identifier.

6.30.3 ClassActionNumber

XML Tag: `ClassActnNb`

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text" on page 452](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionGeneralInformation/ClassActionNumber](#)) is removed.
- on [seev.036.001.16/CorporateActionGeneralInformation/ClassActionNumber](#)
Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.30.4 EventType

XML Tag: `EvtTp`

Presence: [1..1]

Definition: Type of corporate action event.

The **EvtTp** block contains the following elements (see datatype ["CorporateActionEventType109Choice__1" on page 111](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		112
	Proprietary	<Prtry>	Or}	[1..1]		112

Usage Guideline details

- on seev.036.001.16/CorporateActionGeneralInformation/EventType
 - **Market Practice Usage Rule:**

Identifies type of the corporate action event.
ISO EventType Other (OTHR) is used when no other corporate action code is appropriate. DTCC event type set is more granular than ISO, so it is possible for two or more events to use same ISO Event Type code. Other tags within the message will help to uniquely identify the event scenario. For complete rules please refer to DTCC Corporate Action Event Dictionary.
- on seev.036.001.16/CorporateActionGeneralInformation/EventType

Synonym (DTCC): Event Type
- on seev.036.001.16/CorporateActionGeneralInformation/EventType

Comment:
Type of corporate action event. (e.g., Exchange Offer, Final Paydown).

6.30.5 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19__1](#)" on [page 321](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		322
	OtherIdentification	<OthrId>		[0..*]		322
	Description	<Desc>		[0..1]		323

Usage Guideline details

- on seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification
 - **Applicability:**
true
 - **REDEML Trace:**

Redemption Contra-CUSIP

– **Redemption Rule:**

Redemption Contra-CUSIP can also be mapped here if the event is announced by DTC 'on' the Contra CUSIP.

– **REDEMG Trace:**

Redemption CUSIP Number

– **REDEMA Trace:**

CUSIP

– **REDEMX Trace:**

CUSIP

– **REDEMC Trace:**

CUSIP

- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification](#)
Synonym (DTCC): Event Security ID Type
- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification](#)
Synonym (DTCC): Event Security Description
- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification](#)
Synonym (DTCC): Event Security ID

6.30.6 FractionalQuantity

XML Tag: FrctnlQty

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

The **FrctnlQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 156 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	156
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	162
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	168
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		174

Usage Guideline details

- This element([seev.036.001.16/CorporateActionGeneralInformation/FractionalQuantity](#)) is removed.

6.31 CorporateActionNarrative31__1

Definition: Provides additional information such as the taxation conditions.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]		117
	NarrativeVersion	<NrrtvVrsn>		[0..*]		117
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		118
	TaxationConditions	<TaxtnConds>		[0..*]		118

Used in element(s)

"AdditionalInformation" on page 20

6.31.1 AdditionalText

XML Tag: AddtlTxt

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 454

Usage Guideline details

- on seev.036.001.16/AdditionalInformation/AdditionalText
Type changed to: [RestrictedFINXMax350Text on page 454](#)
- on seev.036.001.16/AdditionalInformation/AdditionalText
 - DTCC OTHR Event Type Rule:
When the ISO event type is OTHR. Text will be populated with clarification.

6.31.2 NarrativeVersion

XML Tag: NrrtvVrsn

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "RestrictedFINXMax350Text" on page 454

Usage Guideline details

- This element([seev.036.001.16/AdditionalInformation/NarrativeVersion](#)) is removed.
- on [seev.036.001.16/AdditionalInformation/NarrativeVersion](#)
Type changed to: [RestrictedFINXMax350Text on page 454](#)

6.31.3 PartyContactNarrative

XML Tag: PtyCtctNrrtv

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: ["RestrictedFINXMax350Text" on page 454](#)

Usage Guideline details

- This element([seev.036.001.16/AdditionalInformation/PartyContactNarrative](#)) is removed.
- on [seev.036.001.16/AdditionalInformation/PartyContactNarrative](#)
Type changed to: [RestrictedFINXMax350Text on page 454](#)

6.31.4 TaxationConditions

XML Tag: TaxtnConds

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: ["RestrictedFINXMax350Text" on page 454](#)

Usage Guideline details

- This element([seev.036.001.16/AdditionalInformation/TaxationConditions](#)) is removed.
- on [seev.036.001.16/AdditionalInformation/TaxationConditions](#)
Type changed to: [RestrictedFINXMax350Text on page 454](#)

6.32 CorporateActionOption233__1

Definition: Provides information about the corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]	⚠	119
	OptionType	<OptnTp>		[1..1]	⚠	120
	OptionFeatures	<OptnFeatrs>		[0..*]		120
	FractionDisposition	<FrctnDspstn>		[0..1]	🚫	120
	CurrencyOption	<CcyOptn>		[0..1]	🚫	121
	DateDetails	<DtDtls>		[0..1]	🚫	121
	PeriodDetails	<PrdDtls>		[0..1]	🚫	122
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		122
	PriceDetails	<PricDtls>		[0..1]		123
	PlaceOfTrade	<PlcOfTrad>		[0..1]	🚫	123
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]	⚠	123
	CashMovementDetails	<CshMvmntDtls>		[0..*]	⚠	124

Used in element(s)

"CorporateActionConfirmationDetails" on page 20

6.32.1 OptionNumber

XML Tag: OptnNb

Presence: [1..1]

Definition: Number identifying the available corporate action options.

The **OptnNb** block contains the following elements (see datatype "[OptionNumber1Choice](#)" on page 216 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Number	<Nb>	{Or	[1..1]		216
	Code	<Cd>	Or}	[1..1]	🚫	217

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/OptionNumber](#)

Synonym (DTCC): Option Number

- on [seev.036.001.16/CorporateActionConfirmationDetails/OptionNumber](#)

Comment:

Number that uniquely identifies an option within an event.

6.32.2 OptionType

XML Tag: OptnTp

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

The **OptnTp** block contains the following elements (see datatype "[CorporateActionOption33Choice__1](#)" on page 125 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		126
	Proprietary	<Prtry>	Or}	[1..1]		126

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/OptionType](#)
Synonym (DTCC): Option Type
- on [seev.036.001.16/CorporateActionConfirmationDetails/OptionType](#)
Comment:
 Describes the type of option associated with the event (e.g., retain, sell).

6.32.3 OptionFeatures

XML Tag: OptnFeatrs

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

The **OptnFeatrs** block contains the following elements (see datatype "[OptionFeaturesFormat29Choice__1](#)" on page 215 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		216
	Proprietary	<Prtry>	Or}	[1..1]		216

6.32.4 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "[FractionDisposition-Type27Choice__1](#)" on page 176 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		177
	Proprietary	<Prtry>	Or}	[1..1]		177

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/FractionDisposition) is removed.

6.32.5 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Datatype: "[ActiveCurrencyCode](#)" on page 337

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CurrencyOption) is removed.

6.32.6 DateDetails

XML Tag: DtDtIs

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

The **DtDtIs** block contains the following elements (see datatype "[CorporateActionDate88__1](#)" on page 104 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CoverExpirationDeadline	<CoverXprtnddln>		[0..1]		105
	TradingDate	<TradgDt>		[0..1]		105

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/DateDetails) is removed.

6.32.7 PeriodDetails

XML Tag: PrdDtls

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

The **PrdDtls** block contains the following elements (see datatype "[CorporateActionPeriod13](#)" on page 126 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationPeriod	<PricClctnPrd>		[0..1]		127
	ActionPeriod	<ActnPrd>		[0..1]		127
	ParallelTradingPeriod	<ParllTradgPrd>		[0..1]		127

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/PeriodDetails) is removed.

6.32.8 RateAndAmountDetails

XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "[CorporateAction-Rate120__1](#)" on page 132 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		134
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		135
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		135
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrscptRate>		[0..1]		136
	ProrationRate	<PrratnRate>		[0..1]		136

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		136
	SecondLevelTax	<ScndLvITax>		[0..*]		137
	AdditionalTax	<AddtlITax>		[0..1]		137
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		138

6.32.9 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "[CorporateActionPrice84__1](#)" on page 131 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		131
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		132

6.32.10 PlaceOfTrade

XML Tag: PlcOfTrad

Presence: [0..1]

Definition: Place where the trade was executed.

The **PlcOfTrad** block contains the following elements (see datatype "[MarketIdentification84__1](#)" on page 207 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[0..1]		208
	Type	<Tp>		[1..1]		208

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/PlaceOfTrade) is removed.

6.32.11 SecuritiesMovementDetails

XML Tag: SctiesMvmntDtls

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

The **SctiesMvmntDtls** block contains the following elements (see datatype "[SecuritiesOption112__1](#)" on page 306 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		308
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		309
	TemporaryFinancialInstrumen- tIndicator	<TempFinInstrmInd>		[0..1]		309
	NewSecuritiesIssuanceIndicator	<NewSctiesIssncInd>		[0..1]		310
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		310
	IncomeType	<IncmTp>		[0..1]		310
	OtherIncomeType	<OthrIncmTp>		[0..*]		311
	ExemptionType	<XmptnTp>		[0..*]		311
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		312
	PostingQuantity	<PstngQty>		[1..1]		312
	SafekeepingPlace	<SfkpgPlc>		[0..1]		313
	FractionDisposition	<FrctnDspstn>		[0..1]		313
	CurrencyOption	<CcyOptn>		[0..1]		314
	DateDetails	<DtDtls>		[1..1]		314
	RateDetails	<RateDtls>		[0..1]		315
	PriceDetails	<PricDtls>		[0..1]		315
	AmountDetails	<AmtDtls>		[0..1]		316
	ReceivingSettlementParties	<RcvgSttlmPties>		[0..1]		316
	DeliveringSettlementParties	<DlvrgSttlmPties>		[0..1]		317

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails
Synonym (DTCC): Payout (Securities)

6.32.12 CashMovementDetails

XML Tag: CshMvmntDtls

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

The **CshMvmntDtls** block contains the following elements (see datatype "CashOption105__1" on page 51 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]	⚠	53
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]	🚫	53
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	🚫	53
	IncomeType	<IncmTp>		[0..1]	🚫	54
	OtherIncomeType	<OthrIncmTp>		[0..*]	🚫	54
	ExemptionType	<XmptnTp>		[0..*]	🚫	55
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	🚫	55
	Account	<Acct>		[0..1]	🚫	56
	CashParties	<CshPties>		[0..1]	🚫	56
	AmountDetails	<AmtDtls>		[1..1]		56
	DateDetails	<DtDtls>		[1..1]		59
	ForeignExchangeDetails	<FXDtls>		[0..*]	🚫	59
	TaxVoucherDetails	<TaxVchrDtls>		[0..1]	🚫	60
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		60
	PriceDetails	<PricDtls>		[0..1]		61

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails
 Synonym (DTCC): Payout (Cash)

6.33 CorporateActionOption33Choice__1

Definition: Choice between a standard code or a proprietary code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		126

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		126

Used in element(s)

"OptionType" on page 120

6.33.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOption12Code" on page 392

6.33.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Option type expressed as a proprietary identification.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary
 - Market Practice Usage Rule:

Use for VOTE events only.

6.34 CorporateActionPeriod13

Definition: Specifies periods.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationPeriod	<PricClctnPrd>		[0..1]		127
	ActionPeriod	<ActnPrd>		[0..1]		127
	ParallelTradingPeriod	<ParllTradgPrd>		[0..1]		127

Used in element(s)

"PeriodDetails" on page 122

6.34.1 PriceCalculationPeriod

XML Tag: PricClctnPrd

Presence: [0..1]

Definition: Period during which the price of a security is determined.

The **PricClctnPrd** block contains the following elements (see datatype "Period11" on page 237 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		237
	EndDate	<EndDt>		[1..1]		237

6.34.2 ActionPeriod

XML Tag: ActnPrd

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

The **ActnPrd** block contains the following elements (see datatype "Period11" on page 237 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		237
	EndDate	<EndDt>		[1..1]		237

6.34.3 ParallelTradingPeriod

XML Tag: ParllTradgPrd

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

The **ParlITradgPrd** block contains the following elements (see datatype "[Period11](#)" on page 237 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		237
	EndDate	<EndDt>		[1..1]		237

6.35 CorporateActionPrice83__1

Definition: Specifies various prices of a corporate action.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		128
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]	🚫	129
	CashValueForTax	<CshValForTax>		[0..1]	🚫	129
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	🚫	130
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		130

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

Used in element(s)

["PriceDetails"](#) on page 315

6.35.1 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "[PriceFormat77Choice__1](#)" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		247
	AmountPrice	<AmtPric>	Or}	[1..1]		247

6.35.2 IndicativeOrMarketPrice

XML Tag: IndctvOrMktPric

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

The **IndctvOrMktPric** block contains the following elements (see datatype "[IndicativeOrMarketPrice13Choice__1](#)" on page 201 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		202
	MarketPrice	<MktPric>	Or}	[1..1]		202

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice) is removed.

6.35.3 CashValueForTax

XML Tag: CshValForTax

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

The **CshValForTax** block contains the following elements (see datatype "[AmountPrice2__1](#)" on page 35 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		35
	PriceValue	<PricVal>		[1..1]		36

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax) is removed.

6.35.4 GenericCashPricePaidPerProduct

XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "PriceFormat75Choice__1" on page 242 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		242
	AmountPrice	<AmtPric>	Or	[1..1]		243
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	243

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct) is removed.

6.35.5 GenericCashPriceReceivedPerProduct

XML Tag: GncCshPricRcvdPerPdct

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "PriceFormat76Choice__1" on page 243 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	⊘	244
	AmountPrice	<AmtPric>	Or	[1..1]	⊘	244
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		245

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	🚫	245
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠️	246

6.36 CorporateActionPrice84__1

Definition: Specifies prices.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	🚫	131
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		132

Rules

R38 GenericCashPriceReceivedPerProductGuideline

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

Error handling: Undefined

Used in element(s)

"PriceDetails" on page 123

6.36.1 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat77Choice__1" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		247
	AmountPrice	<AmtPric>	Or}	[1..1]		247

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/PriceDetails/CashIn-LieuOfSharePrice) is removed.

6.36.2 OverSubscriptionDepositPrice

XML Tag: OverSbcptDpstPric

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

The **OverSbcptDpstPric** block contains the following elements (see datatype "PriceFormat77Choice__1" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	247
	AmountPrice	<AmtPric>	Or}	[1..1]		247

6.37 CorporateActionRate120__1

Definition: Specifies rates.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]	🚫	134
	NetDistributionRate	<NetDstrbtnRate>		[0..*]	🚫	135
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]	🚫	135
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrbcptRate>		[0..1]	🚫	136
	ProrationRate	<PrratnRate>		[0..1]	⚠	136
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	136
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	137
	AdditionalTax	<AddtlTax>		[0..1]	🚫	137
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		138

Rules

R29 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

Error handling: Undefined

R30 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

Error handling: Undefined

R31 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

Error handling: Undefined

R32 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

Error handling: Undefined

R33 SecondLevelTax3Rule ✓

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00387
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

R34 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R35 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R36 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/Rate-Type/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R37 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 122

6.37.1 GrossDistributionRate

XML Tag: GrssDstrbtnRate

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat35Choice__1" on page 196 for details)

❌ Removed - ⊗ Partial Removed - ♦ Ignored - ⊗ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠️	197
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		197

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		197

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate) is removed.

6.37.2 NetDistributionRate

XML Tag: NetDstrbtnRate

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtnRate** block contains the following elements (see datatype "NetDividendRateFormat37Choice__1" on page 212 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	213
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		213
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		213

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate) is removed.

6.37.3 GrossInterestRateUsedForPayment

XML Tag: GrssIntrstRateUsdForPmt

Presence: [0..*]

Definition: Actual interest rate before tax used for the payment of the interest for the specified interest period.

The **GrssIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat12Choice__1" on page 202 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		203
	Amount	<Amt>	Or	[1..1]		203
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		203

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment) is removed.

6.37.4 MaximumAllowedOversubscriptionRate

XML Tag: MaxAllwdOvrsbcptRate

Presence: [0..1]

Definition: A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

Datatype: "Percentage14Rate" on page 444

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/MaximumAllowedOversubscriptionRate) is removed.

6.37.5 ProrationRate

XML Tag: PrratnRate

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

Datatype: "Percentage14Rate" on page 444

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/ProrationRate

Comment:

For Reorganization Events Only

6.37.6 WithholdingTaxRate

XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "RateAndAmountFormat55Choice__1" on page 273 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or	[1..1]	⚠	274
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		275

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/WithholdingTaxRate) is removed.

6.37.7 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFormat55Choice__1" on page 273 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or	[1..1]	⚠	274
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		275

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/SecondLevelTax) is removed.

6.37.8 AdditionalTax

XML Tag: AddtlTax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtlTax** block contains the following elements (see datatype "[RateAndAmountFormat59Choice__1](#)" on page 275 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]	⚠	275

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/AdditionalTax) is removed.

6.37.9 TaxableIncomePerDividendShare

XML Tag: TaxblIncmPerDvddShr

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

The **TaxblIncmPerDvddShr** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus26__1](#)" on page 288 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		288
	Amount	<Amt>		[1..1]	⚠	288
	RateStatus	<RateSts>		[0..1]		289

6.38 CorporateActionRate125__1

Definition: Specifies rate details.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbdrsltntScies>		[0..1]	🚫	139

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScies>		[0..1]		139
	NewToOld	<NewToOd>		[0..1]		140
	ChargesFees	<ChrgsFees>		[0..1]		140
	FiscalStamp	<FscIStmp>		[0..1]		141
	ApplicableRate	<AplblRate>		[0..1]		141
	TaxCreditRate	<TaxCdtRate>		[0..1]		141
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]		142
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		142
	SecondLevelTax	<ScndLvITax>		[0..*]		143

Used in element(s)

"RateDetails" on page 315

6.38.1 AdditionalQuantityForSubscribedResultantSecurities

XML Tag: AddtlQtyForSbcbdrslntScies

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

The **AddtlQtyForSbcbdrslntScies** block contains the following elements (see datatype "RatioFormat20Choice__1" on page 299 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		299
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		300

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities) is removed.

6.38.2 AdditionalQuantityForExistingSecurities

XML Tag: AddtlQtyForExstgScies

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

The **AddtlQtyForExstgScties** block contains the following elements (see datatype "RatioFormat20Choice__1" on page 299 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		299
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		300

6.38.3 NewToOld

XML Tag: NewToOd

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

The **NewToOd** block contains the following elements (see datatype "RatioFormat19Choice__1" on page 297 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		298
	AmountToAmount	<AmtToAmt>	Or	[1..1]		298
	AmountToQuantity	<AmtToQty>	Or	[1..1]		298
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		299

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld
 - **Text rule:** (empty)

6.38.4 ChargesFees

XML Tag: ChrgsFees

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "[RateAndAmountFormat59Choice__1](#)" on page 275 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]		275

Usage Guideline details

- This element([see v.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/ChargesFees](#)) is removed.

6.38.5 FiscalStamp

XML Tag: FscIStmp

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "[Percentage14Rate](#)" on page 444

Usage Guideline details

- This element([see v.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/FiscalStamp](#)) is removed.

6.38.6 ApplicableRate

XML Tag: AplblRate

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: "[Percentage14Rate](#)" on page 444

Usage Guideline details

- This element([see v.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/ApplicableRate](#)) is removed.

6.38.7 TaxCreditRate

XML Tag: TaxCdtRate

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "[RateFormat27Choice__1](#)" on page 278 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		278
	Amount	<Amt>	Or}	[1..1]	⚠	279

6.38.8 FinancialTransactionTaxRate

XML Tag: FinTxTaxRate

Presence: [0..1]

Definition: Rate of financial transaction tax.

Datatype: "[Percentage14Rate](#)" on page 444

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/FinancialTransactionTaxRate](#)) is removed.

6.38.9 WithholdingTaxRate

XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat55Choice__1](#)" on page 273 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or	[1..1]	⚠	274
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		275

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate](#)) is removed.

6.38.10 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype ["RateAndAmountFormat55Choice__1"](#) on page 273 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or	[1..1]		274
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		275

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax](#)) is removed.

6.39 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

Used in element(s)

["BargainDate"](#) on page 332, ["BargainSettlementDate"](#) on page 332, ["Date"](#) on page 148, ["Date"](#) on page 147, ["Date"](#) on page 149, ["ForeignExchangeRateFixingDate"](#) on page 107, ["PostingDate"](#) on page 318, ["PostingDate"](#) on page 106

6.39.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: ["ISODate" on page 422](#)

6.39.2 DateTime

XML Tag: DtTm

Presence: [1..1]

Definition: Specified date and time.

Datatype: ["ISODatetime" on page 423](#)

6.40 DateCode19Choice

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		144
	Proprietary	<Prtry>	Or}	[1..1]		144

Used in element(s)

["DateCode" on page 147](#)

6.40.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code" on page 406](#)

6.40.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 178](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		178
	Issuer	<Issr>		[1..1]		178

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		178

6.41 DateCode19Choice__1

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		145
	Proprietary	<Prtry>	Or}	[1..1]		145

Used in element(s)

["DateCode" on page 146](#), ["DateCode" on page 148](#)

6.41.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code" on page 406](#)

6.41.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 178](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.42 DateFormat30Choice__1

Definition: Choice between an ISODate format or a date code.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		146
	DateCode	<DtCd>	Or}	[1..1]		146

Used in element(s)

["AvailableDate" on page 318](#), ["DividendRankingDate" on page 319](#), ["EarliestPaymentDate" on page 320](#), ["ExDividendDate" on page 104](#), ["PariPassuDate" on page 319](#), ["PaymentDate" on page 320](#), ["RecordDate" on page 103](#)

6.42.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: ["ISODate" on page 422](#)

6.42.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype ["DateCode19Choice__1" on page 145](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		145
	Proprietary	<Prtry>	Or}	[1..1]		145

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode](#)) is removed.
- This element([seev.036.001.16/CorporateActionDetails/DateDetails/ExDividendDate/DateCode](#)) is removed.
- This element([seev.036.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode](#)) is removed.

6.43 DateFormat43Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		147
	DateCode	<DtCd>	Or}	[1..1]		147

Used in element(s)

"CoverExpirationDeadline" on page 105

6.43.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 143 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

6.43.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "[DateCode19Choice](#)" on page 144 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		144
	Proprietary	<Prtry>	Or}	[1..1]		144

6.44 DateFormat43Choice__1

Definition: Choice between an ISODate or ISODatetime format or a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		148
	DateCode	<DtCd>	Or}	[1..1]		148

Used in element(s)

"TradingDate" on page 105

6.44.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 143 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

6.44.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode19Choice__1" on page 145 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		145
	Proprietary	<Prtry>	Or}	[1..1]		145

6.45 DateFormat45Choice

Definition: Specifies the value of a date.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		149
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		149

Used in element(s)

"EndDate" on page 237, "StartDate" on page 237

6.45.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as an ISO Date.

The **Dt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 143 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

6.45.2 NotSpecifiedDate

XML Tag: NotSpcfdDt

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "[DateType8Code](#)" on page 406

6.46 DeemedRateType1Choice__1

Definition: Choice between a standard code or proprietary code to specify a deemed rate type.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]	🚫	150

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		150

Used in element(s)

"RateType" on page 289, "RateType" on page 297

6.46.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 407

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Code) is removed.

6.46.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.47 DocumentIdentification31__1

Definition: Identification of a document and type of link.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		151

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkageType	<LkgTp>		[0..1]		151

Used in element(s)

"MovementPreliminaryAdviceIdentification" on page 16, "NotificationIdentification" on page 16

6.47.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 452

Usage Guideline details

- on seev.036.001.16/MovementPreliminaryAdviceIdentification/Identification
Type changed to: [RestrictedFINXMax16Text on page 452](#)
- on seev.036.001.16/NotificationIdentification/Identification
Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.47.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice__1" on page 248 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		248
	Proprietary	<Prtry>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.036.001.16/MovementPreliminaryAdviceIdentification/LinkageType) is removed.

6.48 DocumentIdentification32__1

Definition: Identification of a document as well as the document number and type of link.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		152
	DocumentNumber	<DocNb>		[0..1]		152
	LinkageType	<LkgTp>		[0..1]		153

Used in element(s)

"OtherDocumentIdentification" on page 17

6.48.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype "DocumentIdentification3Choice__1" on page 153 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]	⚠	153
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]	⚠	154

6.48.2 DocumentNumber

XML Tag: DocNb

Presence: [0..1]

Definition: Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "DocumentNumber5Choice__1" on page 155 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		155
	LongNumber	<LngNb>	Or	[1..1]		155

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		155

6.48.3 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice__1](#)" on [page 248](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		248
	Proprietary	<Prtry>	Or}	[1..1]		248

6.49 DocumentIdentification3Choice__1

Definition: Choice between a document identification provided either by the account owner or by the account servicer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		153
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		154

Used in element(s)

"[Identification](#)" on [page 152](#)

6.49.1 AccountServicerDocumentIdentification

XML Tag: AcctSvcrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "[RestrictedFINXMax16Text](#)" on [page 452](#)

Usage Guideline details

- on [seev.036.001.16/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.49.2 AccountOwnerDocumentIdentification

XML Tag: AcctOwnrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: ["RestrictedFINXMax16Text" on page 452](#)

Usage Guideline details

- on [seev.036.001.16/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.50 DocumentIdentification9__1

Definition: Identifies a document by a unique identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		154

Used in element(s)

["InstructionIdentification" on page 17](#)

6.50.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: ["RestrictedFINXMax16Text" on page 452](#)

Usage Guideline details

- on [seev.036.001.16/InstructionIdentification/Identification](#)

Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.51 DocumentNumber5Choice__1

Definition: Choice between a short document number, a long document number or a proprietary document number.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		155
	LongNumber	<LngNb>	Or	[1..1]		155
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		155

Rules

R12 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

Used in element(s)

["DocumentNumber" on page 152](#)

6.51.1 ShortNumber

XML Tag: ShrtNb

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: ["Exact3NumericText" on page 410](#)

6.51.2 LongNumber

XML Tag: LngNb

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: ["ISO20022MessageIdentificationText" on page 422](#)

6.51.3 ProprietaryNumber

XML Tag: PrtryNb

Presence: [1..1]

Definition: Proprietary document identification.

The **PrtryNb** block contains the following elements (see datatype ["GenericIdentification36__1" on page 190](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		190
	Issuer	<Issr>		[1..1]		191
	SchemeName	<SchmeNm>		[0..1]		191

6.52 FinancialInstrumentQuantity33Choice__1

Definition: Choice between formats for the quantity of security.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		156
	FaceAmount	<FaceAmt>	Or	[1..1]		162
	AmortisedValue	<AmtsdVal>	Or	[1..1]		168
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		174

Rules

R20 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentQuantity" on page 40, "FractionalQuantity" on page 116, "Quantity" on page 255, "Quantity" on page 327, "Quantity" on page 259

6.52.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 450

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on seev.036.001.16/AccountDetails/Balance/AffectedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/Balance/Quantity-Choice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/RegisteredBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/SignedQuantity/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/Quantity-Choice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)

- on seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/CorporateActionGeneralInformation/FractionalQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit
 - Market Practice Implementation Rule:
Used for non debt securities.
- on seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/Unit
 - Market Practice Usage Rule:
Used for non debt securities.
- on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/Unit
 - Market Practice Implementation Rule:
Used for non debt securities.
- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/Quantity/Unit
 - Market Practice Implementation Rule:
Used for non debt securities.
- on seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit
 - Market Practice Implementation Rule:

Used for non debt securities.

- on **seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/Unit**

- **Market Practice Implementation Rule:**

Used for non debt securities.

- on **seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/Unit**

- **Market Practice Implementation Rule:**

Used for non debt securities.

- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/Unit**

- **Market Practice Implementation Rule:**

Used for non debt securities.

- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/Unit**

- **Market Practice Implementation Rule:**

Used for non debt securities.

- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit**

- **Market Practice Implementation Rule:**

Used when the cash rate distribution is not on debt security. Rate reported per unit of 1.

6.52.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 451

Usage Guideline details

- on **seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/FaceAmount**

Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 451

- on **seev.036.001.16/AccountDetails/Balance/AffectedBalance/EligibleBalance/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/EligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/NotEligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/Balance/Quantity-Choice/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/FaceAmount

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/RegisteredBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/SignedQuantity/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/CorporateActionGeneralInformation/FractionalQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

– Market Practice Implementation Rule:

Used for debt securities.

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

– Market Practice Usage Rule:

Used for debt securities.

- on [seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

– Market Practice Implementation Rule:

Used for debt securities.

- on [seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

– Market Practice Implementation Rule:

Used for debt securities.

- on seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount

- Market Practice Implementation Rule:

Used for debt securities.

- on seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/FaceAmount

- Market Practice Implementation Rule:

Used for debt securities.

- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/FaceAmount

- Market Practice Implementation Rule:

Used for debt securities.

- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount

- Market Practice Implementation Rule:

Used for debt securities.

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount

- Market Practice Implementation Rule:

Used when the cash rate distribution is on a debt security. Rate reported on face value 1000.

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Post-ingQuantity/Quantity/FaceAmount

- Market Practice Implementation Rule:

Used for debt securities.

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount

- Market Practice Implementation Rule:

Used when the cash rate distribution is on a debt security. Rate reported on face value 1000.

6.52.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 451](#)

Usage Guideline details

- This element([seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/SignedQuantity/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/AmortisedValue](#)) is removed.
- This element([seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue](#)) is removed.
- on [seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)
 Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)
- on [seev.036.001.16/AccountDetails/Balance/AffectedBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/RegisteredBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/SignedQuantity/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/AmortisedValue

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/Quantity-Choice/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

- on [seev.036.001.16/CorporateActionGeneralInformation/FractionalQuantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

6.52.4 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 433](#)

Usage Guideline details

- This element([seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/Quantity-Choice/Quantity/DigitalTokenUnit](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/Quantity-Choice/Quantity/DigitalTokenUnit](#)) is removed.
- This element([seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/Quantity/DigitalTokenUnit](#)) is removed.

- This element(seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/DigitalTokenUnit) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/DigitalTokenUnit) is removed.

6.53 ForeignExchangeTerms40__1

Definition: Provides information about the terms of the foreign exchange transaction.

⊘ Removed - ⊘ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		175
	QuotedCurrency	<QtdCcy>		[1..1]		176
	ExchangeRate	<XchgRate>		[1..1]		176
	ResultingAmount	<RsltAmt>		[1..1]	⚠	176

Used in element(s)

"ForeignExchangeDetails" on page 59

6.53.1 UnitCurrency

XML Tag: UnitCcy

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Datatype: ["ActiveCurrencyCode" on page 337](#)

6.53.2 QuotedCurrency

XML Tag: QtdCcy

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Datatype: ["ActiveCurrencyCode" on page 337](#)

6.53.3 ExchangeRate

XML Tag: XchgRate

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: ["BaseOne14Rate" on page 349](#)

6.53.4 ResultingAmount

XML Tag: RsltgAmt

Presence: [1..1]

Definition: Counter value of a foreign exchange conversion.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/ForeignExchangeDetails/ResultingAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 449](#)

6.54 FractionDispositionType27Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]	⚠	177

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		177

Used in element(s)

"FractionDisposition" on page 120, "FractionDisposition" on page 313

6.54.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType11Code" on page 412

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code

Comment:

- Cash in Lieu of Fractional Share (CL) = CINL
- Drop (DF) = RDDN
- Allocate Fractional Share (EX) = DIST
- Round to Nearest Whole Number if 0.5 or above (NW) = STAN
- Other (OT) = UKWN
- Round Down (RD) = RDDN
- Round Up (RU) = RDUP
- Beneficial Owner Round Up (BU)= RDUP
- Beneficial Owner Cash in Lieu (BC) = CINL
- Beneficial Owner Round up 0.5 and above (BF) = STAN

6.54.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- This element (see v.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary) is removed.

6.55 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		178
	Issuer	<Issr>		[1..1]		178
	SchemeName	<SchmeNm>		[0..1]		178

Used in element(s)

"Proprietary" on page 144, "Proprietary" on page 205, "Type" on page 48

6.55.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 411

6.55.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 433

6.55.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 433

6.56 GenericIdentification30__1

Definition: Information related to an identification, for example, party identification or account identification.

🔴 Removed - 🔴 Partial Removed - 🟡 Ignored - 🟡 Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠️	179
	Issuer	<Issr>		[1..1]	⚠️	179
	SchemeName	<SchmeNm>		[0..1]	⚠️	185

Used in element(s)

"ExemptionType" on page 311, "ExemptionType" on page 55, "IncomeType" on page 310, "IncomeType" on page 54, "OtherIncomeType" on page 311, "OtherIncomeType" on page 54, "Proprietary" on page 248, "Proprietary" on page 112, "Proprietary" on page 145, "Proprietary" on page 110, "Proprietary" on page 30, "Proprietary" on page 204, "Proprietary" on page 206, "Proprietary" on page 126, "Proprietary" on page 216, "Proprietary" on page 177, "Proprietary" on page 283, "Proprietary" on page 280, "Proprietary" on page 284, "Proprietary" on page 280, "Proprietary" on page 282, "Proprietary" on page 281, "Proprietary" on page 209, "Proprietary" on page 333, "Proprietary" on page 201, "Proprietary" on page 285, "Proprietary" on page 286, "Proprietary" on page 150, "Type" on page 196

6.56.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 411

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary/Identification](#)
 - **Market Practice Usage Rule:**

Use for VOTE events only. See Data Dictionary for list of code values.

6.56.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 433

Usage Guideline details

- on [seev.036.001.16/AccountDetails/SafekeepingPlace/Proprietary/Type/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/AlternateIdentification/IdentificationType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/AlternateIdentification/IdentificationType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/AlternateIdentification/IdentificationType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Exemption-Type/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Income-Type/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/OtherIncome-Type/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/DateDetails/TradingDate/Date-Code/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/FractionDisposition/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/PlaceOfTrade/Type/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ExemptionType/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/IncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/OtherIncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/AlternateIdentification/IdentificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Safe-keepingPlace/Proprietary/Type/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/EventStage/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/LotteryType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionGeneralInformation/EventType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/EventsLinkage/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/MovementPreliminaryAdviceIdentification/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/NotificationIdentification/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/OtherDocumentIdentification/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary/Issuer
– Market Practice Usage Rule:

Use for VOTE events only. Populated with "DTCC".

6.56.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 433

Usage Guideline details

- on seev.036.001.16/AccountDetails/SafekeepingPlace/Proprietary/Type/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Exemption-Type/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Income-Type/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/OtherIncome-Type/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 433

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/DateDetails/TradingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/FractionDisposition/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary/Scheme-Name

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/PlaceOfTrade/Type/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateStatus/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ExemptionType/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/IncomeType/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/OtherIncomeType/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/AlternateIdentification/IdentificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary/Type/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/CorporateActionDetails/EventStage/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionDetails/LotteryType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionGeneralInformation/EventType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/EventsLinkage/LinkageType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/MovementPreliminaryAdviceIdentification/LinkageType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/NotificationIdentification/LinkageType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/OtherDocumentIdentification/LinkageType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary/SchemeName

– Market Practice Usage Rule:

Use for VOTE events only. Populated with "VOTE".

6.57 GenericIdentification36__1

Definition: Identification using a proprietary scheme.

🔴 Removed - 🟡 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	190
	Issuer	<Issr>		[1..1]	⚠	191
	SchemeName	<SchmeNm>		[0..1]	⚠	191

Used in element(s)

["ProprietaryNumber" on page 155](#)

6.57.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax30Text" on page 453](#)

Usage Guideline details

- on [seev.036.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Identification](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

6.57.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 433](#)

Usage Guideline details

- on [seev.036.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

6.57.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 433](#)

Usage Guideline details

- on [seev.036.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

6.58 GenericIdentification36__2

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		192
	Issuer	<Issr>		[1..1]		193
	SchemeName	<SchmeNm>		[0..1]		194

Used in element(s)

"ProprietaryIdentification" on page 224, "ProprietaryIdentification" on page 222, "ProprietaryIdentification" on page 225

6.58.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax34Text" on page 453](#)

Usage Guideline details

- on [seev.036.001.16/AccountDetails/AccountOwner/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/ProprietaryIdentification/Identification](#)

Type changed to: [RestrictedFINXMax34Text on page 453](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/ProprietaryIdentification/Identification](#)

Type changed to: [RestrictedFINXMax34Text on page 453](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/ProprietaryIdentification/Identification](#)

Type changed to: [RestrictedFINXMax34Text on page 453](#)

- on [seev.036.001.16/IssuerAgent/ProprietaryIdentification/Identification](#)

Type changed to: [RestrictedFINXMax34Text on page 453](#)

- on [seev.036.001.16/PayingAgent/ProprietaryIdentification/Identification](#)

Type changed to: [RestrictedFINXMax34Text on page 453](#)

- on [seev.036.001.16/SubPayingAgent/ProprietaryIdentification/Identification](#)

Type changed to: [RestrictedFINXMax34Text on page 453](#)

6.58.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 433](#)

Usage Guideline details

- on [seev.036.001.16/AccountDetails/AccountOwner/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/IssuerAgent/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/PayingAgent/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/SubPayingAgent/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

6.58.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 433](#)

Usage Guideline details

- on [seev.036.001.16/AccountDetails/AccountOwner/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/IssuerAgent/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/PayingAgent/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/SubPayingAgent/ProprietaryIdentification/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

6.59 GenericIdentification78__1

Definition: Identification expressed as a proprietary type and narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		196
	Identification	<Id>		[0..1]		196

Used in element(s)

["Proprietary" on page 303](#), ["Proprietary" on page 302](#)

6.59.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.59.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "[RestrictedFINXMax30Text](#)" on page 453

Usage Guideline details

- on [seev.036.001.16/AccountDetails/SafekeepingPlace/Proprietary/Identification](#)
 Type changed to: [RestrictedFINXMax30Text](#) on page 453
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary/Identification](#)
 Type changed to: [RestrictedFINXMax30Text](#) on page 453

6.60 GrossDividendRateFormat35Choice__1

Definition: Choice of format to express a gross dividend.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		197
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		197

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		197

Used in element(s)

"GrossDistributionRate" on page 134

6.60.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/Amount

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.60.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1__1](#)" on page 34 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		34
	RateStatus	<RateSts>		[1..1]		35

6.60.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus55__1](#)" on page 290 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		291
	Amount	<Amt>		[1..1]		291
	RateStatus	<RateSts>		[0..1]		291

6.61 GrossDividendRateFormat37Choice__1

Definition: Choice of format to express a gross dividend.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	 	198
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		198
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		199

Used in element(s)

"GrossDistributionRate" on page 266

6.61.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount) is removed.
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448

6.61.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "AmountAndRateStatus1__1" on page 34 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	34
	RateStatus	<RateSts>		[1..1]		35

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus) is removed.

6.61.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus57__1" on page 293 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		293
	Amount	<Amt>		[1..1]	⚠	294
	RateStatus	<RateSts>		[0..1]	🚫	294

6.62 IdentificationSource3Choice__1

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		200
	Proprietary	<Prtry>	Or}	[1..1]	⚠	200

Used in element(s)

["Type" on page 220](#)

6.62.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: ["ExternalFinancialInstrumentIdentificationType1Code" on page 411](#)

6.62.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["RestrictedFINExact2Text" on page 450](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)

Type changed to: [RestrictedFINExact2Text on page 450](#)

- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)

Type changed to: [RestrictedFINExact2Text on page 450](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)

– Market Practice Implementation Rule:

Used when the security identifier type is a Contra CUSIP or unknown populated with "XX".

- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)

– Market Practice Implementation Rule:

Used when the security identifier type is a Contra CUSIP. Populated with "XX".

6.63 IdentificationType42Choice__1

Definition: Choice between a code and a data source scheme to specify the type of alternate identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		201
	Proprietary	<Prtry>	Or}	[1..1]		201

Used in element(s)

"IdentificationType" on page 30

6.63.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 457

6.63.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.64 IndicativeOrMarketPrice13Choice__1

Definition: Choice between an indicative price or a market price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		202
	MarketPrice	<MktPric>	Or}	[1..1]		202

Used in element(s)

"IndicativeOrMarketPrice" on page 129

6.64.1 IndicativePrice

XML Tag: IndctvPric

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

The **IndctvPric** block contains the following elements (see datatype "PriceFormat77Choice__1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		247
	AmountPrice	<AmtPric>	Or}	[1..1]		247

6.64.2 MarketPrice

XML Tag: MktPric

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

The **MktPric** block contains the following elements (see datatype "PriceFormat77Choice__1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		247
	AmountPrice	<AmtPric>	Or}	[1..1]		247

6.65 InterestRateUsedForPaymentFormat12Choice__1

Definition: Choice between an amount or a rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		203
	Amount	<Amt>	Or	[1..1]	 	203
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		203

Used in element(s)

["GrossInterestRateUsedForPayment"](#) on page 135, ["GrossInterestRateUsedForPayment"](#) on page 268

6.65.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate"](#) on page 444

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Rate](#)) is removed.

6.65.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 448

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448
- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448

6.65.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype ["RateTypeAndAmountAndStatus24__1"](#) on page 286 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		286
	Amount	<Amt>		[1..1]	⚠	287
	RateStatus	<RateSts>		[0..1]	🚫	287

6.66 IntermediateSecuritiesDistributionTypeFormat15Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		204
	Proprietary	<Prtry>	Or}	[1..1]		204

Used in element(s)

"IntermediateSecuritiesDistributionType" on page 65

6.66.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "IntermediateSecurityDistributionType5Code" on page 424

6.66.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		185

6.67 IssuerOfferorTaxabilityIndicator2Choice

Definition: Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		205
	Proprietary	<Prtry>	Or}	[1..1]		205

Used in element(s)

"IssuerOfferorTaxabilityIndicator" on page 310, "IssuerOfferorTaxabilityIndicator" on page 53

6.67.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "IssuerTaxability2Code" on page 429

6.67.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 178 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		178
	Issuer	<Issr>		[1..1]		178
	SchemeName	<SchmeNm>		[0..1]		178

6.68 LotteryTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		206
	Proprietary	<Prtry>	Or}	[1..1]	🚫	206

Used in element(s)

"LotteryType" on page 65

6.68.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 430

6.68.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionDetails/LotteryType/Proprietary) is removed.

6.69 MarketIdentification1Choice__1

Definition: Choice of market identification.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktldrCd>	{Or	[1..1]		207
	Description	<Desc>	Or}	[1..1]		207

Used in element(s)

"Identification" on page 208

6.69.1 MarketIdentifierCode

XML Tag: MktldrCd

Presence: [1..1]

Definition: ISO 10383 Market Identification Code.

Datatype: "MICIdentifier" on page 431

6.69.2 Description

XML Tag: Desc

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "RestrictedFINXMax30Text" on page 453

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/PlaceOfTrade/Identification/Description](#)

Type changed to: [RestrictedFINXMax30Text on page 453](#)

6.70 MarketIdentification84__1

Definition: Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[0..1]		208
	Type	<Tp>		[1..1]		208

Rules

R39 MarketTypeAndIdentificationRule

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

Error handling: Undefined

Used in element(s)

"PlaceOfTrade" on page 123

6.70.1 Identification

XML Tag: Id

Presence: [0..1]

Definition: Code allocated to places of trade, that is, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS), as sources of prices and related information, in order to facilitate automated processing.

The **Id** block contains the following elements (see datatype "MarketIdentification1Choice__1" on page 206 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktIdrCd>	{Or	[1..1]		207
	Description	<Desc>	Or}	[1..1]		207

6.70.2 Type

XML Tag: Tp

Presence: [1..1]

Definition: Nature of a market in which transactions take place.

The **Tp** block contains the following elements (see datatype "MarketType8Choice__1" on page 208 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		209
	Proprietary	<Prtry>	Or}	[1..1]		209

6.71 MarketType8Choice__1

Definition: Choice of format for the market type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		209
	Proprietary	<Prtry>	Or}	[1..1]		209

Used in element(s)

"Type" on page 208

6.71.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Market type expressed as an ISO 20022 code.

Datatype: "MarketType2Code" on page 431

6.71.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Market type expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.72 NameAndAddress5__1

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		210
	Address	<Adr>		[0..1]		211

Used in element(s)

["NameAndAddress" on page 226](#), ["NameAndAddress" on page 223](#), ["NameAndAddress" on page 224](#)

6.72.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["RestrictedFINXMax140Text" on page 452](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/NameAndAddress/Name](#)

Type changed to: [RestrictedFINXMax140Text on page 452](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/NameAndAddress/Name](#)

Type changed to: [RestrictedFINXMax140Text on page 452](#)

- on [seev.036.001.16/IssuerAgent/NameAndAddress/Name](#)

Type changed to: [RestrictedFINXMax140Text on page 452](#)

- on [seev.036.001.16/PayingAgent/NameAndAddress/Name](#)

Type changed to: [RestrictedFINXMax140Text on page 452](#)

- on [seev.036.001.16/SubPayingAgent/NameAndAddress/Name](#)

Type changed to: [RestrictedFINXMax140Text on page 452](#)

6.72.2 Address

XML Tag: *Adr*

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "[PostalAddress1](#)" on [page 238](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		238
	AddressLine	<AdrLine>		[0..5]		238
	StreetName	<StrtNm>		[0..1]		238
	BuildingNumber	<BldgNb>		[0..1]		239
	PostCode	<PstCd>		[0..1]		239
	TownName	<TwnNm>		[0..1]		239
	CountrySubDivision	<CtrySubDvsn>		[0..1]		239
	Country	<Ctry>		[1..1]		239

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/NameAndAddress/Address](#)) is removed.
- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/NameAndAddress/Address](#)) is removed.

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/IssuerAgent/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/PayingAgent/NameAndAddress/Address) is removed.
- This element(seev.036.001.16/SubPayingAgent/NameAndAddress/Address) is removed.

6.73 NetDividendRateFormat37Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		213
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		213
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		213

Used in element(s)

"NetDistributionRate" on page 135

6.73.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448

6.73.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1__1](#)" on page 34 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		34
	RateStatus	<RateSts>		[1..1]		35

6.73.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus56__1](#)" on page 292 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		292
	Amount	<Amt>		[1..1]		292
	RateStatus	<RateSts>		[0..1]		293

6.74 NetDividendRateFormat40Choice__1

Definition: Choice between different formats to express a net dividend.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	214
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]	🚫	214
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]	🚫	215

Used in element(s)

"NetDistributionRate" on page 268

6.74.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount
Synonym: DTC Cash Rate

6.74.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1__1](#)" on page 34 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		34
	RateStatus	<RateSts>		[1..1]		35

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus) is removed.

6.74.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus58__1" on page 295 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		295
	Amount	<Amt>		[1..1]		295
	RateStatus	<RateSts>		[0..1]		295

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus) is removed.

6.75 OptionFeaturesFormat29Choice__1

Definition: Choice between a standard code or a proprietary code to specify the features that may apply to a corporate action option.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		216
	Proprietary	<Prtry>	Or}	[1..1]		216

Used in element(s)"OptionFeatures" on page 120

6.75.1 Code

XML Tag: Cd*Presence:* [1..1]*Definition:* Standard code to specify the features that may apply to a corporate action option.*Datatype:* "OptionFeatures14Code" on page 439

6.75.2 Proprietary

XML Tag: Prtry*Presence:* [1..1]*Definition:* Proprietary identification of the features that may apply to a corporate action option.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.76 OptionNumber1Choice

Definition: Choice between an number and an option number in a code format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Number	<Nb>	{Or	[1..1]		216
	Code	<Cd>	Or}	[1..1]		217

Used in element(s)"OptionNumber" on page 119

6.76.1 Number

XML Tag: Nb*Presence:* [1..1]

Definition: Number identifying the available corporate action options.

Datatype: ["Exact3NumericText" on page 410](#)

6.76.2 Code

XML Tag: Cd

Presence: [1..1]

Definition: Code identifying special corporate action option numbers.

Datatype: ["OptionNumber1Code" on page 442](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/OptionNumber/Code](#)) is removed.

6.77 OriginalAndCurrentQuantities1__1

Definition: Original and current value of an asset-back instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		217
	AmortisedValue	<AmtsdVal>		[1..1]		217

Used in element(s)

["OriginalAndCurrentFace" on page 260](#)

6.77.1 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 451](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace/FaceAmount](#)
 Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 451](#)

6.77.2 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 451

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace/AmortisedValue

Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 451

6.78 OriginalAndCurrentQuantities6__1

Definition: Signed face amount and amortised value.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		218
	FaceAmount	<FaceAmt>		[1..1]		218
	AmortisedValue	<AmtsdVal>		[1..1]		219

Used in element(s)

"OriginalAndCurrentFaceAmount" on page 258

6.78.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 456

6.78.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 451

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/OriginalAndCurrentFaceAmount/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 451

6.78.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 451

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/OriginalAndCurrentFaceAmount/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 451

6.79 OtherIdentification1__1

Definition: Other accepted financial instrument's identification than ISIN.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -

📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	219
	Suffix	<Sfx>		[0..1]	🚫	220
	Type	<Tp>		[1..1]	⚠	220

Used in element(s)

["OtherIdentification"](#) on page 322

6.79.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["RestrictedFINXMax31Text"](#) on page 453

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text on page 453](#)
- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text on page 453](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
 - Market Practice Usage Rule:
When the security identifier is not known '999999999' will be used.
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Synonym (DTCC): DTC Disbursed Security ID
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Synonym (DTCC): DTC Disbursed Fractional Security ID
- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Synonym (DTCC): Event Security ID

6.79.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: ["Max16Text" on page 432](#)

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.
- This element([seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.

6.79.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice__1" on page 199 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		200
	Proprietary	<Prtry>	Or}	[1..1]	⚠	200

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type
Synonym (DTCC): DTC Disbursed Fractional Security ID Type
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type
Synonym (DTCC): DTC Disbursed Security ID Type
- on seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type
Synonym (DTCC): Event Security ID Type

6.80 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		221
	LastPageIndicator	<LastPgInd>		[1..1]		222

Used in element(s)

"Pagination" on page 15

6.80.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 434

6.80.2 LastPageIndicator

XML Tag: LastPgInd

Presence: [1..1]

Definition: Indicates the last page.

Datatype: ["YesNoIndicator" on page 459](#)

6.81 PartyIdentification120Choice__1

Definition: Choice between different formats for the identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223

Used in element(s)

["Identification" on page 229](#),
 ["Identification" on page 233](#),
 ["IssuerAgent" on page 21](#),
 ["PayingAgent" on page 21](#),
 ["SubPayingAgent" on page 22](#)

6.81.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: ["AnyBICDec2014Identifier" on page 348](#)

6.81.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype ["GenericIdentification36__2" on page 191](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	192
	Issuer	<Issr>		[1..1]	⚠	193
	SchemeName	<SchmeNm>		[0..1]	⚠	194

6.81.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5__1](#)" on page 209 for details)

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	210
	Address	<Adr>		[0..1]	🚫	211

6.82 PartyIdentification127Choice__1

Definition: Choice of identification of a party.

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		223
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		224

Used in element(s)

["AccountOwner" on page 27](#)

6.82.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: ["AnyBICDec2014Identifier" on page 348](#)

6.82.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36__2](#)" on page 191 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		192
	Issuer	<Issr>		[1..1]		193
	SchemeName	<SchmeNm>		[0..1]		194

6.83 PartyIdentification133Choice__1

Definition: Choice of formats for an identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BICFI	<BICFI>	{Or	[1..1]		224
	NameAndAddress	<NmAndAdr>	Or	[1..1]		224
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		225

Used in element(s)

"Identification" on page 235

6.83.1 BICFI

XML Tag: BICFI

Presence: [1..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "[BICFIDec2014Identifier](#)" on page 349

6.83.2 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5__1](#)" on [page 209](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	210
	Address	<Adr>		[0..1]	🚫	211

6.83.3 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36__2](#)" on [page 191](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	192
	Issuer	<Issr>		[1..1]	⚠	193
	SchemeName	<SchmeNm>		[0..1]	⚠	194

6.84 PartyIdentification257Choice__1

Definition: Choice of formats for the identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		226
	NameAndAddress	<NmAndAdr>	Or	[1..1]		226
	Country	<Ctry>	Or	[1..1]		226
	DigitalLedgerIdentification	<DgtlLdgrId>	Or}	[1..1]		226

Used in element(s)

"[Identification](#)" on [page 227](#)

6.84.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Datatype: "AnyBICDec2014Identifier" on page 348

6.84.2 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5__1" on page 209 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		210
	Address	<Adr>		[0..1]		211

6.84.3 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Datatype: "CountryCode" on page 405

6.84.4 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 406

6.85 PartyIdentification316__1

Definition: Provides information about identification of the party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		227
	ProcessingIdentification	<PrctlId>		[0..1]		227
	AlternateIdentification	<AltrnlId>		[0..*]		228

Rules

R47 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

"Depository" on page 325

6.85.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a party.

The **Id** block contains the following elements (see datatype "[PartyIdentification257Choice__1](#)" on page 225 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		226
	NameAndAddress	<NmAndAdr>	Or	[1..1]		226
	Country	<Ctry>	Or	[1..1]		226
	DigitalLedgerIdentification	<DgtlLdgrId>	Or}	[1..1]		226

6.85.2 ProcessingIdentification

XML Tag: PrctlId

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[RestrictedFINXMax16Text](#)" on page 452

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/ProcessingIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/ProcessingIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.85.3 Alternateldentification

XML Tag: `AltrnId`

Presence: [0..*]

Definition: Provides alternate identification for a party using an id type, a country code and a text field.

The **AltrnId** block contains the following elements (see datatype "[AlternatePartyIdentification7__1](#)" on [page 30](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	Alternateldentification	<AltrnId>		[1..1]		31

6.86 PartyIdentificationAndAccount204__1

Definition: Provides information about identification and account of the party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		229
	SafekeepingAccount	<SfkpgAcct>		[0..1]		230
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		230
	ProcessingIdentification	<PrcgId>		[0..1]		231
	Alternateldentification	<AltrnId>		[0..*]		232

Rules

R48 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

R49 SafekeepingAccountOrBlockChainAddress1Rule ✓

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00582
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R50 SafekeepingAccountOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00583
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R51 SafekeepingAccountOrBlockChainAddress3Rule ✓

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00584
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Used in element(s)

"Party1" on page 325, "Party2" on page 325, "Party3" on page 326

6.86.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a party.

The **Id** block contains the following elements (see datatype "PartyIdentification120Choice__1" on page 222 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223

6.86.2 SafekeepingAccount

XML Tag: SfkpgAcct

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: ["RestrictedFINXMax35Text" on page 454](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/SafekeepingAccount](#)
Type changed to: [RestrictedFINXMax35Text on page 454](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/SafekeepingAccount](#)
Type changed to: [RestrictedFINXMax35Text on page 454](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/SafekeepingAccount](#)
Type changed to: [RestrictedFINXMax35Text on page 454](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/SafekeepingAccount](#)
Type changed to: [RestrictedFINXMax35Text on page 454](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/SafekeepingAccount](#)
Type changed to: [RestrictedFINXMax35Text on page 454](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/SafekeepingAccount](#)
Type changed to: [RestrictedFINXMax35Text on page 454](#)

6.86.3 BlockchainAddressOrWallet

XML Tag: BkChainAdrOrWlt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: ["RestrictedFINXMax140Text" on page 452](#)

Usage Guideline details

- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/BlockChainAddressOrWallet`
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/BlockChainAddressOrWallet`
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/BlockChainAddressOrWallet`
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/BlockChainAddressOrWallet`
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/BlockChainAddressOrWallet`
Type changed to: [RestrictedFINXMax140Text on page 452](#)
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/BlockChainAddressOrWallet`
Type changed to: [RestrictedFINXMax140Text on page 452](#)

6.86.4 ProcessingIdentification

XML Tag: PrcgId

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: ["RestrictedFINXMax16Text" on page 452](#)

Usage Guideline details

- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/ProcessingIdentification`
Type changed to: [RestrictedFINXMax16Text on page 452](#)
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/ProcessingIdentification`
Type changed to: [RestrictedFINXMax16Text on page 452](#)
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/ProcessingIdentification`
Type changed to: [RestrictedFINXMax16Text on page 452](#)
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/ProcessingIdentification`

Type changed to: [RestrictedFINXMax16Text on page 452](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/ProcessingIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 452](#)

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/ProcessingIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.86.5 Alternateldentification

XML Tag: `AltrnId`

Presence: `[0..*]`

Definition: Alternate identification for a party.

The **AltrnId** block contains the following elements (see datatype "[AlternatePartyIdentification7__1](#)" on page 30 for details)

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	Alternateldentification	<AltrnId>		[1..1]	⚠️	31

6.87 PartyIdentificationAndAccount225__1

Definition: Provides information about identification and account of the party.

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		233
	CashAccount	<CshAcct>		[0..1]		233
	ProcessingIdentification	<PrcgId>		[0..1]	⚠️	233
	Alternateldentification	<AltrnId>		[0..1]		234

Rules

R57 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

"Creditor" on page 62, "MarketClaimCounterparty" on page 63

6.87.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a party.

The **Id** block contains the following elements (see datatype "[PartyIdentification120Choice__1](#)" on page 222 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		222
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		222
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		223

6.87.2 CashAccount

XML Tag: CshAcct

Presence: [0..1]

Definition: Account in which cash is maintained.

The **CshAcct** block contains the following elements (see datatype "[CashAccountIdentification9Choice__1](#)" on page 50 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		50
	BlockChainCashWallet	<BlckChainCshWlt>	Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]	⚠	51

6.87.3 ProcessingIdentification

XML Tag: PrcgId

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[RestrictedFINXMax16Text](#)" on page 452

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/ProcessingIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 452](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/ProcessingIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 452](#)

6.87.4 Alternateldentification

XML Tag: `AltrnId`

Presence: [0..1]

Definition: Alternate identification for a party.

The **AltrnId** block contains the following elements (see datatype "[AlternatePartyIdentification7__1](#)" on [page 30](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	Alternateldentification	<AltrnId>		[1..1]		31

6.88 PartyIdentificationAndAccount226__1

Definition: Provides information about identification and account of the party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		235
	CashAccount	<CshAcct>		[0..1]		235
	ProcessingIdentification	<PrcgId>		[0..1]		235
	Alternateldentification	<AltrnId>		[0..1]		236

Rules

R58 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

"CreditorAgent" on page 62

6.88.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a party.

The **Id** block contains the following elements (see datatype "[PartyIdentification133Choice__1](#)" on page 224 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BICFI	<BICFI>	{Or	[1..1]		224
	NameAndAddress	<NmAndAdr>	Or	[1..1]		224
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		225

6.88.2 CashAccount

XML Tag: CshAcct

Presence: [0..1]

Definition: Account in which cash is maintained.

The **CshAcct** block contains the following elements (see datatype "[CashAccountIdentification9Choice__1](#)" on page 50 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		50
	BlockChainCashWallet	<BlckChainCshWlIt>	Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]	⚠	51

6.88.3 ProcessingIdentification

XML Tag: PrcgId

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[RestrictedFINXMax16Text](#)" on page 452

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/ProcessingIdentification](#)

Type changed to: [RestrictedFINXMax16Text](#) on page 452

6.88.4 Alternateldentification

XML Tag: AltrnId

Presence: [0..1]

Definition: Alternate identification for a party.

The **AltrnId** block contains the following elements (see datatype "[AlternatePartyIdentification7__1](#)" on page 30 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	Alternateldentification	<AltrnId>		[1..1]		31

6.89 PercentagePrice2

Definition: Price expressed as a percentage price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		236
	PriceValue	<PricVal>		[1..1]		236

Used in element(s)

["PercentagePrice" on page 247](#), ["PercentagePrice" on page 242](#), ["PercentagePrice" on page 244](#)

6.89.1 PercentagePriceType

XML Tag: PctgPricTp

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: ["PriceRateType3Code" on page 444](#)

6.89.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: ["Percentage14Rate" on page 444](#)

6.90 Period11

Definition: Time span defined by a start date and time, and an end date and time.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		237
	EndDate	<EndDt>		[1..1]		237

Used in element(s)

["ActionPeriod" on page 127](#),
 ["ParallelTradingPeriod" on page 127](#),
 ["PriceCalculationPeriod" on page 127](#)

6.90.1 StartDate

XML Tag: StartDt

Presence: [1..1]

Definition: Date and time at which the range starts.

The **StartDt** block contains the following elements (see datatype ["DateFormat45Choice" on page 149](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		149
	NotSpecifiedDate	<NotSpecfdDt>	Or}	[1..1]		149

6.90.2 EndDate

XML Tag: EndDt

Presence: [1..1]

Definition: Date and time at which the range ends.

The **EndDt** block contains the following elements (see datatype ["DateFormat45Choice" on page 149](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		149
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		149

6.91 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		238
	AddressLine	<AdrLine>		[0..5]		238
	StreetName	<StrtNm>		[0..1]		238
	BuildingNumber	<BldgNb>		[0..1]		239
	PostCode	<PstCd>		[0..1]		239
	TownName	<TwnNm>		[0..1]		239
	CountrySubDivision	<CtrySubDvsn>		[0..1]		239
	Country	<Ctry>		[1..1]		239

Used in element(s)

"Address" on page 211

6.91.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 343

6.91.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 434

6.91.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 434

6.91.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 432

6.91.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 432

6.91.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 433

6.91.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 433

6.91.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 405

6.92 PriceDetails37__1

Definition: Provides information about the prices related to a corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		240
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		241
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		241

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

Used in element(s)

"PriceDetails" on page 61

6.92.1 GenericCashPricePaidPerProduct

XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "PriceFormat75Choice__1" on page 242 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		242
	AmountPrice	<AmtPric>	Or	[1..1]		243
	IndexPoints	<IndxPts>	Or}	[1..1]	 	243

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct
Synonym (Exercise Price): DTCC
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct
Synonym (Subscription Price): DTCC

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct](#)

Comment:

DTC Price used for Rights Subscription or Warrant Exercise events

6.92.2 GenericCashPriceReceivedPerProduct

XML Tag: GncCshPricRcvdPerPdct

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "[PriceFormat76Choice__1](#)" on page 243 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	⊘	244
	AmountPrice	<AmtPric>	Or	[1..1]	⊘	244
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		245
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	⊘	245
	IndexPoints	<IndxPts>	Or}	[1..1]	⊘ ⚠	246

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct](#)

Synonym: DTC Cash Rate

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct](#)

Comment:

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

6.92.3 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "[PriceFormat77Choice__1](#)" on page 246 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		247
	AmountPrice	<AmtPric>	Or}	[1..1]		247

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/CashInLieuOfSharePrice) is removed.

6.93 PriceFormat75Choice__1

Definition: Choice between a percentage price or an amount price or index points.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		242
	AmountPrice	<AmtPric>	Or	[1..1]		243
	IndexPoints	<IndxPts>	Or}	[1..1]	 	243

Used in element(s)

"GenericCashPricePaidPerProduct" on page 130, "GenericCashPricePaidPerProduct" on page 240

6.93.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 236 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		236
	PriceValue	<PricVal>		[1..1]		236

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/PercentagePrice](#)) is removed.

6.93.2 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "[AmountPrice3__1](#)" on page 36 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		36
	PriceValue	<PricVal>		[1..1]	⚠	36

6.93.3 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "[RestrictedFINDecimalNumber](#)" on page 450

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints](#)) is removed.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints](#)
 Type changed to: [RestrictedFINDecimalNumber](#) on page 450
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints](#)
 Type changed to: [RestrictedFINDecimalNumber](#) on page 450

6.94 PriceFormat76Choice__1

Definition: Choice between a percentage price or an amount price or an amount price per amount or an amount price per financial instrument quantity.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	244
	AmountPrice	<AmtPric>	Or	[1..1]	🚫	244
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		245
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	🚫	245
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠️	246

Used in element(s)

"GenericCashPriceReceivedPerProduct" on page 130, "GenericCashPriceReceivedPerProduct" on page 241

6.94.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 236 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		236
	PriceValue	<PricVal>		[1..1]		236

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/PercentagePrice) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/PercentagePrice) is removed.

6.94.2 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice3__1" on page 36 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		36
	PriceValue	<PricVal>		[1..1]		36

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice) is removed.

6.94.3 AmountPricePerFinancialInstrumentQuantity

XML Tag: AmtPricPerFinInstrmQty

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

The **AmtPricPerFinInstrmQty** block contains the following elements (see datatype "AmountPricePerFinancialInstrumentQuantity10__1" on page 39 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		39
	PriceValue	<PricVal>		[1..1]		40
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		40

6.94.4 AmountPricePerAmount

XML Tag: AmtPricPerAmt

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

The **AmtPricPerAmt** block contains the following elements (see datatype "AmountPricePerAmount2__1" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		38

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceValue	<PricVal>		[1..1]		38
	Amount	<Amt>		[1..1]		38

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount) is removed.

6.94.5 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 450

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints) is removed.
- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints) is removed.
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints
Type changed to: RestrictedFINDecimalNumber on page 450
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints
Type changed to: RestrictedFINDecimalNumber on page 450

6.95 PriceFormat77Choice__1

Definition: Choice between a percentage price or an amount price.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		247

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	Or}	[1..1]		247

Used in element(s)

"CashInLieuOfSharePrice" on page 131, "CashInLieuOfSharePrice" on page 128, "CashInLieuOfSharePrice" on page 241, "IndicativePrice" on page 202, "MarketPrice" on page 202, "OverSubscriptionDepositPrice" on page 132

6.95.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 236 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		236
	PriceValue	<PricVal>		[1..1]		236

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/PercentagePrice) is removed.

6.95.2 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice3__1" on page 36 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		36
	PriceValue	<PricVal>		[1..1]		36

6.96 ProcessingPosition7Choice__1

Definition: Choice of format for the processing position.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		248
	Proprietary	<Prtry>	Or}	[1..1]		248

Rules

R11 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

Used in element(s)

"LinkageType" on page 151, "LinkageType" on page 153, "LinkageType" on page 110

6.96.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "ProcessingPosition3Code" on page 446

6.96.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

6.97 ProprietaryQuantity7__1

Definition: Proprietary quantity format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		249
	Quantity	<Qty>		[1..1]		249
	QuantityType	<QtyTp>		[1..1]		249
	Issuer	<Issr>		[1..1]		250
	SchemeName	<SchmeNm>		[0..1]		250

Used in element(s)

"ProprietaryQuantity" on page 257

6.97.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [0..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 456

6.97.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 450

Usage Guideline details

- on seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Quantity
 Type changed to: [RestrictedFINDecimalNumber on page 450](#)

6.97.3 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 411

6.97.4 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText" on page 433](#)

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

6.97.5 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max4AlphaNumericText" on page 433](#)

Usage Guideline details

- on [seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

6.98 ProprietaryQuantity8__1

Definition: Proprietary quantity format.

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	250
	QuantityType	<QtyTp>		[1..1]		252
	Issuer	<Issr>		[1..1]	⚠	252
	SchemeName	<SchmeNm>		[0..1]	⚠	254

Used in element(s)

["ProprietaryQuantity" on page 256](#)

6.98.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 450

Usage Guideline details

- on **seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: RestrictedFINDecimalNumber on page 450

- on **seev.036.001.16/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on **seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on **seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: [RestrictedFINDecimalNumber on page 450](#)
- on **seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
Type changed to: [RestrictedFINDecimalNumber on page 450](#)

6.98.2 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText" on page 411](#)

6.98.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText" on page 433](#)

Usage Guideline details

- on **seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: [Max4AlphaNumericText on page 433](#)
- on **seev.036.001.16/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: [Max4AlphaNumericText on page 433](#)
- on **seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: [Max4AlphaNumericText on page 433](#)

- on seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)
- on seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer
Type changed to: [Max4AlphaNumericText on page 433](#)

6.98.4 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 433

Usage Guideline details

- on seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName
Type changed to: Max4AlphaNumericText on page 433
- on seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/AccountDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/AccountDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

- on [seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 433](#)

6.99 Quantity48Choice__1

Definition: Choice between different quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		255
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		256

Used in element(s)

["QuantityChoice" on page 329](#)

6.99.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity33Choice__1" on page 156](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	156
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	162
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	168
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]	🚫	174

6.99.2 ProprietaryQuantity

XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "[ProprietaryQuantity8_1](#)" on page 250 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	250
	QuantityType	<QtyTp>		[1..1]		252
	Issuer	<Issr>		[1..1]	⚠	252
	SchemeName	<SchmeNm>		[0..1]	⚠	254

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/AffectedBalance/Balance/Quantity-Choice/ProprietaryQuantity) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/Quantity-Choice/ProprietaryQuantity) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/ProprietaryQuantity) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/ProprietaryQuantity) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/Quantity-Choice/ProprietaryQuantity) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.

- This element(seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.16/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.

6.100 Quantity49Choice__1

Definition: Choice between different quantity of security formats.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		257
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	⊘	257

Used in element(s)

"Balance" on page 334

6.100.1 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "[Quantity50Choice__1](#)" on page 258 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]	⊘	258
	SignedQuantity	<SgndQty>	Or}	[1..1]		259

6.100.2 ProprietaryQuantity

XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "[ProprietaryQuantity7__1](#)" on page 249 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		249
	Quantity	<Qty>		[1..1]	⚠	249
	QuantityType	<QtyTp>		[1..1]		249
	Issuer	<Issr>		[1..1]	⚠	250
	SchemeName	<SchmeNm>		[0..1]	⚠	250

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity) is removed.

6.101 Quantity50Choice__1

Definition: Choice between different quantity of security formats.

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]	ⓧ	258
	SignedQuantity	<SgndQty>	Or}	[1..1]		259

Used in element(s)

"QuantityChoice" on page 257

6.101.1 OriginalAndCurrentFaceAmount

XML Tag: OrgnlAndCurFaceAmt

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

The **OrgnlAndCurFaceAmt** block contains the following elements (see datatype "OriginalAndCurrentQuantities6__1" on page 218 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		218
	FaceAmount	<FaceAmt>		[1..1]	⚠	218
	AmortisedValue	<AmtsdVal>		[1..1]	⚠	219

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/OriginalAndCurrentFaceAmount) is removed.

6.101.2 SignedQuantity

XML Tag: SgndQty

Presence: [1..1]

Definition: Signed quantity of security.

The **SgndQty** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

6.102 Quantity51Choice__1

Definition: Choice of format for the quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		259
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		260

Used in element(s)

"PostingQuantity" on page 312

6.102.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 156 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	156
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	162
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	168
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]	🚫	174

6.102.2 OriginalAndCurrentFace

XML Tag: OrgnlAndCurFace

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

The **OrgnlAndCurFace** block contains the following elements (see datatype "[OriginalAndCurrentQuantities1__1](#)" on page 217 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]	⚠	217
	AmortisedValue	<AmtsdVal>		[1..1]	⚠	217

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace) is removed.

6.103 QuantityToQuantityRatio1__1

Definition: Ratio expressed as a quotient of quantities.

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]	⚠	260
	Quantity2	<Qty2>		[1..1]	⚠	261

Used in element(s)

"QuantityToQuantity" on page 299, "QuantityToQuantity" on page 298

6.103.1 Quantity1

XML Tag: Qty1

Presence: [1..1]

Definition: Numerator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 450

Usage Guideline details

- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity1**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1**
 - Market Practice Rule:
Additional Quantity
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1**
 - Market Practice Rule:
Used for new quantity

6.103.2 Quantity2

XML Tag: Qty2

Presence: [1..1]

Definition: Denominator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 450

Usage Guideline details

- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity2**
Type changed to: RestrictedFINDecimalNumber on page 450
- on **seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2**

Type changed to: RestrictedFINDecimalNumber on page 450

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2
 - Market Practice Rule:
Existing quantity.
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2
 - Market Practice rule:
Used for old quantity

6.104 Rate41__1

Definition: Provides information about the rates related to securities movement.

⓪ Removed - ⓪ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]	⓪	265
	ChargesFees	<ChrgsFees>		[0..1]	⚠	265
	FiscalStamp	<FscIStmp>		[0..1]	⓪	266
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]	⚠	266
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]	⓪	267
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]	⓪	267
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]	⚠	268
	NetDistributionRate	<NetDstrbtnRate>		[0..*]	⚠	268
	ApplicableRate	<AplblRate>		[0..1]	⓪	269
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]	⓪	269
	TaxCreditRate	<TaxCdtRate>		[0..1]		270
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	⓪	270
	SecondLevelTax	<ScndLvITax>		[0..*]	⓪	271
	TaxOnIncome	<TaxOnIncm>		[0..1]	⓪	271
	TaxOnProfits	<TaxOnPrfts>		[0..1]	⓪	272
	TaxReclaimRate	<TaxRclmRate>		[0..1]	⓪	272
	EqualisationRate	<EqulstnRate>		[0..1]	⓪ ⚠	272

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DeemedRate	<DmdRate>		[0..*]		273

Rules

R60 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

Error handling: Undefined

R61 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

Error handling: Undefined

R62 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

Error handling: Undefined

R63 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

Error handling: Undefined

R64 SecondLevelTax3Rule ✓

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00389
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

R65 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

Error handling:

- *Error severity:* Fatal

- *Error Code:*

- *Error Text:*

R66 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

Error handling:

- *Error severity:* Fatal

- *Error Code:*

- *Error Text:*

R67 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

Error handling:

- *Error severity:* Fatal

- *Error Code:*

- *Error Text:*

R68 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

Error handling:

- *Error severity:* Fatal

- *Error Code:*

- *Error Text:*

R69 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

Error handling:

- *Error severity:* Fatal

- *Error Code:*

– *Error Text:*

R70 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

Error handling:

– *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 60

6.104.1 AdditionalTax

XML Tag: AddtlTax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtlTax** block contains the following elements (see datatype "RateAndAmountFormat59Choice__1" on page 275 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]	⚠	275

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax) is removed.

6.104.2 ChargesFees

XML Tag: ChrgsFees

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "RateAndAmountFormat59Choice__1" on page 275 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]		275

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees

Comment:

For Reorganization Events only

6.104.3 FiscalStamp

XML Tag: FscIStmp

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "Percentage14Rate" on page 444

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/FiscalStamp) is removed.

6.104.4 GrossDistributionRate

XML Tag: GrssDstrbtnRate

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat37Choice__1" on page 198 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	 	198
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		198
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		199

Usage Guideline details

- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate**

Synonym: DTC Cash Rate

- on **seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate**

Comment:

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

6.104.5 EarlySolicitationFeeRate

XML Tag: EarlySlctnFeeRate

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

The **EarlySlctnFeeRate** block contains the following elements (see datatype "SolicitationFeeRateFormat12Choice__1" on page 329 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		329
	AmountToQuantity	<AmtToQty>	Or	[1..1]		329
	Amount	<Amt>	Or}	[1..1]	⚠	330

Usage Guideline details

- This element(**seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate**) is removed.

6.104.6 ThirdPartyIncentiveRate

XML Tag: ThrdPtyIncntivRate

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, Payment is made to a third party who has solicited an entity to take part in the offer.

The **ThrdPtyIncntivRate** block contains the following elements (see datatype "RateAndAmountFormat59Choice__1" on page 275 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]		275

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ThirdPartyIncentiveRate) is removed.

6.104.7 GrossInterestRateUsedForPayment

XML Tag: GrssIntrstRateUsdForPmt

Presence: [0..*]

Definition: Actual interest rate before tax used for the payment of the interest for the specified interest period.

The **GrssIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat12Choice__1" on page 202 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		203
	Amount	<Amt>	Or	[1..1]	 	203
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		203

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment

Synonym: DTC Cash Rate

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment

Comment:

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

6.104.8 NetDistributionRate

XML Tag: NetDstrbtnRate

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtrRate** block contains the following elements (see datatype "[NetDividendRateFormat40Choice__1](#)" on page 214 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		214
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		214
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		215

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate](#)
 - **Market Practice Implementation Rule:**

Used for net rates for payout types: Premium, Short Term Capital Gains, Long Term Capital Gains, Capital Gains, and Interest Payout types.
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate](#)

Synonym: DTC Cash Rate

6.104.9 ApplicableRate

XML Tag: AplblRate

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: "[Percentage14Rate](#)" on page 444

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ApplicableRate](#)) is removed.

6.104.10 SolicitationFeeRate

XML Tag: SlctnFeeRate

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

The **SlctnFeeRate** block contains the following elements (see datatype "[SolicitationFeeRateFormat12Choice__1](#)" on page 329 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		329
	AmountToQuantity	<AmtToQty>	Or	[1..1]		329
	Amount	<Amt>	Or}	[1..1]		330

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate) is removed.

6.104.11 TaxCreditRate

XML Tag: TaxCdtRate

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "[RateFormat27Choice__1](#)" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		278
	Amount	<Amt>	Or}	[1..1]		279

6.104.12 WithholdingTaxRate

XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat55Choice__1](#)" on page 273 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or	[1..1]		274

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		275

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate) is removed.

6.104.13 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFormat55Choice__1" on page 273 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or	[1..1]		274
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		275

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax) is removed.

6.104.14 TaxOnIncome

XML Tag: TaxOnIncm

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as an income.

The **TaxOnIncm** block contains the following elements (see datatype "RateAndAmountFormat59Choice__1" on page 275 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]		275

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxOnIncome) is removed.

6.104.15 TaxOnProfits

XML Tag: TaxOnPrfts

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

Datatype: "Percentage14Rate" on page 444

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxOnProfits) is removed.

6.104.16 TaxReclaimRate

XML Tag: TaxRclmRate

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

Datatype: "Percentage14Rate" on page 444

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxReclaimRate) is removed.

6.104.17 EqualisationRate

XML Tag: EqulstnRate

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EqualisationRate) is removed.
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EqualisationRate
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448

6.104.18 DeemedRate

XML Tag: DmdRate

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

The **DmdRate** block contains the following elements (see datatype "[RateAndAmountFormat62Choice__1](#)" on page 276 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		277
	Amount	<Amt>	Or	[1..1]		277
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		277
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		278

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate
Comment:
Used for IRS 305c or 871m tax events

6.105 RateAndAmountFormat55Choice__1

Definition: Choice of format between a rate, or a rate type and rate, or an amount, or a unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or	[1..1]		274

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		275

Used in element(s)

"SecondLevelTax" on page 137, "SecondLevelTax" on page 143, "SecondLevelTax" on page 271, "WithholdingTaxRate" on page 136, "WithholdingTaxRate" on page 142, "WithholdingTaxRate" on page 270

6.105.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 444](#)

6.105.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/WithholdingTaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.105.3 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

The **RateTpAndRate** block contains the following elements (see datatype "[RateTypeAndPercentageRate12__1](#)" on page 296 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		296
	Rate	<Rate>		[1..1]		296

6.106 RateAndAmountFormat59Choice__1

Definition: Choice of format between rate and amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]		275

Used in element(s)

["AdditionalTax" on page 137](#),
 ["AdditionalTax" on page 265](#),
 ["ChargesFees" on page 140](#),
 ["ChargesFees" on page 265](#),
 ["TaxOnIncome" on page 271](#),
 ["ThirdPartyIncentiveRate" on page 267](#)

6.106.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 444

6.106.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxOnIncome/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ThirdPartyIncentiveRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/AdditionalTax/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/ChargesFees/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.107 RateAndAmountFormat62Choice__1

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]	🚫	277
	Amount	<Amt>	Or	[1..1]	⚠	277
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]	🚫	277
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]	🚫	278

Used in element(s)

["DeemedRate" on page 273](#)

6.107.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 444

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Rate) is removed.

6.107.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Amount
Synonym (DTCC): DTC Cash Rate

6.107.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus37__1" on page 289 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		289
	Amount	<Amt>		[1..1]		290
	RateStatus	<RateSts>		[0..1]		290

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus) is removed.

6.107.4 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

The **RateTpAndRate** block contains the following elements (see datatype "[RateTypeAndPercentageRate14__1](#)" on [page 297](#) for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		297
	Rate	<Rate>		[1..1]		297

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate) is removed.

6.108 RateFormat27Choice__1

Definition: Choice between a rate or an unspecified rate.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		278
	Amount	<Amt>	Or}	[1..1]	⚠	279

Used in element(s)

"[TaxCreditRate](#)" on [page 141](#), "[TaxCreditRate](#)" on [page 270](#)

6.108.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 444](#)

6.108.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxCreditRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/TaxCreditRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.109 RateStatus3Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		280

Guidelines

1. RateStatusGuideline

The code value ACTU is the default value for a rate status.

Used in element(s)

["RateStatus" on page 291](#), ["RateStatus" on page 293](#), ["RateStatus" on page 287](#), ["RateStatus" on page 289](#), ["RateStatus" on page 294](#), ["RateStatus" on page 295](#), ["RateStatus" on page 290](#)

6.109.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 447](#)

6.109.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.110 RateType33Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		280
	Proprietary	<Prtry>	Or}	[1..1]		280

Used in element(s)

"RateType" on page 286

6.110.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "[RateType7Code](#)" on page 447

6.110.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

6.111 RateType36Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		281
	Proprietary	<Prtry>	Or}	[1..1]		281

Used in element(s)

"RateType" on page 288

6.111.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of tax rate.

Datatype: "DividendRateType1Code" on page 408

6.111.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

6.112 RateType42Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		282
	Proprietary	<Prtry>	Or}	[1..1]		282

Used in element(s)

"RateType" on page 296

6.112.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 458

6.112.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

6.113 RateType76Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		283
	Proprietary	<Prtry>	Or}	[1..1]		283

Used in element(s)

"RateType" on page 291

6.113.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 415

6.113.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

6.114 RateType77Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		284

Used in element(s)

"RateType" on page 292

6.114.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 434

6.114.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.115 RateType78Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		285
	Proprietary	<Prtry>	Or}	[1..1]		285

Used in element(s)

"RateType" on page 293

6.115.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 416

6.115.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

6.116 RateType79Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		285
	Proprietary	<Prtry>	Or}	[1..1]		286

Used in element(s)

"RateType" on page 295

6.116.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: ["NetDividendRateType7Code" on page 435](#)

6.116.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 178](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

6.117 RateTypeAndAmountAndStatus24__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		286
	Amount	<Amt>		[1..1]		287
	RateStatus	<RateSts>		[0..1]		287

Used in element(s)

["RateTypeAndAmountAndRateStatus" on page 203](#)

6.117.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["RateType33Choice__1" on page 280](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		280
	Proprietary	<Prtry>	Or}	[1..1]		280

6.117.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount
 Synonym: DTC Cash Rate

6.117.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on page 279 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		280

Usage Guideline details

- This element (see v.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus) is removed.

6.118 RateTypeAndAmountAndStatus26__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		288
	Amount	<Amt>		[1..1]		288
	RateStatus	<RateSts>		[0..1]		289

Used in element(s)

"TaxableIncomePerDividendShare" on page 138

6.118.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType36Choice__1" on page 281 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		281
	Proprietary	<Prtry>	Or}	[1..1]		281

6.118.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448

6.118.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on [page 279](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		280

6.119 RateTypeAndAmountAndStatus37__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		289
	Amount	<Amt>		[1..1]		290
	RateStatus	<RateSts>		[0..1]		290

Used in element(s)

["RateTypeAndAmountAndRateStatus"](#) on [page 277](#)

6.119.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[DeemedRateType1Choice__1](#)" on [page 149](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		150
	Proprietary	<Prtry>	Or}	[1..1]		150

6.119.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.119.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on page 279 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		280

6.120 RateTypeAndAmountAndStatus55__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		291

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		291
	RateStatus	<RateSts>		[0..1]		291

Used in element(s)

["RateTypeAndAmountAndRateStatus" on page 197](#)

6.120.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["RateType76Choice__1" on page 283](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		283
	Proprietary	<Prtry>	Or}	[1..1]		283

6.120.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.120.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype ["RateStatus3Choice__1" on page 279](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		280

6.121 RateTypeAndAmountAndStatus56__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		292
	Amount	<Amt>		[1..1]		292
	RateStatus	<RateSts>		[0..1]		293

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 213

6.121.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType77Choice__1" on page 283 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		284

6.121.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 448

6.121.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on [page 279](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		280

6.122 RateTypeAndAmountAndStatus57__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		293
	Amount	<Amt>		[1..1]		294
	RateStatus	<RateSts>		[0..1]		294

Used in element(s)

["RateTypeAndAmountAndRateStatus"](#) on [page 199](#)

6.122.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType78Choice__1](#)" on [page 284](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		285
	Proprietary	<Prtry>	Or}	[1..1]		285

6.122.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448
- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount
Synonym: DTC Cash Rate

6.122.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "RateStatus3Choice__1" on page 279 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		280

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus) is removed.

6.123 RateTypeAndAmountAndStatus58__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		295
	Amount	<Amt>		[1..1]		295
	RateStatus	<RateSts>		[0..1]		295

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 215

6.123.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType79Choice__1" on page 285 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		285
	Proprietary	<Prtry>	Or}	[1..1]		286

6.123.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount
- Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 448](#)

6.123.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "RateStatus3Choice__1" on page 279 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		280

6.124 RateTypeAndPercentageRate12__1

Definition: Specifies the value expressed as a rate type and a percentage rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		296
	Rate	<Rate>		[1..1]		296

Used in element(s)

"RateTypeAndRate" on page 275

6.124.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType42Choice__1" on page 282 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		282
	Proprietary	<Prtry>	Or}	[1..1]		282

6.124.2 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 444](#)

6.125 RateTypeAndPercentageRate14__1

Definition: Specifies the value expressed as a deemed rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		297
	Rate	<Rate>		[1..1]		297

Used in element(s)

["RateTypeAndRate" on page 278](#)

6.125.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["DeemedRateType1Choice__1" on page 149](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		150
	Proprietary	<Prtry>	Or}	[1..1]		150

6.125.2 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 444](#)

6.126 RatioFormat19Choice__1

Definition: Choice of format to expressed a ratio.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		298
	AmountToAmount	<AmtToAmt>	Or	[1..1]		298
	AmountToQuantity	<AmtToQty>	Or	[1..1]		298
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		299

Used in element(s)

"NewToOld" on page 140

6.126.1 QuantityToQuantity

XML Tag: QtyToQty

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "[QuantityToQuantityRatio1__1](#)" on page 260 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		260
	Quantity2	<Qty2>		[1..1]		261

6.126.2 AmountToAmount

XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "[AmountToAmountRatio2__1](#)" on page 41 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		41
	Amount2	<Amt2>		[1..1]		42

6.126.3 AmountToQuantity

XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype "AmountAndQuantityRatio4__1" on page 32 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		32
	Quantity	<Qty>		[1..1]		33

6.126.4 QuantityToAmount

XML Tag: QtyToAmt

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

The **QtyToAmt** block contains the following elements (see datatype "AmountAndQuantityRatio4__1" on page 32 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		32
	Quantity	<Qty>		[1..1]		33

6.127 RatioFormat20Choice__1

Definition: Choice of format to expressed a ratio.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		299
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		300

Used in element(s)

"AdditionalQuantityForExistingSecurities" on page 139, "AdditionalQuantityForSubscribedResultantSecurities" on page 139

6.127.1 QuantityToQuantity

XML Tag: QtyToQty

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "QuantityToQuantityRatio1__1" on page 260 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]	⚠	260
	Quantity2	<Qty2>		[1..1]	⚠	261

6.127.2 AmountToAmount

XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "AmountToAmountRatio2__1" on page 41 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]	⚠	41
	Amount2	<Amt2>		[1..1]	⚠	42

6.128 SafekeepingPlaceFormat41Choice__1

Definition: Choice between formats for the place of safekeeping.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		301
	Country	<Ctry>	Or	[1..1]		301
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		301
	TypeAndIdentification	<TpAndId>	Or	[1..1]		301
	Proprietary	<Prtry>	Or}	[1..1]		302

Used in element(s)

["SafekeepingPlace" on page 313](#)

6.128.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype ["SafekeepingPlaceTypeAndText8__1"](#) on page 305 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		305
	Identification	<Id>		[0..1]	⚠	306

6.128.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: ["CountryCode" on page 405](#)

6.128.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier" on page 406](#)

6.128.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype ["SafekeepingPlaceTypeAndIdentification1"](#) on page 304 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		304
	Identification	<Id>		[1..1]		304

6.128.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78__1" on page 195 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		196
	Identification	<Id>		[0..1]		196

6.129 SafekeepingPlaceFormat42Choice__1

Definition: Choice of formats for a place of safekeeping.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		302
	Country	<Ctry>	Or	[1..1]		303
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		303
	TypeAndIdentification	<TpAndId>	Or	[1..1]		303
	Proprietary	<Prtry>	Or}	[1..1]		303

Used in element(s)

"SafekeepingPlace" on page 28

6.129.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndText6__1](#)" on page 304 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		305
	Identification	<Id>		[0..1]	⚠	305

6.129.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "[CountryCode](#)" on page 405

6.129.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "[DTI2024Identifier](#)" on page 406

6.129.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 304 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		304
	Identification	<Id>		[1..1]		304

6.129.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification78__1](#)" on page 195 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		196
	Identification	<Id>		[0..1]	⚠	196

6.130 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		304
	Identification	<Id>		[1..1]		304

Used in element(s)

"TypeAndIdentification" on page 303, "TypeAndIdentification" on page 301

6.130.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "[SafekeepingPlace1Code](#)" on page 454

6.130.2 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping.

Datatype: "[AnyBICDec2014Identifier](#)" on page 348

6.131 SafekeepingPlaceTypeAndText6__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		305
	Identification	<Id>		[0..1]		305

Used in element(s)

"Identification" on page 302

6.131.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 455

6.131.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 453

Usage Guideline details

- on seev.036.001.16/AccountDetails/SafekeepingPlace/Identification/Identification
Type changed to: RestrictedFINXMax30Text on page 453

6.132 SafekeepingPlaceTypeAndText8__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		305
	Identification	<Id>		[0..1]		306

Used in element(s)

"Identification" on page 301

6.132.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 455

6.132.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 453

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Identification/Identification

Type changed to: RestrictedFINXMax30Text on page 453

6.133 SecuritiesOption112__1

Definition: Provides information about the corporate action security option.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]	⚠	308
	CreditDebitIndicator	<CdtDbtInd>		[1..1]	⚠	309
	TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>		[0..1]	⚠	309
	NewSecuritiesIssuanceIndicator	<NewScetiesIssncInd>		[0..1]	⚠	310
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	⊘	310
	IncomeType	<IncmTp>		[0..1]	⊘	310
	OtherIncomeType	<OthrIncmTp>		[0..*]	⊘	311
	ExemptionType	<XmptnTp>		[0..*]	⊘	311
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	⊘	312
	PostingQuantity	<PstngQty>		[1..1]	⚠	312
	SafekeepingPlace	<SfkpgPlc>		[0..1]	⊘	313
	FractionDisposition	<FrctnDspstn>		[0..1]	⚠	313
	CurrencyOption	<CcyOptn>		[0..1]	⊘	314
	DateDetails	<DtDtls>		[1..1]		314

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateDetails	<RateDtls>		[0..1]		315
	PriceDetails	<PricDtls>		[0..1]		315
	AmountDetails	<AmtDtls>		[0..1]		316
	ReceivingSettlementParties	<RcvgSttlmPties>		[0..1]		316
	DeliveringSettlementParties	<DlvrgSttlmPties>		[0..1]		317

Rules

R40 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R41 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R42 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

Error handling: Undefined

R43 IncomeType1Rule ✓

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00547
- *Error Text:* Invalid message contents for IncomeType. Element must be present.

Used in element(s)

"SecuritiesMovementDetails" on page 123

6.133.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19__1" on page 321 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		322
	OtherIdentification	<OthrId>		[0..*]	⚠	322
	Description	<Desc>		[0..1]	⚠	323

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification
 - **Market Practice Implementation Rule:**

Disbursed Fractional and Disbursed are mutually exclusive and may never exist on the same event.
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification
 - **Applicability:**

true
 - **Redemption Rule:**

Contra CUSIP is mapped to this tag when event distributes into Contra CUSIP.
 - **REDEML Trace:**

Redemption Contra CUSIP
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification

Synonym (DTCC): DTC Disbursed Security ID
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification

Synonym (DTCC): DTC Disbursed Fractional Security ID Type
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification

Synonym (DTCC): DTC Disbursed Fractional Security ID

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification](#)

Synonym (DTCC): DTC Disbursed Security ID Type

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification](#)

Comment:

Security identifier and type that will be paid / drawn.

6.133.2 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 405

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/CreditDebitIndicator](#)
 - **Applicability:**
true

6.133.3 TemporaryFinancialInstrumentIndicator

XML Tag: TempFinInstrmInd

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

The **TempFinInstrmInd** block contains the following elements (see datatype "TemporaryFinancialInstrumentIndicator3Choice__1" on page 332 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TempInd>	{Or	[1..1]		333
	Proprietary	<Prtry>	Or}	[1..1]		333

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator](#)
 - **Market Practice Implementation Rule:**
Used when the security is a contra CUSIP.

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator](#)
 - **Applicability:**
true

6.133.4 NewSecuritiesIssuanceIndicator

XML Tag: NewScitiesIssncInd

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: ["NewSecuritiesIssuanceType6Code"](#) on page 436

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator](#)
Synonym (DTCC): Defeasement Indicator
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator](#)
Synonym (DTCC): Refunding Indicator

6.133.5 IssuerOfferorTaxabilityIndicator

XML Tag: IssrOfferrTaxbltyInd

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype ["IssuerOfferorTaxabilityIndicator2Choice"](#) on page 205 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		205
	Proprietary	<Prtry>	Or}	[1..1]		205

Usage Guideline details

- This element([seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/IssuerOfferorTaxabilityIndicator](#)) is removed.

6.133.6 IncomeType

XML Tag: IncmTp

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

The **IncmTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 178 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/IncomeType) is removed.

6.133.7 OtherIncomeType

XML Tag: OthrIncmTp

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

The **OthrIncmTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 178 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]		179
	SchemeName	<SchmeNm>		[0..1]		185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/OtherIncomeType) is removed.

6.133.8 ExemptionType

XML Tag: XmptnTp

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 178 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ExemptionType) is removed.

6.133.9 CountryOfIncomeSource

XML Tag: CtryOfIncmSrc

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Datatype: "[CountryCode](#)" on page 405

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/CountryOfIncomeSource) is removed.

6.133.10 PostingQuantity

XML Tag: PstngQty

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

The **PstngQty** block contains the following elements (see datatype "[Quantity51Choice__1](#)" on page 259 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		259
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]	🚫	260

Usage Guideline details

- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity`
 - **Applicability:**
true
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity`
Synonym (DTCC Distributions): Securities Quantity
- on `seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity`
Comment:
Allocated positions.

6.133.11 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFor-mat41Choice__1" on page 300 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		301
	Country	<Ctry>	Or	[1..1]		301
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		301
	TypeAndIdentification	<TpAndId>	Or	[1..1]		301
	Proprietary	<Prtry>	Or}	[1..1]		302

Usage Guideline details

- This element(`seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace`) is removed.

6.133.12 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "FractionDisposition-Type27Choice__1" on page 176 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		177
	Proprietary	<Prtry>	Or}	[1..1]		177

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition

Comment:

For Reorganization Events only

6.133.13 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Datatype: "ActiveCurrencyCode" on page 337

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/CurrencyOption) is removed.

6.133.14 DateDetails

XML Tag: DtDtIs

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

The **DtDtIs** block contains the following elements (see datatype "SecurityDate25__1" on page 317 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingDate	<PstngDt>		[1..1]		318
	AvailableDate	<AvlblDt>		[0..1]		318
	PariPassuDate	<PrpssDt>		[0..1]		319

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DividendRankingDate	<DvddRnkgDt>		[0..1]	🚫	319
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]	🚫	320
	PaymentDate	<PmtDt>		[0..1]	⚠️	320

6.133.15 RateDetails

XML Tag: RateDtls

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

The **RateDtls** block contains the following elements (see datatype "[CorporateActionRate125__1](#)" on page 138 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbrsntScties>		[0..1]	🚫	139
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgSc-ties>		[0..1]		139
	NewToOld	<NewToOd>		[0..1]	⚠️	140
	ChargesFees	<ChrgsFees>		[0..1]	🚫	140
	FiscalStamp	<FscIStmp>		[0..1]	🚫	141
	ApplicableRate	<AplblRate>		[0..1]	🚫	141
	TaxCreditRate	<TaxCdtRate>		[0..1]		141
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]	🚫	142
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	142
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	143

6.133.16 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

The **PricDtls** block contains the following elements (see datatype "[CorporateActionPrice83__1](#)" on page 128 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		128
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]	🚫	129
	CashValueForTax	<CshValForTax>		[0..1]	🚫	129
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	🚫	130
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		130

6.133.17 AmountDetails

XML Tag: AmtDtls

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

The **AmtDtls** block contains the following elements (see datatype "[CorporateActionAmounts60__1](#)" on page 66 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]	⚠	66
	SecondLevelTaxAmount	<ScndLvTaxAmt>		[0..1]	⚠	66

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/AmountDetails) is removed.

6.133.18 ReceivingSettlementParties

XML Tag: RcvgSttlmPties

Presence: [0..1]

Definition: Identifies the chain of receiving settlement parties.

The **RcvgSttlmPties** block contains the following elements (see datatype "[SettlementParties129__1](#)" on page 324 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Depository	<Dpstry>		[0..1]		325
	Party1	<Pty1>		[0..1]		325

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Party2	<Pty2>		[0..1]		325
	Party3	<Pty3>		[0..1]		326

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties) is removed.

6.133.19 DeliveringSettlementParties

XML Tag: DlvrgSttlmPties

Presence: [0..1]

Definition: Identifies the chain of delivering settlement parties.

The **DlvrgSttlmPties** block contains the following elements (see datatype "[SettlementParties129__1](#)" on page 324 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ⓧ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Depository	<Dpstry>		[0..1]		325
	Party1	<Pty1>		[0..1]		325
	Party2	<Pty2>		[0..1]		325
	Party3	<Pty3>		[0..1]		326

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties) is removed.

6.134 SecurityDate25__1

Definition: Specifies security date details.

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ⓧ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingDate	<PstngDt>		[1..1]	⚠	318
	AvailableDate	<AvlblDt>		[0..1]	ⓧ	318

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PariPassuDate	<PrpssDt>		[0..1]		319
	DividendRankingDate	<DvddRnkgDt>		[0..1]		319
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		320
	PaymentDate	<PmtDt>		[0..1]		320

Used in element(s)

"DateDetails" on page 314

6.134.1 PostingDate

XML Tag: PstngDt

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

The **PstngDt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 143 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PostingDate
 - **Applicability:**
true
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PostingDate

Synonym (DTCC): DTC Allocation Date and Time
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PostingDate

Comment:
Date and Time when allocation was made by DTC (The Depository Trust Company)

6.134.2 AvailableDate

XML Tag: AvlblDt

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

The **AvlbIDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 145 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		146
	DateCode	<DtCd>	Or}	[1..1]		146

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/AvailableDate) is removed.

6.134.3 PariPassuDate

XML Tag: PrpssDt

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

The **PrpssDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 145 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		146
	DateCode	<DtCd>	Or}	[1..1]		146

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate) is removed.

6.134.4 DividendRankingDate

XML Tag: DvddRnkgDt

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

The **DvddRnkgDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 145 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		146
	DateCode	<DtCd>	Or}	[1..1]		146

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate) is removed.

6.134.5 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Datetime at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

The **EarlstPmtDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 145 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		146
	DateCode	<DtCd>	Or}	[1..1]		146

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate) is removed.

6.134.6 PaymentDate

XML Tag: PmtDt

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 145 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		146
	DateCode	<DtCd>	Or}	[1..1]		146

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate
 - **Applicability:**
true
 - **REDEML Trace:**
Redemption Date
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate
Synonym (DTCC): Declared Payable Date
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate
Comment:
Payable date as announced by the issuer or offeror.

6.135 SecurityIdentification19__1

Definition: Identification of a security.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		322
	OtherIdentification	<OthrId>		[0..*]		322
	Description	<Desc>		[0..1]		323

Rules

R15 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R16 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R17 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R18 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R19 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

["FinancialInstrumentIdentification" on page 115](#), ["FinancialInstrumentIdentification" on page 308](#)

6.135.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: ["ISINOct2015Identifier" on page 422](#)

6.135.2 OtherIdentification

XML Tag: OthrId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype ["OtherIdentification1_1" on page 219](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		219
	Suffix	<Sfx>		[0..1]		220
	Type	<Tp>		[1..1]		220

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification
 - DTCC Financial Instrument Identification Rule:
DTCC will only use CUSIP.
- on seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification
 - Financial Instrument Identification Rule:
DTCC will only use CUSIP.
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification
Synonym (DTCC Redemptions): Lottery Contra Cusip
- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification
Synonym (DTCC Distributions): DTCC Disbursed Security ID

6.135.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "RestrictedFINXMax140Text" on page 452

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/Description
Type changed to: RestrictedFINXMax140Text on page 452
- on seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description
Type changed to: RestrictedFINXMax140Text on page 452

- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/Description](#)
Synonym (DTCC): Description (DTC Disbursed Security)
- on [seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/Description](#)
Synonym (DTCC): Description (DTC Disbursed Fractional Security)
- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description](#)
Synonym (DTCC): Event Security Description
- on [seev.036.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description](#)
Comment:
Description of the security.

6.136 SettlementParties129__1

Definition: Specifies settlement parties (delivering/receiving).

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Depository	<Dpstry>		[0..1]		325
	Party1	<Pty1>		[0..1]		325
	Party2	<Pty2>		[0..1]		325
	Party3	<Pty3>		[0..1]		326

Rules

R45 Party2PresenceRule ✓

If Party 2 is present, then Party 1 must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00178
- *Error Text:* Invalid message content for Parties. It is mandatory to provide party 1 when party 2 is provided.

R46 Party3PresenceRule ✓

If Party 3 is present, then Party 2 must be present.

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00179
- *Error Text:* It is mandatory to provide party 2 when party 3 is provided.

Used in element(s)

"DeliveringSettlementParties" on page 317, "ReceivingSettlementParties" on page 316

6.136.1 Depository

XML Tag: Dpstry

Presence: [0..1]

Definition: First receiving party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the receiving side of the transaction requests to receive the financial instrument.

The **Dpstry** block contains the following elements (see datatype "[PartyIdentification316__1](#)" on page 226 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		227
	ProcessingIdentification	<PrcgId>		[0..1]		227
	AlternateIdentification	<AltrnId>		[0..*]		228

6.136.2 Party1

XML Tag: Pty1

Presence: [0..1]

Definition: Party that interacts with the depository.

The **Pty1** block contains the following elements (see datatype "[PartyIdentificationAndAccount204__1](#)" on page 228 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		229
	SafekeepingAccount	<SfkpgAcct>		[0..1]		230
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		230
	ProcessingIdentification	<PrcgId>		[0..1]		231
	AlternateIdentification	<AltrnId>		[0..*]		232

6.136.3 Party2

XML Tag: Pty2

Presence: [0..1]

Definition: Party that interacts with the party1.

The **Pty2** block contains the following elements (see datatype "[PartyIdentificationAndAccount204__1](#)" on page 228 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		229
	SafekeepingAccount	<SfkpgAcct>		[0..1]	⚠	230
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	⚠	230
	ProcessingIdentification	<PrcgId>		[0..1]	⚠	231
	AlternatIdentification	<AltrnId>		[0..*]		232

6.136.4 Party3

XML Tag: Pty3

Presence: [0..1]

Definition: Party that interacts with the party2.

The **Pty3** block contains the following elements (see datatype "[PartyIdentificationAndAccount204__1](#)" on page 228 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		229
	SafekeepingAccount	<SfkpgAcct>		[0..1]	⚠	230
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	⚠	230
	ProcessingIdentification	<PrcgId>		[0..1]	⚠	231
	AlternatIdentification	<AltrnId>		[0..*]		232

6.137 SignedQuantityFormat10__1

Definition: Signed quantity of security formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Used in element(s)

"EligibleBalance" on page 43, "EligibleBalance" on page 45, "FullPeriodUnits" on page 334, "FullPeriodUnits" on page 46, "NotEligibleBalance" on page 44, "NotEligibleBalance" on page 46, "PartWayPeriodUnits" on page 335, "PartWayPeriodUnits" on page 47, "SignedQuantity" on page 259

6.137.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 456

6.137.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 156 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		156
	FaceAmount	<FaceAmt>	Or	[1..1]		162
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	168
	DigitalTokenUnit	<DgtITknUnit>	Or}	[1..1]		174

6.138 SignedQuantityFormat11__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		328

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>		[1..1]		329

Used in element(s)

"Balance" on page 43, "Balance" on page 45

6.138.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 456

Usage Guideline details

- on **seev.036.001.16/AccountDetails/Balance/BorrowedBalance/Balance/ShortLongPosition**
 - **Market Practice Implementation Rule:**

Long must be used as default.
- on **seev.036.001.16/AccountDetails/Balance/CollateralInBalance/Balance/ShortLongPosition**
 - **Market Practice Usage Rule:**

Long must be used as default.
- on **seev.036.001.16/AccountDetails/Balance/CollateralOutBalance/Balance/ShortLongPosition**
 - **Market Practice Implementation Rule:**

Long must be used as default.
- on **seev.036.001.16/AccountDetails/Balance/OnLoanBalance/Balance/ShortLongPosition**
 - **Market Practice Usage Rule:**

Long must be used as default.
- on **seev.036.001.16/AccountDetails/Balance/PendingDeliveryBalance/Balance/ShortLongPosition**
 - **Market Practice Implementation Rule:**

Long must be used as default.
- on **seev.036.001.16/AccountDetails/Balance/PendingReceiptBalance/Balance/ShortLongPosition**
 - **Market Practice Implementation Rule:**

Long must be used as default.
- on **seev.036.001.16/AccountDetails/Balance/SettlementPositionBalance/Balance/ShortLongPosition**

– **Market Practice Implementation Rule:**

Long must be used as default.

6.138.2 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "[Quantity48Choice__1](#)" on page 255 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		255
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		256

6.139 SolicitationFeeRateFormat12Choice__1

Definition: Choice between a rate or an unspecified rate.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		329
	AmountToQuantity	<AmtToQty>	Or	[1..1]		329
	Amount	<Amt>	Or}	[1..1]		330

Used in element(s)

"[EarlySolicitationFeeRate](#)" on page 267, "[SolicitationFeeRate](#)" on page 269

6.139.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 444

6.139.2 AmountToQuantity

XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype "[AmountAndQuantityRatio4__1](#)" on [page 32](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		32
	Quantity	<Qty>		[1..1]		33

6.139.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on [page 448](#)

Usage Guideline details

- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on [page 448](#)
- on [seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on [page 448](#)

6.140 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		331
	Envelope	<Envlp>		[1..1]		331

Rules

R71 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

["SupplementaryData" on page 22](#)

6.140.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: ["Max350Text" on page 433](#)

Usage Guideline details

- This element([seev.036.001.16/SupplementaryData/PlaceAndName](#)) is removed.

6.140.2 Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

6.141 TaxVoucher4__1

Definition: Specifies tax vouchers in the framework of a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		331
	BargainDate	<BrgnDt>		[0..1]		332
	BargainSettlementDate	<BrgnSttlmDt>		[0..1]		332

Used in element(s)

["TaxVoucherDetails" on page 60](#)

6.141.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unique reference for the tax voucher required by the relevant tax authorities to ensure that any claim relating to this particular tax voucher cannot be duplicated.

Datatype: "RestrictedFINXMax16Text" on page 452

Usage Guideline details

- on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/Identification

Type changed to: RestrictedFINXMax16Text on page 452

6.141.2 BargainDate

XML Tag: BrgnDt

Presence: [0..1]

Definition: Date on which a dividend reinvestment purchase was completed. If there is only one bargain involved, the time it was struck needs to be included.

The **BrgnDt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 143 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

6.141.3 BargainSettlementDate

XML Tag: BrgnSttlmDt

Presence: [0..1]

Definition: Settlement date of the dividend reinvestment purchase transaction.

The **BrgnSttlmDt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 143 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateTime	<DtTm>	Or}	[1..1]		144

6.142 TemporaryFinancialInstrumentIndicator3Choice__1

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TemplInd>	{Or	[1..1]		333
	Proprietary	<Prtry>	Or}	[1..1]	🚫	333

Used in element(s)

"TemporaryFinancialInstrumentIndicator" on page 309

6.142.1 TemporaryIndicator

XML Tag: TemplInd

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: "YesNoIndicator" on page 459

6.142.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 178 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		179
	Issuer	<Issr>		[1..1]	⚠	179
	SchemeName	<SchmeNm>		[0..1]	⚠	185

Usage Guideline details

- This element(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary) is removed.

6.143 TotalEligibleBalanceFormat10__1

Definition: Total eligible balance for the corporate action and full and part way period units.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		334
	FullPeriodUnits	<FullPrdUnits>		[0..1]		334
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]		335

Used in element(s)

"TotalEligibleBalance" on page 93

6.143.1 Balance

XML Tag: Bal

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "Quantity49Choice__1" on page 257 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		257
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		257

6.143.2 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/FullPeriodUnits) is removed.

6.143.3 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		327
	Quantity	<Qty>		[1..1]		327

Usage Guideline details

- This element(seev.036.001.16/AccountDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits) is removed.

6.144 TransactionIdentification15__1

Definition: Provides transaction identification information.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketInfrastructureTransactionIdentification	<MktInfrstrctrTxId>		[1..1]		335

Used in element(s)

"TransactionIdentification" on page 18

6.144.1 MarketInfrastructureTransactionIdentification

XML Tag: MktInfrstrctrTxId

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "RestrictedFINMax35Text" on page 451

Usage Guideline details

- **on seev.036.001.16/TransactionIdentification/MarketInfrastructureTransactionIdentification**
Type changed to: RestrictedFINMax35Text on page 451

7 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

7.1 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules

R28 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00005
- *Error Text:* Invalid currency code

Used in element(s)

["CurrencyOption" on page 121](#), ["CurrencyOption" on page 314](#), ["QuotedCurrency" on page 176](#), ["UnitCurrency" on page 175](#)

Used in attribute(s)

["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 448](#), ["RestrictedFINActiveCurrencyAndAmount" on page 449](#)

Restricts

["ParentCurrencyCode" on page 443](#)

7.2 AdditionalBusinessProcess12Code

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

Code	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/ClaimOrCompensation) is removed.
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/FullTransferOfReceivedProceeds) is removed.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialTransferOfReceivedProceeds) is removed.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/No-

Code	Name	Definition
		TransferOfReceivedProceeds) is removed.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS). Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/Consent) is removed.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding – Full Prerefunding Rule: Used when Corporate Action Event Type is PDEF and DTC event is Full Prerefunding. • on seev.036.001.16/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding Synonym (DTCC): DTCC Event Full Prerefunding
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/AdditionalBusi-

Code	Name	Definition
		nessProcessIndicator/Code/IncentivePremiumPayment) is removed.
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NoSplitElection) is removed.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding – Partial Prerefunding Rule: Used when Corporate Action event type is PDEF and DTCC event type is Partial Prerefunding • on seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding Synonym (DTCC): DTCC Event Partial Prerefunding
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.

Code	Name	Definition
		Usage Guideline restrictions for this code on seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialMandatoryPutRedemption Synonym (Partial Mandatory Put): DTCC
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital. Usage Guideline restrictions for this code This code(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/SchemeOrPlanOfArrangement) is removed.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event. Usage Guideline restrictions for this code This code(seev.036.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/TaxRefund) is removed.

Used in element(s)

"Code" on page 29

Restricts

"AdditionalBusinessProcessCode" on page 341

7.3 AdditionalBusinessProcessCode

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

Code	Name	Definition
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.

Is restricted by

["AdditionalBusinessProcess12Code" on page 337](#)

7.4 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 238](#)

Restricts

["AddressTypeCode" on page 343](#)

7.5 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

["AddressType2Code" on page 343](#)

7.6 AmountDirectionCode

Definition: Specifies if an operation is an increase or a decrease or the result of a reversal operation.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

Is restricted by

["CreditDebitCode" on page 405](#)

7.7 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
		Usage Guideline restrictions for this code • This code(seev.036.001.16/ CorporateActionConfirma-

Code	Name	Definition
		tionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Discount) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/AmountPriceType/Discount) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType/Discount) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/AmountPriceType/Discount) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType/Discount) is removed.
PLOT	Lot	Price expressed as an amount of money per lot. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Lot) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDe-

Code	Name	Definition
		tails/PriceDetails/GenericCash-PriceReceivedPerProduct/Amount-PricePerAmount/AmountPrice-Type/Lot) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCash-PriceReceivedPerProduct/Amount-PricePerFinancialInstrumentQuantity/AmountPriceType/Lot) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/Amount-Price/AmountPriceType/Lot) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPricePerFinancialInstrumentQuantity/Amount-PriceType/Lot) is removed.

Code	Name	Definition
PREM	Premium	Price expressed as a premium. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.036.001.16/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/AmountPriceType/Premium) is removed. • on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/AmountPriceType/Premium – Market Practice Implementation Rule: NILP will be used only in the following instances: (1) there is no fungible mass for that security at CEDE & Co (2) the agent or issuer has informed DTC that the event will never allocate. • on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType/Premium – Market Practice Implementation Rule: Used when the cash rate distribution is not on debt security. Rate reported per unit of 1.

Used in element(s)

["AmountPriceType" on page 36](#), ["AmountPriceType" on page 39](#), ["AmountPriceType" on page 38](#)

Restricts

["AmountPriceTypeCode" on page 348](#)

7.8 AmountPriceType2Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

Used in element(s)

["AmountPriceType" on page 35](#)

Restricts

["AmountPriceTypeCode" on page 348](#)

7.9 AmountPriceTypeCode

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

Is restricted by

["AmountPriceType1Code" on page 344](#), ["AmountPriceType2Code" on page 348](#)

7.10 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R25 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

["AnyBIC" on page 223](#), ["AnyBIC" on page 226](#), ["AnyBIC" on page 222](#), ["Identification" on page 304](#)

7.11 BICFI Dec2014 Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R59 BICFI ✓

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00001
- *Error Text:* Invalid FI BIC.

Used in element(s)

["BICFI" on page 224](#)

7.12 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

["ExchangeRate" on page 176](#)

7.13 CorporateActionEventStage4Code

Definition: Specifies the stage of the CA lottery event.

Type: CodeSet

Code	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

Used in element(s)

["Code" on page 110](#)

Restricts

["CorporateActionEventStageV2Code" on page 350](#)

7.14 CorporateActionEventStageV2Code

Definition: Specifies the stage of the corporate action event.

Type: CodeSet

Code	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.

Code	Name	Definition
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

Is restricted by

["CorporateActionEventStage4Code" on page 350](#)

7.15 CorporateActionEventType37Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes. Usage Guideline restrictions for this code • This code(see v.036.001.16/CorporateActionGeneralInformation/EventType/Code/Accumulation) is removed.

Code	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/ActiveTradingStatus) is removed.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Attachment) is removed.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer – Market Practice Implementation Rule: Used when the event type is Tender Offer and the offeror is the issuer of the security. • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer Synonym (DTCC): Tender Offer

Code	Name	Definition
		• on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer Comment: Offer made to security holders, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices).
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/BonusIssue) is removed.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Partial Mandatory Put • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Put • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Mandatory Put • on seev.036.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/PutRedemption Comment: Mandatory Put: mandatory exchange of all outstanding bonds (with a Put feature) for cash. The issuer may offer holders the right to retain their securities instead of exchanging them. Partial Mandatory Put: mandatory exchange of a portion of bonds, where the exchanged securities maybe remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. Put: feature of a bond that entitles the holder to elect to surrender the bond for cash during a predetermined time period, with a predetermined payable date.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Bankruptcy Synonym (DTCC): Bankruptcy • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Bankruptcy Synonym (DTCC): Plan of Reorganisation (Vote) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Bankruptcy Comment: Bankruptcy: notice given by a company facing financial difficulties that affords the company protection from creditors. The bankruptcy notice may require shareholder action and will usually involve a court

Code	Name	Definition
		ruling in which the securities may become valueless. Similar to the "Default Notice" event, however this is for information only. The actual processing of any entitlements will take place under a separate event. Plan of Reorganisation (Vote): reorganisation plan devised by the company or trustees that is usually associated with a bankruptcy filing. Identifies an event where a vote/consent is sought for the plan of reorganisation.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC): Return of Capital (Opt Out) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC): Return of Capital • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC): Return of Capital (DRIP Issuer Only) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC): Return of Capital (DRIP DTC Only) • on seev.036.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/CapitalDistribution Comment: Distribution of cash resulting from the sale of a capital asset or securities, or any other transaction unrelated to retained earnings. Security holders may have to adjust the 'cost basis' of their holding to account for the payment.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution Synonym (DTCC): Capital Gains Distribution • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution Comment: Distribution of cash that the issuer has determined will be declared as income financed from capital gains and not ordinary income.
CAP1	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Capitalisation

Code	Name	Definition
		Synonym (DTCC): Principal
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/NonUSTEFRADCertification) is removed.
CHAN	Change	Information regarding a change further described in the corporate action details. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Change – Market Practice Implementation Rules: Used when the event type is Name Change (no sub event type) or Change with sub event types (Board lot, Place of Listing, Security). When event type is Change (Security), ChangeType:TERM code must be used also in the core schema. When event type is Name Change, ChangeType:NAME code must be used also in the core schema. • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Change Synonym (DTCC): Change • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Change Synonym (DTCC): Name Change

Code	Name	Definition
		• on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Change Comment: Change: issuer is announcing a change in company or security details. Name Change: issuer changes its name or the name of its security(ies). This may involve surrendering physical securities. A new security identifier may be assigned to the new name, especially when new securities are issued.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/ClassActionProposedSettlement) is removed.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Consent Synonym (DTCC): Consent • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Consent Comment: Solicitation to security holders for their agreement (consent) to proposed changes in the terms of the security, usually without a formal general meeting. A fee may be paid to the security holder.

Code	Name	Definition
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio. Usage Guideline restrictions for this code - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Conversion Synonym (DTCC): Conversion - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Conversion Comment: Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price or rate.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date. Usage Guideline restrictions for this code This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CompanyOption) is removed.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CreditEvent) is removed.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DecreaseInValue) is removed.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Detachment Synonym (DTCC): Security Separation • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Detachment Comment: Separation of a "unit" security (which consists of 2 or more securities that were originally issued) back into individual components.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under

Code	Name	Definition
		the bond agreement and that have not been remedied. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/BondDefault Synonym (DTCC): Default • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/BondDefault Comment: Notice of failure by the issuer to honor commitments made within the terms of the issued security. It usually relates to making timely payments of interest and principal as they come due. A payment may be made in lieu of reinstituting the original payments.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/TradingStatusDelisted) is removed.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Drawing

Code	Name	Definition
		Synonym (DTCC): Partial Call • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Drawing Comment: Securities are redeemed by the issuer for cash, in part, before their scheduled maturity date. The outstanding amount of securities will be proportionally reduced based on a specific percentage of holding. A lottery may be run where pooled securities are held.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Synonym (DTCC): Return of Capital (Sale of Assets) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Synonym (DTCC): Sale of Rights (ADR) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Comment: This event will be used for the scenarios where securities are subject to redemptions other than full and partial calls. Specifically, when there is a feature of the security that allows the issuer to make a payment to the security holder. Sale of Rights (ADR) is defined as a corporate action event

Code	Name	Definition
		where the rights are ineligible and must be sold, thus the holder can only receive cash for them. Return of Capital (ADR) is defined as corporate action event where the securities other than rights are ineligible and must be sold, thus the holder can only receive cash.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Special Dividend (DRIP Issuer) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Automatic Dividend Reinvestment • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Cash Dividend (DRIP Issuer) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Comment: Automatic Dividend Reinvestment: mandatory event for which the dividend payment is paid only in additional shares of the same security. This event type will predominantly be used for US securities and differs from the Dividend Reinvestment option (a volun-

Code	Name	Definition
		tary portion of the event that applies to non-US securities). "DRIP" also appears as an option under relevant events.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Disclosure) is removed.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DutchAuction Synonym (DTCC): Dutch Auction • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DutchAuction Comment: Identifies a tender event in which the corporation offers to purchase up to a certain amount of securities within a price range. Holders must submit a specific price within that range that they would be willing to accept. The actual tender price is not determined until the end of the offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend – Market Practice Usage Rule: Identifies Cash Dividend with no sub event type, or sub event types DTC DRIP (DTC announcement dividend reinvestment and opt out, or special dividend event types. There are separate events for Dividends with Options and Stock Dividends. Special Dividend is distinguished by DividendType:SPEC code in core schema. • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend – Market Practice Implementation Rules: Used when the event type is Cash Dividend with no sub event type, or sub event types DTC DRIP (DTC announcement dividend reinvestment and opt out, or special dividend event type. When event type is special dividend, DividendType:SPEC code must be used in core schema. • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Synonym (DTCC): Special Dividend • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Synonym (DTCC): Cash Dividend

Code	Name	Definition
		• on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Comment: Cash Dividend: distribution of cash to shareholders, paid by the issuer, usually based upon current earnings and/or accumulated profits as declared by the board of directors. Special Dividend: cash payment to shareholders that represents an extra or non-regular payment.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DividendOption Synonym (DTCC): Dividend With Option • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/DividendOption Comment: Distribution of a dividend to shareholders with the choice of payment method. The shareholder has the option to choose the form of payment (e.g. securities, cash, or both).
DVSC	ScripDividend	Dividend or interest paid in the form of scrip. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/ScripDividend) is removed.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/StockDividend Synonym (DTCC): Stock Dividend • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/StockDividend Comment: Dividend paid to shareholders in the form of shares of stock of the same security.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation". Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Exchange Offer • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Mandatory Exchange • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Termination

Code	Name	Definition
		• on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange Comment: Exchange Offer: offer to surrender securities in exchange for other securities or a combination of securities and cash. The exchange may also include a consent solicitation. Mandatory Exchange: required surrender of certificates to be exchanged for a new security or cash. Termination: security, usually a form of a derivative for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities Synonym (DTCC): Sale of Rights (Poison Pill) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities Synonym (DTCC): Rights Subscription • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities Comment:

Code	Name	Definition
		Rights Subscription: privilege granted to holders of rights to purchase new or additional securities. Rights are often tradable in a secondary market. Sale of Rights (Poison Pill) is defined as an event where the issuer redeems poison pill rights for cash.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/MaturityExtension) is removed.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue). Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/WarrantExercise Synonym (DTCC): Warrants Exercise • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/WarrantExercise Comment: Feature of a security that permits the holder to exercise an option to exchange the security into another form (usually, warrants into shares). The exercise will commonly require a payment based upon a pre-determined value and time.

Code	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/IncreaseInValue) is removed.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/InterestPayment Synonym (DTCC): Interest • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/InterestPayment Comment: Payment of an obligation that the issuer agrees to make to holders of an interest-bearing security. Usually, the payment is made in cash and on a scheduled basis.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend Synonym (DTCC): Liquidation • on seev.036.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/LiquidationDividend Comment: Company reports its intentions to dismantle its business, paying off debts in order of priority and distributing the remaining assets in cash and/or securities to the owners of the securities. The payment of proceeds may require the presentation of securities.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/FullCall Synonym (DTCC): Final Paydown • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/FullCall Synonym (DTCC): Full Call • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/FullCall Comment: Final Paydown: final distribution of principal due on a security, typically CMOs. Full Call: security is redeemed for cash in its entirety on a date that is prior to the maturity date, and for which the holders receive the principal amount of the security.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Merger Synonym (DTCC): Merger • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Merger Comment: Exchange of one company's security for another company's security, cash, or a combination of cash and securities.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/SecuritiesHoldersMeeting) is removed.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer Synonym (DTCC): Tender Offer (Mini) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer Comment: Identifies a tender offer event presented by a third party to shareholders of the target

Code	Name	Definition
		company, in which the conditions of the offer (e.g., quantity sought) are less than the requirements established by market regulators. As such, regulatory approval is not required.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase Synonym (DTCC): Odd Lot Offer • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase Comment: Identifies a tender offer event made by the corporation or its agent to purchase/sell shares from/to odd-lot shareholders. An odd-lot shareholder typically refers to a holder of less than 100 shares of stock.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent – Market Practice Usage Rule: Identifies DTCC events that do not have ISO equivalent definition. Additional identifiers in the message will provide a one to one mapping for the DTCC event. Complete mapping matrix is available in DTCC Events Dictionary. Unknown event type will be created for the announcement

Code	Name	Definition
		not recognised by the validation service. • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Rights Plan Adoption • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Distribution • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Unknown • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Reorganisation • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): General Information • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Comment: Distribution: General distribution by the issuer that cannot be classified as a specific event. A distribution can be eligible for dividend reinvestment, also there is a sub category of such events as tax credit distribution. Reorganization: A reorganization event announced by the issuer that cannot be classified as another event. Rights Plan Adoption: General information provided by the issuer that should not result

Code	Name	Definition
		in material changes to the security.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PariPassu) is removed.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithoutPoolFactorReduction) is removed.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Full Prerefunding

Code	Name	Definition
		• on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Partial Prerefunding • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Partial Defeasance • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding Comment: Full Prerefunding: exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. Partial Prerefunding: similar to a full prerefunding, a partial prerefunding is the exercise of a privilege by the issuer to repay, in part, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. New securities are issued for the portion prerefunded. Partial Defeasance: issuer sets aside cash in escrow to pay off a portion of the issue on the maturity date. New securities are issued for the portion defeased.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PayInKind Synonym (DTCC): Pay In Kind

Code	Name	Definition
		• on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PayInKind Comment: Income on interest-bearing securities where the payment is made in additional securities rather than cash.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation Synonym (DTCC): Change (Domicile) • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation Comment: Identifies a change event where the issuer has changed the domicile of the corporation.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/InstalmentCall) is removed.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.

Code	Name	Definition
		Usage Guideline restrictions for this code - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction Synonym (DTCC): Principal - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction Comment: Cash payment that represents a reduction of the principal in the security
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders. Usage Guideline restrictions for this code This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/PriorityIssue) is removed.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes. Usage Guideline restrictions for this code - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Reclassification Synonym (DTCC): Tax Event - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Reclassification Comment:

Code	Name	Definition
		Used for US Tax Event 1042-S
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/FinalMaturity Synonym (DTCC): Redemption of Warrants • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/FinalMaturity Synonym (DTCC): Maturity • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/FinalMaturity Comment: Maturity: repayment, usually in cash, by an issuer for the entire issue, or remaining outstanding securities of a specific security on a specified date. Redemption of Warrants: issuer pays proceeds to holders on or after the expiration date of the warrant rather than expire the warrant for no cash (worthless).
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Redenomination) is removed.

Code	Name	Definition
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/RemarketingAgreement) is removed.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution Synonym (DTCC): Rights Distribution • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution Comment: Securities distributed to common stock holders of a company that grant the option to purchase new or additional securities of the same company during a predetermined time period at a predetermined price. A Rights Distribution is accompanied by a corresponding Rights Subscription, which provides the details related to exercising the rights. In some cases, DTC will allocate rights that are not issued by the company to process a subscription offer.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/RightsIssue) is removed.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/SharesPremiumDividend) is removed.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/SmallestNegotiableUnit) is removed.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/SpinOff Synonym (DTCC): Spin-Off • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/SpinOff

Code	Name	Definition
		Comment: Distribution of subsidiary securities to the shareholders of the parent company without a surrender of securities or payment. A spin-off represents a form of divestiture resulting in an independent company.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
		Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/StockSplit Synonym (DTCC): Stock Split
		Comment: Increase in a company's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. The share price is normally reduced. Forward split events are included here.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
		Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit Synonym (DTCC): Reverse Stock Split
		• on seev.036.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/ReverseStockSplit Comment: Exchange of a company's security for the same company's new security at a preset rate. This corporate action reduces the number of shares outstanding.
SUSP	TradingStatusSuspended	Trading in the security has been suspended. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/TradingStatusSuspended) is removed.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Tender – Market Practice Implementation Rules: Used when the event types is Tender Offer and the offeror is a third party. • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Tender Synonym (DTCC): Tender Offer • on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Tender Comment: Offer made to security holders, normally by a third party, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices). Generally, the objective of a tender offer is

Code	Name	Definition
		to take control of the target company.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation. Usage Guideline restrictions for this code on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/TaxOnNonDistributedProceeds Synonym (DTCC): Tax Event
TREC	TaxReclaim	Event related to tax reclaim activities. Usage Guideline restrictions for this code - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/TaxReclaim Synonym (DTCC): Tax Refund - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/TaxReclaim Comment: Event that enables DTC to make a withholding tax refund, usually non U.S. dividends.
WRTH	Worthless	Booking out of valueless securities. Usage Guideline restrictions for this code - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Worthless Synonym (DTCC): Worthless - on seev.036.001.16/CorporateActionGeneralInformation/EventType/Code/Worthless Comment:

Code	Name	Definition
		DTCC advising its participants that their positions in that security will be taken down. The announcement is created when DTC receives a formal letter advising that securities held are worthless.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder. Usage Guideline restrictions for this code • This code (see v.036.001.16/CorporateActionGeneralInformation/EventType/Code/WithholdingTaxReliefCertification) is removed.

Used in element(s)

["Code" on page 112](#)

Restricts

["CorporateActionEventTypeV7Code" on page 385](#)

7.16 CorporateActionEventTypeV7Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own se-

Code	Name	Definition
		curities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CMET	CourtMeeting	Announcement of a meeting at a Court.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.

Code	Name	Definition
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CPST	CouponStripping	Coupon stripping is the process whereby interest coupons for future payment dates are separated from the security corpus that entitles the holder to the principal repayment.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible se-

Code	Name	Definition
		curities, for example, in the frame of a depositary receipt program.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
ERED	EarlyRedemption	This includes drawing, partial and full call, put. Redemption in part or full before the scheduled final maturity date of a security, subject to the terms and conditions of the issue. Drawing - Securities are redeemed in part by lottery. Partial Call - Securities are redeemed in part by reducing proportionally the outstanding amount of securities. Put - Early redemption of a bond at the election of the bondholder. Full Call - The entire outstanding of a security is redeemed by the issuer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either

Code	Name	Definition
		mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
MEET	AnnualGeneralMeeting	Meeting Annual general meeting.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an ex-

Code	Name	Definition
		ternal, third party company. Cash payments may accompany share exchange.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OMET	OrdinaryGeneralMeeting	Ordinary general meeting.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change,

Code	Name	Definition
		the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
RMRK	Remarketing	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.

Code	Name	Definition
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
SUBS	Subscription	Ability for security holders to purchase (additional or new) securities at a certain price, in proportion to their holding.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
XMET	ExtraordinaryGeneralMeeting	Extraordinary or Special General Meeting. Extraordinary or special general meeting.

Is restricted by

["CorporateActionEventType37Code" on page 351](#)

7.17 CorporateActionOption12Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.

Code	Name	Definition
		If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/Abstain) is removed.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia). Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/BonusSharePlan) is removed.
BUYA	BuyUp	Buy additional securities to round up position. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/BuyUp) is removed.
CASE	CashAndSecurity	Option to choose between different security and cash options. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/CashAndSecurity Synonym (DTCC): Cash and Securities • on seev.036.001.16/CorporateActionConfirmationDetails/Op-

Code	Name	Definition
		tionType/Code/CashAndSecurity Comment: Holder to receive a combination of cash and securities.
CASH	Cash	Option to choose cash. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Cash • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Tax Exempt • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Currency Payment Exempt • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Currency Payment Favourable • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Tax Favourable • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Currency Payment Unfavourable • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Currency Payment

Code	Name	Definition
		• on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Tax Unfavourable • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Comment: Cash: holder to receive cash. Foreign Tax Unfavourable/Favourable/Exempt: DTC only option. The event and security are eligible for Foreign Tax Relief service at DTC. Option to select Unfavourable/Favourable/Exempt respectively for this service. Foreign Currency Payment: DTC only option. The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency instructed. Foreign Currency Payment Unfavourable/Favourable/Exempt: The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency and the tax withholding on that distribution will be subject to Unfavourable/Favourable/Exempt tax withholding respectively.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentAndExchange Synonym (DTCC): Consent and Exchange • on seev.036.001.16/Corporate-ActionConfirmationDetails/Op-

Code	Name	Definition
		tionType/Code/ConsentAndExchange Comment: Holder to submit securities for exchange in addition to voting to approve the proposal.
CONN	ConsentDenied	Vote not to approve the event or proposal. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentDenied Synonym (DTCC): Consent Denied • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentDenied Comment: Holder does not approve the proposal
CONY	ConsentGranted	Vote to approve the event or proposal. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentGranted Synonym (DTCC): Consent Granted • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentGranted Comment: Holder to approve the proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/Op-

Code	Name	Definition
		tionType/Code/ConsentAnd-Tender Synonym (DTCC): Consent and Tender • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentAnd-Tender Comment: Holder to submit securities for tender in addition to voting to approve the proposal.
EXER	Exercise	Exercise intermediate securities or warrants. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Exercise – Market Practice Implementation Rule: Used for Subscribe (Rights) or Exercise (Warrants, etc) option types. • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Exercise Synonym (DTCC): Subscribe • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Exercise Synonym (DTCC): Exercise • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Exercise Comment: Exercise: holder to exercise the intermediate security or warrant. Subscribe: holder to exercise their right to subscribe to additional securities based upon the existing position.
LAPS	Lapse	Allow event or entitled security to expire.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Lapse Synonym (DTCC): Lapse • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Lapse Comment: Holder does take any action and allows the rights associated to the security to become null and void.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)). Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/MarkDown) is removed.
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)). Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/MarkUp) is removed.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/Retain Synonym (DTCC): Retain • on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/Retain Comment: Holder to keep/retain their existing security rather than receiving cash or a new security.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/NoAction) is removed.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/NonQualifiedInvestor) is removed.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/Op-

Code	Name	Definition
		tionType/Code/ProposedRate) is removed.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Other – Market Practice Usage Rule: Identifies option type that does not have ISO equivalent. • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Other Synonym (DTCC): Other • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Other Comment: Applies when a specific ISO option code cannot be used.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Oversubscribe Synonym (DTCC): Subscribe and Oversubscribe • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Oversubscribe Comment: Holder to subscribe to more securities than underlying securities position allows.

Code	Name	Definition
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/UnknownProceeds Synonym (DTCC): Convert • on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/UnknownProceeds Comment: Holder elects to convert security into a new security, cash or combination of cash and securities, without knowledge of the actual outcome.
QINV	QualifiedInvestor	Account owner is a qualified investor. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/QualifiedInvestor) is removed.
SECU	Security	Distribution of securities to holders. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP Unfavorable • on seev.036.001.16/CorporateActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP Exempt

Code	Name	Definition
		• on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP Favorable • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): Securities • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Comment: Securities: holder to receive securities. DRIP: holder to receive additional securities instead of the cash distribution. Option may be declared by the issuer or a service offered by DTC. DRIP Unfavourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at unfavourable rate. DRIP Favourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at Favourable rate. DRIP Exempt: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at exempt rate.

Code	Name	Definition
SLLE	SellEntitlement	Sell the intermediate securities. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/SellEntitlement Synonym (DTCC): Sell • on seev.036.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/SellEntitlement Comment: Holder to sell their security.

Used in element(s)

"Code" on page 126

Restricts

"CorporateActionOptionCode" on page 403

7.18 CorporateActionOptionCode

Definition: Specifies the type of corporate action options offered to the account holder for a corporate action.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
AMGT	VoteAgainstManagement	Vote against management.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.

Code	Name	Definition
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MNGT	VoteWithManagement	Vote with management.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PROX	ProxyCard	Issue a proxy card in case of voting.

Code	Name	Definition
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, for example, a split voting instruction on a meeting.
TAXI	TaxInstruction	Tax instruction.

Is restricted by

"CorporateActionOption12Code" on page 392

7.19 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R26 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 303, "Country" on page 301, "Country" on page 226, "Country" on page 31, "Country" on page 239, "CountryOfIncomeSource" on page 312, "CountryOfIncomeSource" on page 55

7.20 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

Used in element(s)

["CreditDebitIndicator" on page 309](#), ["CreditDebitIndicator" on page 53](#)

Restricts

["AmountDirectionCode" on page 344](#)

7.21 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

Used in element(s)

["DigitalLedgerIdentification" on page 303](#), ["DigitalLedgerIdentification" on page 301](#), ["DigitalLedgerIdentification" on page 226](#)

7.22 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

["Code" on page 145](#), ["Code" on page 144](#), ["NotSpecifiedDate" on page 149](#)

Restricts

["DateTypeCode" on page 406](#)

7.23 DateTypeCode

Definition: Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

"DateType8Code" on page 406

7.24 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.

Code	Name	Definition
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

Used in element(s)

"Code" on page 150

Restricts

"DeemedRateTypeCode" on page 408

7.25 DeemedRateTypeCode

Definition: Specifies the type of a deemed rate.

Type: CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

Is restricted by

"DeemedRateType1Code" on page 407

7.26 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

Used in element(s)

"Code" on page 281

Restricts

"DividendRateTypeCode" on page 408

7.27 DividendRateTypeCode

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ConduitForeignIncome	Rate relating to a conduit foreign income type.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

["DividendRateType1Code" on page 408](#)

7.28 DividendRateTypeV2Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.

Code	Name	Definition
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

"GrossDividendRateType6Code" on page 415, "GrossDividendRateType7Code" on page 416, "Net-DividendRateType6Code" on page 434, "NetDividendRateType7Code" on page 435

7.29 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern [0-9]{3}

Used in element(s)

["Number" on page 216](#), ["ShortNumber" on page 155](#)

7.30 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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Used in element(s)

["Identification" on page 179](#), ["Identification" on page 178](#), ["QuantityType" on page 252](#), ["QuantityType" on page 249](#)

7.31 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

["Code" on page 200](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 411](#)

7.32 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

"ExternalFinancialInstrumentIdentificationType1Code" on page 411

7.33 FractionDispositionType11Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number. Usage Guideline restrictions for this code This code(seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/BuyUp) is removed.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions. Usage Guideline restrictions for this code - on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/CashInLieuOfFraction Synonym (DTCC): BC - on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/CashInLieuOfFraction Synonym (DTCC): CL - on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Frac-

Code	Name	Definition
		tionDisposition/Code/CashIn-LieuOfFraction Comment: Cash in Lieu of Fractional Share (CL) Beneficial Owner Cash in Lieu (BC)
DIST	IssueFraction	Take distribution of fractions in the form of securities. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Issue-Fraction Synonym (DTCC): EX • on seev.036.001.16/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Issue-Fraction Comment: llocate Fractional Share (EX) = DIST
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Round-Down Synonym (DTCC): RD • on seev.036.001.16/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Round-Down Synonym (DTCC): DF

Code	Name	Definition
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/RoundUp Synonym (DTCC): BU • on seev.036.001.16/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/RoundUp Comment: Beneficial Owner Round Up (BU)= RDUP
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes. Usage Guideline restrictions for this code • on seev.036.001.16/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Round-ToNearest Synonym (DTCC): NW • on seev.036.001.16/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Round-ToNearest Comment: Round to Nearest Whole Number if 0.5 or above (NW)

Used in element(s)

"Code" on page 177

Restricts

"FractionDispositionTypeV2Code" on page 415

7.34 FractionDispositionTypeV2Code

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
EXPI	Expire	Let fractions expire worthless.
FULL	Full	Pre-allocate shares only if fully dealt.
NOFR	NoFraction	No fractional shares are allowed.
PROR	ProRate	In case of a partial fill, pro-rate the allocations.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
RETA	Retain	Indicates that fractional value should be retained; no rounding.
SPEC	Specific	Pre-allocate according to the amounts shown in a linked allocation instruction.
SSTD	Standard	Indicates that if the fraction is greater than or equal to 0.5 of the value should be rounded up; otherwise rounded down.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
TALK	DiscussFirst	Do not pro-rate; discuss first.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Is restricted by

"FractionDispositionType11Code" on page 412

7.35 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 283

Restricts

"DividendRateTypeV2Code" on page 409

7.36 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/CapitalPortion – Market Practice Implementation Rule: Used for Return of Capital or Capital Gains Payout type.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ForeignIncome) is removed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/FullyFranked) is removed.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/FullPeriodUnitsIncomePortion) is removed.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/IncomePortion) is removed.
INTR	Interest	Rate relating to the underlying security for which interest is paid. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Interest) is removed.
LTCG	LongTermCapitalGain	Long term capital gain. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAn-

Code	Name	Definition
		dRateStatus/RateType/Code/LongTermCapitalGain – Market Practice Implementation Rule: Used for Long Term Capital Gains Payout type.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/PartWayPeriodUnitsIncomePortion) is removed.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/RealEstatePropertyIncomePortion) is removed.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/

Code	Name	Definition
		SundryOrOtherIncome) is removed.
STCG	ShortTermCapitalGain	Short term capital gain. Usage Guideline restrictions for this code - on seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ShortTermCapitalGain – Market Practice Implementation Rule: Used for Short Term Capital Gains Payout type.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged. Usage Guideline restrictions for this code This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxablePortion) is removed.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred. Usage Guideline restrictions for this code This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxDeferred) is removed.

Code	Name	Definition
TXFR	TaxFree	Rate relating to the underlying security which is not taxable. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxFree) is removed.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Unfranked) is removed.

Used in element(s)

["Code" on page 285](#)

Restricts

["DividendRateTypeV2Code" on page 409](#)

7.37 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Rules**R56 IBAN ✓**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00003
- *Error Text:* Invalid IBAN format or invalid check digits.

Used in element(s)

"IBAN" on page 50, "IBAN" on page 49

7.38 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

"ISIN" on page 322

7.39 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

Used in element(s)

"LongNumber" on page 155

7.40 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

"Date" on page 146, "Date" on page 143, "EarliestPaymentDate" on page 107, "PaymentDate" on page 107, "ValueDate" on page 106

7.41 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"DateTime" on page 144

7.42 InterestRateTypeCode

Definition: Specifies the type of interest rate.

Type: CodeSet

Code	Name	Definition
CAPP	FloatingRateUnderlyingExposureWithCap	Interest rate type is Floating rate underlying exposure with cap.
DISC	Discount	Interest rate type is Discount.
FINX	FloatingLinkedToIndex	Interest rate type is Floating rate underlying exposure linked to one index that will revert to another index in the future.
FIXE	Fixed	Rate is fixed.
FLCA	FloatingRateUnderlyingExposureWithFloor-AndCap	Interest rate type is Floating rate underlying exposure with both floor and cap.

Code	Name	Definition
FLCF	FixedRateUnderlyingExposureWithCompulsory-FutureSwitchToFloating	Interest rate type is Fixed rate underlying exposure with compulsory future switch to floating.
FLFL	FloatingRateUnderlyingExposureWithFloor	Interest rate type is Floating rate underlying exposure with floor.
FLIF	FloatingRateUnderlyingExposureForLife	Interest rate type is Floating rate underlying exposure (for life).
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
FXPR	FixedWithFuturePeriodicResets	Interest rate type is Fixed with future periodic resets.
FXRL	FixedRateUnderlyingExposureForLife	Interest rate type is Fixed rate underlying exposure (for life).
MODE	Modular	Interest rate type is Modular.
OBLS	ObligorSwapped	Interest rate type is Obligor Swapped.
OTHR	Other	Any other type of interest rate that can be applied.
SCHD	Scheduled	Rate of the scheduled payment.
SWIC	SwichOptionality	Interest rate type is Switch Optionality.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.

Is restricted by

"RateType7Code" on page 447

7.43 IntermediateSecurityDistributionType5Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights. Usage Guideline restrictions for this code This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/ReverseRights) is removed.
BONU	BonusRights	Distribution of bonus rights.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/BonusRights) is removed.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/DividendReinvestment) is removed.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/CashDividend) is removed.
DVOP	DividendOption	Distribution of dividend option. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/DividendOption) is removed.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSe-

Code	Name	Definition
		curitiesDistributionType/Code/ ScripDividendOrPayment) is removed.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/ StockDividend) is removed.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation". Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/Exchange) is removed.
EXRI	SubscriptionRights	Distribution of subscription rights. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/ SubscriptionRights – DTCC RHDI Event Type Rule: To support ISO cross element rule when Event Type code is RHDI, this code will be used.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/InterestPayment) is removed.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/LiquidationDividendOrPayment) is removed.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/Merger) is removed.
PRIO	OpenOfferRights	Distribution of open offer rights. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/OpenOfferRights) is removed.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/SpinOff) is removed.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Code/StockSplit) is removed.

Used in element(s)

"Code" on page 204

Restricts

"IntermediateSecurityDistributionTypeCode" on page 428

7.44 IntermediateSecurityDistributionTypeCode

Definition: Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular.

Code	Name	Definition
		Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	SubscriptionRights	Distribution of subscription rights.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
PRIO	OpenOfferRights	Distribution of open offer rights.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

Is restricted by

["IntermediateSecurityDistributionType5Code" on page 424](#)

7.45 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

Used in element(s)

["Code" on page 205](#)

Restricts

["IssuerTaxabilityV2Code" on page 430](#)

7.46 IssuerTaxabilityV2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

Is restricted by

["IssuerTaxability2Code" on page 429](#)

7.47 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Used in element(s)

["Code" on page 206](#)

Restricts

["LotteryTypeCode" on page 430](#)

7.48 LotteryTypeCode

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Is restricted by

["LotteryType1Code" on page 430](#)

7.49 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}

Used in element(s)

["MarketIdentifierCode" on page 207](#)

7.50 MarketType2Code

Definition: Specifies the type of market in which transactions take place, for example, primary.

Type: CodeSet

Code	Name	Definition
EXCH	StockExchange	The place is a stock exchange.
OTCO	OverTheCounter	The place is over the counter.
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
VARI	Various	Various places.

Used in element(s)

["Code" on page 209](#)

Restricts

["MarketTypeCode" on page 431](#)

7.51 MarketTypeCode

Definition: Specifies the type of place where a trade was executed, a price was sourced from, an instrument is listed.

Type: CodeSet

Code	Name	Definition
COUN	Counter	Specified type of market is counter market.
EXCH	StockExchange	The place is a stock exchange.
FOUM	FourthMarket	The place is a fourth market.

Code	Name	Definition
FUND	Fund	The place is a fund (transfer agent, fund itself, etc.).
INBA	InterBank	Specified type of market is inter bank market.
LMAR	LocalMarket	The place is a local market.
OTCO	OverTheCounter	The place is over the counter.
PRIM	PrimaryMarket	The place is a primary market.
SCAS	SmartContractAutomatedPricingSystem	Source of price quotation is a smart contract automated pricing system.
SECM	SecondaryMarket	The place is a secondary market.
THEO	Theoretical	The place is theoretical.
THIM	ThirdMarket	The place is a third market.
VARI	Various	Various places.
VEND	Vendor	The place is a vendor.

Is restricted by

"MarketType2Code" on page 431

7.52 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

"Identification" on page 48

7.53 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 239, "PostCode" on page 239, "Suffix" on page 220

7.54 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

"DigitalTokenUnit" on page 174

7.55 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

"PlaceAndName" on page 331

7.56 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

"CountrySubDivision" on page 239, "Issuer" on page 178, "SchemeName" on page 178, "TownName" on page 239

7.57 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

"Issuer" on page 179, "Issuer" on page 191, "Issuer" on page 193, "Issuer" on page 252, "Issuer" on page 250, "SchemeName" on page 185, "SchemeName" on page 191, "SchemeName" on page 194, "SchemeName" on page 254, "SchemeName" on page 250

7.58 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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Used in element(s)

"PageNumber" on page 221

7.59 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

"AddressLine" on page 238, "Name" on page 48, "StreetName" on page 238

7.60 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

Code	Name	Definition
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 284

Restricts

"DividendRateTypeV2Code" on page 409

7.61 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.

Code	Name	Definition
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 285

Restricts

"DividendRateTypeV2Code" on page 409

7.62 NewSecuritiesIssuanceType6Code

Definition: Specifies the type of securities proceeds; whether they are defeased or non-defeased.

Type: CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event. Usage Guideline restrictions for this code on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceType6Code

Code	Name	Definition
		suanceIndicator/DefeasedSecurityIndicator Synonym (DTCC): Defeased Indicator
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NonDefeasedSecurityIndicator Synonym (DTCC): Defeased Indicator
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NonRefundedSecurityIndicator Synonym (DTCC): Refunded Indicator

Code	Name	Definition
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event. Usage Guideline restrictions for this code on seev.036.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/RefundedSecurityIndicator Synonym (DTCC): Refunded Indicator

Used in element(s)

"NewSecuritiesIssuanceIndicator" on page 310

Restricts

"NewSecuritiesIssuanceTypeCode" on page 438

7.63 NewSecuritiesIssuanceTypeCode

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NEIS	NewIssue	Securities proceeds are newly issued.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

Code	Name	Definition
REFU	RefundedSecurityIndicator	New security issued that has been re-funded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.

Is restricted by

"NewSecuritiesIssuanceType6Code" on page 436

7.64 OptionFeatures14Code

Definition: Specifies the feature of an option.

Type: CodeSet

Code	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Code/Conditional) is removed.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Code/MaximumCash) is removed.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/Op-

Code	Name	Definition
		tionFeatures/Code/MaximumSecurities) is removed.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Code/OddLotPreference) is removed.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Code/Proration Comment: For Reorganization Events only
RGRS	GrossDividendReinvestment	Gross dividend is reinvested. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Code/GrossDividendReinvestment) is removed.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Code/NetDividendReinvestment) is removed.

Code	Name	Definition
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option. Usage Guideline restrictions for this code • This code(seev.036.001.16/CorporateActionConfirmationDetails/OptionFeatures/Code/ReducedWithholdingTax) is removed.

Used in element(s)

"Code" on page 216

Restricts

"OptionFeaturesCode" on page 441

7.65 OptionFeaturesCode

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

Code	Name	Definition
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

Is restricted by

"OptionFeatures14Code" on page 439

7.66 OptionNumber1Code

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

Code	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

Used in element(s)

"Code" on page 217

Restricts

"OptionNumberCode" on page 442

7.67 OptionNumberCode

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

Code	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

Is restricted by

["OptionNumber1Code" on page 442](#)

7.68 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: CodeSet

Format

pattern [A-Z]{3,3}

Is restricted by

["ActiveCurrencyCode" on page 337](#)

7.69 Payment1Code

Definition: Specifies the type of payment.

Type: CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

Used in element(s)

["ContractualPaymentIndicator" on page 53](#)

Restricts

["PaymentCode" on page 443](#)

7.70 PaymentCode

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

Is restricted by

"Payment1Code" on page 443

7.71 Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

"ApplicableRate" on page 141, "ApplicableRate" on page 269, "FinancialTransactionTaxRate" on page 142, "FiscalStamp" on page 141, "FiscalStamp" on page 266, "MaximumAllowedOversubscriptionRate" on page 136, "PriceValue" on page 236, "ProrationRate" on page 136, "Rate" on page 203, "Rate" on page 274, "Rate" on page 296, "Rate" on page 275, "Rate" on page 278, "Rate" on page 329, "Rate" on page 277, "Rate" on page 297, "TaxOnProfits" on page 272, "TaxReclaimRate" on page 272

7.72 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

Code	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
YIEL	Yield	Price expressed as a yield.

Used in element(s)

["PercentagePriceType" on page 236](#)

Restricts

["PriceValueTypeCode" on page 445](#)

7.73 PriceValueTypeCode

Definition: Price will not be paid.

Type: CodeSet

Code	Name	Definition
ABSO	Absolute	Price is expressed as absolute.
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
NEGA	NegativeActualAmount	Price must be interpreted as a negative amount of currency per unit or per share.
NILP	NilPayment	Price will not be paid.
OPEN	OpenDated	Price has not been established.
PARV	Par	Price is the face amount.
PEUN	PerUnit	Price expressed per unit.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
SPRE	Spread	Difference between a market maker's bid and asked price.
TBSP	ToBeSpecified	Price to be specified by account owner.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
UKWN	Unknown	Price is unknown by the sender or has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.

Code	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
YIEL	Yield	Price expressed as a yield.

Is restricted by

"PriceRateType3Code" on page 444

7.74 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Used in element(s)

"Code" on page 248

Restricts

"ProcessingPositionCode" on page 446

7.75 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.

Code	Name	Definition
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

"ProcessingPosition3Code" on page 446

7.76 RateStatus1Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

Used in element(s)

"Code" on page 279, "RateStatus" on page 35

Restricts

"RateStatusCode" on page 447

7.77 RateStatusCode

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

Is restricted by

"RateStatus1Code" on page 447

7.78 RateType7Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmation-Details/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsed-ForPayment/RateTypeAndAmountAndRateStatus/Rate-Type/Code/Scheduled – Market Practice Implementation Rule: Used when the event type is Interest.
USCD	Unscheduled	Rate of the unscheduled payment. Usage Guideline restrictions for this code • on seev.036.001.16/CorporateActionConfirmation-Details/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsed-ForPayment/RateTypeAndAmountAndRateStatus/Rate-Type/Code/Unscheduled – Market Practice Implementation Rule: Used when the event type is not Interest.

Used in element(s)

"Code" on page 280

Restricts

"InterestRateTypeCode" on page 423

7.79 RestrictedFINActiveCurrencyAnd13Decimal-Amount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 337

Format

fractionDigits	13
minInclusive	0
totalDigits	14

Used in element(s)

"Amount" on page 197, "Amount" on page 34, "Amount" on page 291, "Amount" on page 213, "Amount" on page 292, "Amount" on page 203, "Amount" on page 287, "Amount" on page 274, "Amount" on page 275, "Amount" on page 288, "Amount" on page 32, "Amount" on page 279, "Amount" on page 38, "Amount" on page 198, "Amount" on page 294, "Amount" on page 330, "Amount" on page 214, "Amount" on page 295, "Amount" on page 277, "Amount" on page 290, "Amount1" on page 41, "Amount2" on page 42, "EqualisationRate" on page 272, "PriceValue" on page 36, "PriceValue" on page 36, "PriceValue" on page 40, "PriceValue" on page 38

7.80 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 337

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Rules

R44 CurrencyAmount ✓

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

– Error severity: Fatal

- *Error Code:* D00007
- *Error Text:* Invalid currency code or too many decimal digits

Used in element(s)

"AccruedInterestAmount" on page 85, "AdditionalTaxAmount" on page 77, "AdjustedSubscriptionAmount" on page 90, "BackUpWithholdingTaxAmount" on page 86, "BuyUpAmount" on page 91, "CapitalGain" on page 71, "CashAmountBroughtForward" on page 81, "CashAmountCarriedForward" on page 81, "CashInLieuOfShare" on page 71, "ChargesAmount" on page 80, "DeemedAmount" on page 88, "DeemedDividendAmount" on page 88, "DeemedFundAmount" on page 89, "DeemedInterestAmount" on page 89, "DeemedRoyaltiesAmount" on page 90, "EUTaxRetentionAmount" on page 84, "EqualisationAmount" on page 85, "ExecutingBrokerAmount" on page 79, "FATCATaxAmount" on page 86, "FiscalStampAmount" on page 78, "ForeignIncomeAmount" on page 88, "FullyFrankedAmount" on page 74, "GrossAmount" on page 70, "IncomePortion" on page 84, "IndemnityAmount" on page 73, "InterestAmount" on page 72, "LocalBrokerCommissionAmount" on page 79, "ManufacturedDividendPaymentAmount" on page 73, "MarketClaimAmount" on page 72, "NRATaxAmount" on page 86, "NetAmount" on page 70, "NotionalDividendPayableAmount" on page 81, "NotionalTaxAmount" on page 82, "OriginalAmount" on page 83, "PayingAgentCommissionAmount" on page 79, "PostingAmount" on page 69, "PrincipalOrCorpus" on page 83, "RedemptionPremiumAmount" on page 83, "RefundedSubscriptionAmount" on page 90, "RegulatoryFeesAmount" on page 80, "ReinvestmentAmount" on page 73, "ResultingAmount" on page 176, "SecondLevelTaxAmount" on page 66, "SecondLevelTaxAmount" on page 78, "ShippingFeesAmount" on page 80, "SolicitationFees" on page 70, "StampDutyAmount" on page 76, "StockExchangeTax" on page 84, "SundryOrOtherAmount" on page 75, "TaxArrearsAmount" on page 82, "TaxCreditAmount" on page 77, "TaxDeferredAmount" on page 75, "TaxFreeAmount" on page 75, "TaxOnIncomeAmount" on page 87, "TaxReclaimAmount" on page 76, "TransactionTax" on page 87, "UnfrankedAmount" on page 74, "ValueAddedTaxAmount" on page 76, "WithholdingTaxAmount" on page 66, "WithholdingTaxAmount" on page 77

7.81 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	14
totalDigits	14

Used in element(s)

"IndexPoints" on page 243, "IndexPoints" on page 246, "Quantity" on page 250, "Quantity" on page 249, "Quantity" on page 33, "Quantity1" on page 260, "Quantity2" on page 261, "Unit" on page 156

7.82 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must have a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

Used in element(s)

"Proprietary" on page 200

7.83 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Used in element(s)

"AmortisedValue" on page 168, "AmortisedValue" on page 219, "AmortisedValue" on page 217, "FaceAmount" on page 162, "FaceAmount" on page 218, "FaceAmount" on page 217

7.84 RestrictedFINMax35Text

Definition: Specifies a character string with a maximum length of 35 characters. It has a pattern `([^\r]+)+([^\r]+)([^\r]*)` that disables the use of slash "/" at the beginning and end of line and double slash "//" within the line.

Type: Text

Format

maxLength	35
minLength	1
pattern	<code>([^\r]+)+([^\r]+)([^\r]*)</code>

Used in element(s)

"MarketInfrastructureTransactionIdentification" on page 335

7.85 RestrictedFINX2Max34Text

Definition: Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	34
minLength	1
pattern	[0-9a-zA-Z/\-\\?:\(\)\.,\'+]{1,34}

Used in element(s)

"Proprietary" on page 51, "Proprietary" on page 49

7.86 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	140
minLength	1
pattern	[0-9a-zA-Z/\-\\?:\(\)\.,\n\r,\'+]{1,140}

Used in element(s)

"BlockChainAddressOrWallet" on page 27, "BlockChainAddressOrWallet" on page 230, "Description" on page 323, "Name" on page 210

7.87 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	16
minLength	1
pattern	(([0-9a-zA-Z/\-\\?:\(\)\.,\'+]([0-9a-zA-Z/\-\\?:\(\)\.,\'+])*([0-9a-zA-Z/\-\\?:\(\)\.,\'+])?*))

Used in element(s)

"AccountOwnerDocumentIdentification" on page 154, "AccountServicerDocumentIdentification" on page 153, "ClassActionNumber" on page 114, "CorporateActionEventIdentification" on page 113, "Identification" on page 151, "Identification" on page 154, "Identification" on page 331, "LinkedCorporateActionIdentification" on page 109, "LinkedOfficialCorporateActionEventIdentification" on page 108, "OfficialCorporateActionEventIdentification" on page 113, "ProcessingIdentification" on page 227, "ProcessingIdentification" on page 231, "ProcessingIdentification" on page 233, "ProcessingIdentification" on page 235

7.88 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	30
minLength	1
pattern	<code>(([0-9a-zA-Z\-\?:(\)\.,'\+]([0-9a-zA-Z\-\?:(\)\.,'\+]*([0-9a-zA-Z\-\?:(\)\.,'\+])?)*))</code>

Used in element(s)

["AlternateIdentification" on page 31](#), ["Description" on page 207](#), ["Identification" on page 190](#), ["Identification" on page 305](#), ["Identification" on page 196](#), ["Identification" on page 306](#)

7.89 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	31
minLength	1
pattern	<code>[0-9a-zA-Z\-\?:(\)\.,'\+]{1,31}</code>

Used in element(s)

["Identification" on page 219](#)

7.90 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	34
minLength	1
pattern	<code>(([0-9a-zA-Z\-\?:(\)\.,'\+]([0-9a-zA-Z\-\?:(\)\.,'\+]*([0-9a-zA-Z\-\?:(\)\.,'\+])?)*))</code>

Used in element(s)"Identification" on page 192

7.91 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	350
minLength	1
pattern	[0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+]{1,350}

Used in element(s)

"AdditionalText" on page 117, "NarrativeVersion" on page 117, "PartyContactNarrative" on page 118, "TaxationConditions" on page 118

7.92 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	35
minLength	1
pattern	[0-9a-zA-Z/\-!\?:\(\)\.\\n\r,'\+]{1,35}

Used in element(s)

"SafekeepingAccount" on page 26, "SafekeepingAccount" on page 230

7.93 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 304](#)

Restricts

["SafekeepingPlaceCode" on page 456](#)

7.94 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 305](#)

Restricts

["SafekeepingPlaceCode" on page 456](#)

7.95 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 305](#)

Restricts

["SafekeepingPlaceCode" on page 456](#)

7.96 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

"SafekeepingPlace1Code" on page 454, "SafekeepingPlace2Code" on page 455, "SafekeepingPlace3Code" on page 455

7.97 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative. Usage Guideline restrictions for this code • This code(seev.036.001.16/AccountDetails/Balance/Affected-Balance/Balance/ShortLongPosition/Short) is removed. • This code(seev.036.001.16/AccountDetails/Balance/Unaffected-Balance/Balance/ShortLongPosition/Short) is removed.

Used in element(s)

["ShortLongPosition" on page 328](#), ["ShortLongPosition" on page 327](#), ["ShortLongPosition" on page 218](#),
["ShortLongPosition" on page 249](#)

Restricts

["ShortLongCode" on page 457](#)

7.98 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

["ShortLong1Code" on page 456](#)

7.99 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)

["Code" on page 201](#)

Restricts

["TypeOfIdentificationCode" on page 458](#)

7.100 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by

"TypeOfIdentification1Code" on page 457

7.101 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Used in element(s)

["Code" on page 282](#)

Restricts

["WithholdingTaxRateTypeCode" on page 459](#)

7.102 WithholdingTaxRateTypeCode

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Is restricted by

["WithholdingTaxRateType1Code" on page 458](#)

7.103 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

["LastPageIndicator" on page 222](#), ["TemporaryIndicator" on page 333](#)

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